

# DERIVED CATEGORIES WITH APPLICATIONS TO REPRESENTATIONS OF ALGEBRAS

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## 1. CATEGORIES AND FUNCTORS

**Definition 1.1** (Category). We define a *category*  $\mathcal{C}$  by the following data:

1. A class  $\text{Ob}\mathcal{C}$  of elements called objects of  $\mathcal{C}$ .
2. For a ordered pair  $(X, Y)$  of objects a set  $\text{Hom}_{\mathcal{C}}(X, Y)$  of morphisms is given such that  $\text{Hom}_{\mathcal{C}}(X, Y) \cap \text{Hom}_{\mathcal{C}}(X', Y') = \emptyset$  for  $(X, Y) \neq (X', Y')$  (an element  $f$  of  $\text{Hom}_{\mathcal{C}}(X, Y)$  is called a morphism, and denote by  $f : X \rightarrow Y$ ).

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*Date:* June 2000.

This is a seminar note of which I gave a lecture at Chiba University in June 2000.

3. For each triple  $(X, Y, Z)$  of objects of  $\mathcal{C}$  a map

$$\theta(X, Y, Z) : \text{Hom}_{\mathcal{C}}(X, Y) \times \text{Hom}_{\mathcal{C}}(Y, Z) \rightarrow \text{Hom}_{\mathcal{C}}(X, Z)$$

( $\theta$  is called the composition map) is given.

4. The composition map  $\theta$  is associative.  
 5. For each object  $X$  of  $\mathcal{C}$ , there is a morphism  $1_X : X \rightarrow X$  such that for any  $g : Y \rightarrow X$ ,  $h : X \rightarrow Z$  we have  $1_X g = g$ ,  $h 1_X = h$ .

$X \in \text{Ob } \mathcal{C}$  (often  $X \in \mathcal{C}$ ) means that  $X$  is an object of  $\mathcal{C}$ .

**Example 1.2.** The following often appear in this note.

1.  $\mathfrak{Set}$  is the category consisting of sets as objects and maps as morphisms.
2.  $\mathfrak{Ab}$  is the category consisting of abelian groups as objects and group morphisms as morphisms.
3. For a ring  $A$ ,  $\text{Mod } A$  is the category consisting of right  $A$ -modules as objects and  $A$ -homomorphisms as morphisms.

**Definition 1.3** (Opposite Category). For a category  $\mathcal{C}$ , the *opposite category*  $\mathcal{C}^{\text{op}}$  of  $\mathcal{C}$  is defined by

1.  $\text{Ob } \mathcal{C}^{\text{op}} = \text{Ob } \mathcal{C}$ .  
(for  $X \in \mathcal{C}$ , we denote by  $X^{\text{op}} \in \mathcal{C}^{\text{op}}$  the same object)
2. For  $X^{\text{op}}, Y^{\text{op}} \in \text{Ob } \mathcal{C}^{\text{op}}$ ,

$$\text{Hom}_{\mathcal{C}^{\text{op}}}(X^{\text{op}}, Y^{\text{op}}) = \text{Hom}_{\mathcal{C}}(Y, X).$$

(for  $f \in \text{Hom}_{\mathcal{C}}(Y, X)$ , we denote by  $f^{\text{op}} \in \text{Hom}_{\mathcal{C}^{\text{op}}}(X^{\text{op}}, Y^{\text{op}})$ )

3. The composition map  $\theta^{\text{op}}$  is defined by  $\theta^{\text{op}}(f^{\text{op}}, g^{\text{op}}) = \theta(g, f)^{\text{op}}$ .

**Definition 1.4.** Let  $f : X \rightarrow Y$  be a morphism in a category  $\mathcal{C}$ .

1.  $f$  is called a *monomorphism* if  $fu = fv$  implies  $u = v$ .
2.  $f$  is called an *epimorphism* if  $uf = vf$  implies  $u = v$ .
3.  $f$  is called a *split monomorphism* if there is  $g : Y \rightarrow X$  such that  $gf = 1_X$ .
4.  $f$  is called a *split epimorphism* if there is  $g : Y \rightarrow X$  such that  $fg = 1_Y$ .
5.  $f$  is called an *isomorphism* if there is  $g : Y \rightarrow X$  such that  $gf = 1_X$  and  $fg = 1_Y$ .

We often write  $\rightarrow$  for an epimorphism, and  $\hookrightarrow$  for a monomorphism.

**Definition 1.5** (Functor). For categories  $\mathcal{C}$  and  $\mathcal{C}'$ , a *covariant functor* (resp., *contravariant functor*)  $F : \mathcal{C} \rightarrow \mathcal{C}'$  consists of the following data:

1. A map  $F : \text{Ob } \mathcal{C} \rightarrow \text{Ob } \mathcal{C}'$ .
2. For  $X, Y \in \text{Ob } \mathcal{C}$ , a map

$$\begin{aligned} F_{X,Y} &: \text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Hom}_{\mathcal{C}'}(FX, FY) \\ (\text{resp., } F_{X,Y} &: \text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Hom}_{\mathcal{C}'}(FY, FX)) \end{aligned}$$

such that  $F(gf) = F(g)F(f)$  (resp.,  $F(gf) = F(f)F(g)$ ),  $F(1_X) = 1_{F(X)}$ .

Here we write simply  $F(f)$  instead of  $F_{X,Y}(f)$ .

**Example 1.6.** In a category  $\mathcal{C}$ , for  $X \in \mathcal{C}$ , we define the covariant (resp., contravariant) functor

$$\begin{aligned} h^X &: \mathcal{C} \rightarrow \mathfrak{Set} \\ (\text{resp., } h_X &: \mathcal{C} \rightarrow \mathfrak{Set}) \end{aligned}$$

by  $h^X(Y) = \text{Hom}_{\mathcal{C}}(X, Y)$  (resp.,  $h_X(Y) = \text{Hom}_{\mathcal{C}}(Y, X)$ ).

**Definition 1.7** (Functorial Morphism). For covariant (resp., contravariant) functors  $F, G : \mathcal{C} \rightarrow \mathcal{C}'$ , a *functorial morphism*  $\alpha : F \rightarrow G$  consists of the following data:

1. For each  $X \in \mathcal{C}$ ,  $\alpha_X : FX \rightarrow GX$  in  $\mathcal{C}'$  is given.
2. For any morphism  $f : X \rightarrow Y$  (resp.,  $f : Y \rightarrow X$ ) in  $\mathcal{C}$ , we have the following commutative diagram in  $\mathcal{C}'$

$$\begin{array}{ccc} FX & \xrightarrow{\alpha_X} & GX \\ F(f) \downarrow & & \downarrow G(f) \\ FY & \xrightarrow{\alpha_Y} & GY. \end{array}$$

In the case that a functorial morphism  $\alpha$  is called a *functorial isomorphism* if  $\alpha_X$  are isomorphisms for all  $X \in \mathcal{C}$ .

We denote by  $\text{Mor}(F, G)$  the collection of all functorial morphisms from  $F$  to  $G$ .

**Lemma 1.8** (Representable Functor). For a covariant (resp., contravariant) functor  $F : \mathcal{C} \rightarrow \mathfrak{Set}$ , the following are equivalent for  $C \in \mathcal{C}$ .

1.  $F$  is isomorphic to  $h^C$  (resp.,  $h_C$ ).
2. There exists  $c \in F(C)$  satisfying that for any  $X \in \mathcal{C}$  and  $x \in F(X)$ , there is a unique  $f \in \text{Hom}_{\mathcal{C}}(C, X)$  (resp.,  $f \in \text{Hom}_{\mathcal{C}}(X, C)$ ) such that  $x = F(f)(c)$ .

A covariant (resp., contravariant) functor  $F : \mathcal{C} \rightarrow \mathfrak{Set}$  is called *representable* if there exists  $C \in \mathcal{C}$  such that  $F$  is isomorphic to  $h^C$ . Similarly, a contravariant functor  $F' : \mathcal{C} \rightarrow \mathfrak{Set}$  is called *representable* if there exists  $C \in \mathcal{C}$  such that  $F'$  is isomorphic to  $h_C$ .

**Lemma 1.9** (Yoneda's Lemma). For  $X \in \mathcal{C}$  and a covariant (resp., contravariant) functor  $F : \mathcal{C} \rightarrow \mathfrak{Set}$ , we have the bijection

$$\theta_- : FX \rightarrow \text{Mor}(h^X, F) \quad (\text{resp., } \theta_- : FX \rightarrow \text{Mor}(h_X, F)),$$

where  $\theta_-$  is defined by  $(\theta_x)_Y(f) = F(f)(x)$  for  $x \in FX$ ,  $Y \in \mathcal{C}$ ,  $f \in h^X(Y)$  (resp.,  $f \in h_X(Y)$ ).

**Corollary 1.10.** For  $X, Y \in \mathcal{C}$ , we have the bijection

$$h^- : \text{Hom}_{\mathcal{C}}(Y, X) \rightarrow \text{Mor}(h^X, h^Y) \quad (\text{resp., } h_- : \text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Mor}(h_X, h_Y)).$$

**Definition 1.11.** Let  $F : \mathcal{C} \rightarrow \mathcal{C}'$  be a functor.

1.  $F$  is called *full* if  $F_{X,Y} : \text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Hom}_{\mathcal{C}'}(FX, FY)$  are surjective for all  $X, Y \in \mathcal{C}$ .
2.  $F$  is called *faithful* if  $F_{X,Y} : \text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Hom}_{\mathcal{C}'}(FX, FY)$  are injective for all  $X, Y \in \mathcal{C}$ .
3.  $F$  is called *dense* if for any  $Y \in \mathcal{C}'$ , there is  $X \in \mathcal{C}$  such that  $Y$  is isomorphic to  $FX$ .

**Definition 1.12** (Limit, Colimit). Let  $\mathcal{I}, \mathcal{C}$  be categories and  $X \in \mathcal{C}$ . We denote by  $X_{\mathcal{I}} : \mathcal{I} \rightarrow \mathcal{C}$  the constant functor such that  $X_{\mathcal{I}}(i) = X$  for all  $i \in \mathcal{I}$  and  $X_{\mathcal{I}}(f) = 1_X$  for all  $f \in \text{Hom}_{\mathcal{I}}(i, j)$ .

For a functor  $F : \mathcal{I} \rightarrow \mathcal{C}$ , an object  $X$  of  $\mathcal{C}$  is called the *colimit*  $\text{colim } F$  (resp., the *limit*  $\text{lim } F$ ) of  $F$  provided that for all  $Y \in \mathcal{C}$  we have

$$\begin{aligned} \text{Mor}(F, Y_{\mathcal{I}}) &\cong \text{Hom}_{\mathcal{C}}(X, Y) \\ (\text{resp., } \text{Mor}(Y_{\mathcal{I}}, F) &\cong \text{Hom}_{\mathcal{C}}(Y, X)). \end{aligned}$$

**Definition 1.13** (Filtered Colimit). A small category  $\mathcal{I}$  is called a filtered category provided that

1. For any  $i, j \in \mathcal{I}$ , there exists  $k \in \mathcal{I}$  and morphisms  $i \rightarrow k, j \rightarrow k$  in  $\mathcal{I}$ .
2. For two morphisms  $f, g : i \rightarrow j$ , there is a morphism  $h : j \rightarrow k$  such that  $hf = hg$ .

For a covariant (resp., contravariant) functor  $F : \mathcal{I} \rightarrow \mathcal{C}$  from a filtered category  $\mathcal{I}$  to a category  $\mathcal{C}$ , the filtered colimit  $\varinjlim F$  (resp., the filtered limit  $\varprojlim F$ ) of  $F$  is the colimit  $\operatorname{colim} F$  (resp., the limit  $\operatorname{lim} F$ ).

**Definition 1.14** (Product, Coproduct). For a collection  $\{X_i\}_{i \in I}$  of objects indexed by a set  $I$ ,  $X$  is called a *coproduct*  $\coprod_{i \in I} X_i$  (resp., a *product*  $\prod_{i \in I} X_i$ ) of  $\{X_i\}_{i \in I}$  provided that

1. There are a collection of morphisms  $\{q_i : X_i \rightarrow X\}_{i \in I}$  (resp.,  $\{p_i : X \rightarrow X_i\}_{i \in I}$ ).
2. For any  $Y \in \mathcal{C}$  and  $\{f_i : X_i \rightarrow Y\}_{i \in I}$  (resp.,  $\{p_i : Y \rightarrow X_i\}_{i \in I}$ ), there exists a unique morphism  $f : X \rightarrow Y$  (resp.,  $f : Y \rightarrow X$ ) with  $f_i = fq_i$  (resp.,  $f_i = p_i f$ ) for all  $i$ .

If a coproduct  $\coprod_{i \in I} X_i$  is also a product, then it is called a biproduct of  $\{X_i\}_{i \in I}$  and denoted by  $\bigoplus_{i \in I} X_i$ .

**Definition 1.15** (Bifunctor). Let  $\mathcal{C}_1, \mathcal{C}_2$  and  $\mathcal{D}$  be categories. The *product category*  $\mathcal{C}_1 \times \mathcal{C}_2$  is the category consisting of pairs  $(X_1, X_2)$  of objects  $X_1 \in \operatorname{Ob} \mathcal{C}_1$  and  $X_2 \in \operatorname{Ob} \mathcal{C}_2$  as objects, and pairs  $(f_1, f_2)$  of morphisms  $f_1$  in  $\mathcal{C}_1$  and  $f_2$  in  $\mathcal{C}_2$  as morphisms. A *bifunctor* is the functor  $F : \mathcal{C}_1 \times \mathcal{C}_2 \rightarrow \mathcal{D}$ .

For bifunctors  $F, G : \mathcal{C}_1 \times \mathcal{C}_2 \rightarrow \mathcal{D}$ , a *bifunctorial morphism*  $\alpha : F \rightarrow G$  is a functorial morphism of functors  $\mathcal{C}_1 \times \mathcal{C}_2 \rightarrow \mathcal{D}$ .

Then a bifunctor  $F : \mathcal{C}_1 \times \mathcal{C}_2 \rightarrow \mathcal{D}$  consists of the following data:

1. For  $X_1 \in \mathcal{C}_1$ ,  $F(X_1, -) : \mathcal{C}_2 \rightarrow \mathcal{D}$  is a functor.
2. For  $X_2 \in \mathcal{C}_2$ ,  $F(-, X_2) : \mathcal{C}_1 \rightarrow \mathcal{D}$  is a functor.
3. For a morphism  $f_1 : X_1 \rightarrow Y_1$  in  $\mathcal{C}_1$ ,  $F(f_1, -) : F(X_1, -) \rightarrow F(Y_1, -)$  is a functorial morphism.  
(or equivalently, for a morphism  $f_2 : X_2 \rightarrow Y_2$  in  $\mathcal{C}_2$ ,  $F(-, f_2) : F(-, X_2) \rightarrow F(-, Y_2)$  is a functorial morphism.)

And a bifunctorial morphism  $\alpha : F \rightarrow G$  consists of the following data:

1. For each  $(X_1, X_2) \in \mathcal{C}_1 \times \mathcal{C}_2$ ,  $\alpha_{(X_1, X_2)} : F(X_1, X_2) \rightarrow G(X_1, X_2)$  in  $\mathcal{D}$  is given.
2. For  $X_1 \in \mathcal{C}_1$ ,  $\alpha_{(X_1, -)} : F(X_1, -) \rightarrow G(X_1, -)$  is a functorial morphism.
3. For  $X_2 \in \mathcal{C}_2$ ,  $\alpha_{(-, X_2)} : F(-, X_2) \rightarrow G(-, X_2)$  is a functorial morphism.

In the case that a bifunctorial morphism  $\alpha$  is called a *bifunctorial isomorphism* if  $\alpha_{(X_1, X_2)}$  are isomorphisms for all  $(X_1, X_2) \in \mathcal{C}_1 \times \mathcal{C}_2$ .

**Definition 1.16** (Adjoint). Let  $F : \mathcal{C} \rightarrow \mathcal{C}'$ ,  $G : \mathcal{C}' \rightarrow \mathcal{C}$  be covariant functors. We say that  $F$  is a *left adjoint* of  $G$  (or  $G$  is a *right adjoint* of  $F$ ) (denote by  $F \dashv G$ ) if there is a bifunctorial isomorphism  $t(-, ?) : \operatorname{Hom}_{\mathcal{C}'}(F-, ?) \rightarrow \operatorname{Hom}_{\mathcal{C}}(-, G?)$ .

In this case, let  $\sigma_X = t(X, FX)(1_{FX})$  and  $\tau_Y = t(GY, Y)^{-1}(1_{GY})$  for  $X \in \mathcal{C}$ ,  $Y \in \mathcal{C}'$ . ( $\sigma : \mathbf{1}_{\mathcal{C}} \rightarrow GF$  and  $\tau : FG \rightarrow \mathbf{1}_{\mathcal{C}'}$  are called the *adjunction arrows*.)

For contravariant functors  $F' : \mathcal{C} \rightarrow \mathcal{C}'$ ,  $G' : \mathcal{C}' \rightarrow \mathcal{C}$ , a pair  $(F', G')$  is called a *right adjoint pair* if there is a bifunctorial isomorphism  $t'(-, ?) : \operatorname{Hom}_{\mathcal{C}'}(-, F?) \rightarrow \operatorname{Hom}_{\mathcal{C}}(? , G'-)$ . There are adjunction arrows  $\sigma : \mathbf{1}_{\mathcal{C}} \rightarrow G'F'$  and  $\tau : \mathbf{1}_{\mathcal{C}'} \rightarrow F'G'$ .

**Remark 1.17.** According to Corollary 1.10, it is easy to see that a right (resp., left) adjoint is uniquely determined up to isomorphism. And in the above, we have

$$G\tau\circ\sigma G = 1_G \text{ and } \tau F\circ F\sigma = 1_F.$$

**Theorem 1.18.** For a covariant functor  $F : \mathcal{C} \rightarrow \mathcal{C}'$ , the following hold.

1.  $F$  has a right adjoint if and only if  $h_Y \circ F : \mathcal{C} \rightarrow \mathfrak{Set}$  is representable for any  $Y \in \mathcal{C}'$ .
2.  $F$  has a left adjoint if and only if  $h^X \circ F : \mathcal{C} \rightarrow \mathfrak{Set}$  is representable for any  $X \in \mathcal{C}'$ .

**Theorem 1.19.** Let  $F : \mathcal{C} \rightarrow \mathcal{C}'$ ,  $G : \mathcal{C}' \rightarrow \mathcal{C}$  be covariant functors such that  $F \dashv G$ . Then the following are equivalent.

1.  $G$  is fully faithful.
2. The adjunction arrow  $\tau : FG \rightarrow \mathbf{1}_{\mathcal{C}'}$  is a functorial isomorphism.

*Sketch.*

$$\begin{array}{ccc} \text{Hom}_{\mathcal{C}}(X, Y) & & \\ G_{X,Y} \downarrow & \searrow^{\text{Hom}(\tau_X, Y)} & \\ \text{Hom}_{\mathcal{C}}(GX, GY) & \xrightarrow{\sim} & \text{Hom}_{\mathcal{C}}(FGX, Y) \end{array}$$

□

**Theorem 1.20** (Equivalence). For a functor  $F : \mathcal{C} \rightarrow \mathcal{C}'$ , the following are equivalent.

1.  $F$  is fully faithful and dense.
2. There is a functor  $G : \mathcal{C}' \rightarrow \mathcal{C}$  such that  $GF \cong \mathbf{1}_{\mathcal{C}}$  and  $FG \cong \mathbf{1}_{\mathcal{C}'}$ .

In this case,  $F$  is called an equivalence and we say that  $\mathcal{C}$  and  $\mathcal{C}'$  are equivalent.

**Theorem 1.21.** Let  $F : \mathcal{C} \rightarrow \mathcal{C}'$  be a covariant functor and let  $G$  be a right adjoint of  $F$ . Then the following hold.

1.  $F$  preserves the colimit in  $\mathcal{C}$  of any functor.
2.  $G$  preserves the limit in  $\mathcal{C}'$  of any functor.

## 2. ADDITIVE CATEGORIES AND ABELIAN CATEGORIES

In a category  $\mathcal{C}$ , an object  $U$  is called an *initial object* if for any  $X \in \mathcal{C}$   $\text{Hom}_{\mathcal{C}}(U, X)$  has only one element,  $V$  is called a *terminal object* if for any  $X \in \mathcal{C}$   $\text{Hom}_{\mathcal{C}}(X, V)$  has only one element, and  $O$  is called a *null object* if  $O$  is initial and terminal.

**Definition 2.1** (Preadditive Category). A category  $\mathcal{C}$  with a null object is called a *preadditive category* provided that  $\text{Hom}_{\mathcal{C}}(X, Y)$  is an abelian group for any  $X, Y \in \mathcal{C}$ , and that the composition map  $\theta$  is bilinear.

**Definition 2.2** (Additive functor). Let  $\mathcal{C}, \mathcal{C}'$  be preadditive categories. A covariant (resp., contravariant) functor  $F : \mathcal{C} \rightarrow \mathcal{C}'$  between preadditive categories is called an *additive functor* provided that for  $X, Y \in \mathcal{C}$ ,

$$\begin{aligned} F_{X,Y} &: \text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Hom}_{\mathcal{C}'}(FX, FY) \\ (\text{resp., } F_{X,Y} &: \text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Hom}_{\mathcal{C}'}(FY, FX)) \end{aligned}$$

is a group morphism.

**Proposition 2.3.** *Let  $\{X_i\}_{1 \leq i \leq n}$  be a finite collection of objects of a preadditive category  $\mathcal{C}$ . Then the following are equivalent.*

1. *A coproduct  $\coprod_{i=1}^n X_i$  of  $\{X_i\}_{1 \leq i \leq n}$  exists in  $\mathcal{C}$ .*
2. *A product  $\prod_{i=1}^n X_i$  of  $\{X_i\}_{1 \leq i \leq n}$  exists in  $\mathcal{C}$ .*
3. *There exist an object  $X \in \mathcal{C}$  and morphisms  $u_i : X_i \rightarrow X$ ,  $p_i : X \rightarrow X_i$  ( $1 \leq i \leq n$ ) such that*

$$(a) \quad \sum_{i=1}^n u_i p_i = 1_X.$$

$$(b) \quad p_i u_j = \begin{cases} 0 & \text{if } i \neq j \\ 1_{X_i} & \text{if } i = j. \end{cases}$$

Moreover, the above coproduct is naturally isomorphic to the above product.

**Proposition 2.4.** *Let  $F : \mathcal{C} \rightarrow \mathcal{C}'$  be an additive functor between preadditive categories,  $\{X_i\}_{1 \leq i \leq n}$  a finite collection of objects in  $\mathcal{C}$ . If the coproduct  $\coprod_{i=1}^n X_i$  exists in  $\mathcal{C}$ , then the coproduct  $\coprod_{i=1}^n F(X_i)$  exists in  $\mathcal{C}'$  and is canonically isomorphic to  $F(\coprod_{i=1}^n X_i)$ .*

**Definition 2.5** (Additive Category). A preadditive category  $\mathcal{C}$  is called an *additive functor* if  $\mathcal{C}$  satisfies Proposition 2.3 for any finite collection of objects in  $\mathcal{C}$ .

**Example 2.6.** Let  $\mathcal{C}$  be an additive category. For  $M \in \mathcal{C}$ , We define  $\text{Add } M$  (resp.,  $\text{add } M$ ) the full subcategory of  $\mathcal{C}$  consisting of objects which are direct summands of coproducts (resp., finite coproducts) of copies of  $M$ . Then  $\text{Add } M$  (resp.,  $\text{add } M$ ) is an additive category.

**Proposition 2.7** (Compact Object). *For an object  $C$  of an additive category  $\mathcal{C}$ , the following are equivalent.*

1. *For any morphism  $f : C \rightarrow \coprod_{i \in I} X_i$ , there exists a factorization*

$$C \xrightarrow{f'} \coprod_{j \in F} X_j \xrightarrow{\mu_F} \coprod_{i \in I} X_i$$

where  $F$  is a finite subset of  $I$  and  $\mu_F$  is the canonical inclusion.

2. *For any morphism  $f : C \rightarrow \coprod_{i \in I} X_i$ , there exists a finite subset  $F$  of  $I$  such that  $f = \sum_{j \in F} u_j p_j f$  where  $u_j$  are the structural morphisms and  $p_j$  are the canonical projections.*
3. *The functor  $h^C : \mathcal{C} \rightarrow \mathfrak{Ab}$  preserves coproducts.*

An object  $C \in \mathcal{C}$  is called a *compact object* (often called a *small object*) of  $\mathcal{C}$  if  $C$  satisfies the above conditions.

**Exercise 2.8.** Show that if a right  $A$ -module  $C$  is finitely generated, then  $C$  is a compact object in  $\text{Mod } A$ .

**Corollary 2.9.** *Let  $C$  be a compact object of an additive category  $\mathcal{C}$ , and  $B = \text{End}_{\mathcal{C}}(C)$ . The following hold.*

1. *For any object  $X \in \mathcal{C}$ , we have isomorphisms*

$$\begin{aligned} \text{Hom}_{\mathcal{C}}(C^{(I)}, X) &\simeq \text{Hom}_B(\text{Hom}_{\mathcal{C}}(C, C^{(I)}), \text{Hom}_{\mathcal{C}}(C, X)) \\ &\simeq \text{Hom}_B(\text{Hom}_{\mathcal{C}}(C, C^{(I)}), \text{Hom}_{\mathcal{C}}(C, X)) \end{aligned}$$

if a coproduct  $C^{(I)}$  exists for a set  $I$ .

2. we have isomorphisms

$$\begin{aligned} \mathrm{Hom}_{\mathcal{C}}(C^{(I)}, C^{(J)}) &\xrightarrow{\sim} \mathrm{Hom}_B(\mathrm{Hom}_{\mathcal{C}}(C, C^{(I)}), \mathrm{Hom}_{\mathcal{C}}(C, C^{(J)})) \\ &\xrightarrow{\sim} \mathrm{Hom}_B(\mathrm{Hom}_{\mathcal{C}}(C, C)^{(I)}, \mathrm{Hom}_{\mathcal{C}}(C, C)^{(J)}) \end{aligned}$$

if coproducts  $C^{(I)}, C^{(J)}$  exist for sets  $I, J$ .

**Proposition 2.10.** *Let  $\mathcal{C}$  be an additive category,  $\mathcal{C}'$  a preadditive category and  $F : \mathcal{C} \rightarrow \mathcal{C}'$  a functor. If  $F$  preserves finite coproducts, then  $F$  is an additive functor.*

**Definition 2.11** (Special Morphisms). Let  $\mathcal{C}$  be a preadditive category. For  $f : X \rightarrow Y$ ,  $g : X \rightarrow Z$  and  $h : W \rightarrow Y$ , we define the following.

1.  $(\mathrm{Cok} f, \mathrm{cok} f) = \mathrm{colim} (X \xrightarrow{f} Y, X \xrightarrow{0} Y)$ .
2.  $(\mathrm{Ker} f, \mathrm{ker} f) = \mathrm{lim} (X \xrightarrow{f} Y, X \xrightarrow{0} Y)$ .
3.  $(\mathrm{Im} f, \mathrm{im} f) = \mathrm{Ker}(Y \xrightarrow{0} \mathrm{Cok} f, \mathrm{cok} f)$ .
4.  $(\mathrm{Coim} f, \mathrm{im} f) = \mathrm{Cok}(\mathrm{Ker} f \xrightarrow{0} X, \mathrm{ker} f)$ .
5.  $\mathrm{PushOut}(f, g) = \mathrm{colim} (X \xrightarrow{f} Y, X \xrightarrow{g} Z)$ .
6.  $\mathrm{PullBack}(f, h) = \mathrm{lim} (X \xrightarrow{f} Y, W \xrightarrow{h} Y)$ .

**Proposition 2.12.** *Let  $\mathcal{C}$  be a preadditive category and let  $f : X \rightarrow Y$  be a morphism in  $\mathcal{C}$  such that there exist  $\mathrm{Ker} f$ ,  $\mathrm{Cok} f$ ,  $\mathrm{Coim} f$  and  $\mathrm{Im} f$  in  $\mathcal{C}$ . Then there exists a unique morphism  $\bar{f} : \mathrm{Coim} f \rightarrow \mathrm{Im} f$  such that we have the following commutative diagram*

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \mathrm{coim} f \downarrow & & \uparrow \mathrm{im} f \\ \mathrm{Coim} f & \xrightarrow{\bar{f}} & \mathrm{Im} f \end{array}$$

**Definition 2.13** (Abelian Category). An additive category  $\mathcal{C}$  is called an *abelian category* provided that

1. For any morphism  $f$ , there exist  $\mathrm{Ker} f$  and  $\mathrm{Cok} f$  in  $\mathcal{C}$ .
2. For any morphism  $f$ , the above morphism  $\bar{f}$  is an isomorphism.

**Definition 2.14.** In an abelian category  $\mathcal{C}$ , we consider the following sequence

$$\dots \rightarrow X^{i-1} \xrightarrow{f^{i-1}} X^i \xrightarrow{f^i} X^{i+1} \rightarrow \dots$$

We say that the above sequence is *exact* at  $X^i$  if  $\mathrm{Ker} f^i = \mathrm{Im} f^{i-1}$ . If the above sequence is exact at each  $X^i$ , then we say that the above sequence is exact.

In the rest of this section, we deal with internal properties of an abelian category  $\mathcal{C}$ .

**Proposition 2.15** (Snake Lemma). *Suppose that the following diagram is commutative*

$$\begin{array}{ccccccc} X & \xrightarrow{f} & Y & \xrightarrow{g} & Z & \longrightarrow & O \\ x \downarrow & & \downarrow y & & \downarrow z & & \\ O & \longrightarrow & X' & \xrightarrow{f'} & Y' & \xrightarrow{g'} & Z' \end{array}$$

where all rows are exact. Then we have the following induced exact sequence

$$\text{Ker } x \rightarrow \text{Ker } y \rightarrow \text{Ker } z \rightarrow \text{Cok } x \rightarrow \text{Cok } y \rightarrow \text{Cok } z.$$

Moreover,  $f$  (resp.,  $g'$ ) is monic (resp., epic) if and only if so is  $\text{Ker } x \rightarrow \text{Ker } y$  (resp.,  $\text{Cok } y \rightarrow \text{Cok } z$ ).

**Proposition 2.16** (Five Lemma). *Suppose that the following diagram is commutative*

$$\begin{array}{ccccccccc} X_1 & \longrightarrow & X_2 & \longrightarrow & X_3 & \longrightarrow & X_4 & \longrightarrow & X_5 \\ \downarrow f_1 & & \downarrow f_2 & & \downarrow f_3 & & \downarrow f_4 & & \downarrow f_5 \\ X_1 & \longrightarrow & X_2 & \longrightarrow & X_3 & \longrightarrow & X_4 & \longrightarrow & X_5 \end{array}$$

where all rows are exact. Then the following hold.

1. If  $f_1$  is epic, and  $f_2, f_4$  are monic, then  $f_3$  is monic.
2. If  $f_5$  is monic, and  $f_2, f_4$  are epic, then  $f_3$  is epic.
3. If  $f_1$  is epic,  $f_5$  is monic, and  $f_2, f_4$  are isomorphisms, then  $f_3$  is an isomorphism.

**Proposition 2.17** (Pull Back 1). *Suppose that the following diagram is commutative*

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ x \downarrow & (A) & \downarrow y \\ X' & \xrightarrow{f'} & Y' \end{array}$$

Then the following hold.

1. The square (A) is pull back if and only if  $O \rightarrow X \xrightarrow{[f]} Y \oplus X' \xrightarrow{[-y \ f']}, Y'$  is exact.
2. The square (A) is push out if and only if  $X \xrightarrow{[f]} Y \oplus X' \xrightarrow{[-y \ f']}, Y' \rightarrow O$  is exact.

**Proposition 2.18** (Pull Back 2). *Suppose that the following diagram is commutative*

$$\begin{array}{ccccc} X & \longrightarrow & Y & \longrightarrow & Z \\ \downarrow & (A) & \downarrow & (B) & \downarrow \\ X' & \longrightarrow & Y' & \longrightarrow & Z' \end{array}$$

If the squares (A) and (B) are push out (resp., pull back), then so is the square (A) + (B).

**Proposition 2.19** (Pull Back 3). *Suppose that the following diagram is pull back (resp., push out)*

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ x \downarrow & & \downarrow y \\ X' & \xrightarrow{f'} & Y' \end{array}$$

Then the following hold.



1. If  $f'$  (resp.,  $f$ ) is epic (resp., monic), then the above diagram is also push out (resp., pull back), and  $f$  (resp.,  $f'$ ) is also epic (resp., monic).
2. The induced morphism  $\text{Ker } f \rightarrow \text{Ker } f'$  is an isomorphism (resp., an epimorphism).
3. The induced morphism  $\text{Cok } f \rightarrow \text{Cok } f'$  is a monomorphism (resp., an isomorphism).

**Proposition 2.20** (Exact Sequence 1). *Suppose that the following diagram is commutative*

$$\begin{array}{ccccccccc} O & \longrightarrow & X & \longrightarrow & Y & \longrightarrow & Z & \longrightarrow & O \\ & & \downarrow & & \downarrow & & \parallel & & \\ O & \longrightarrow & X' & \longrightarrow & Y' & \longrightarrow & Z & \longrightarrow & O \end{array}$$

where all rows are exact. Then the square

$$\begin{array}{ccc} X & \longrightarrow & Y \\ \downarrow & \text{EX} & \downarrow \\ X' & \longrightarrow & Y' \end{array}$$

is pull back and push out (this is called an exact square).

**Proposition 2.21** (Exact Sequence 2). *Suppose that the following diagram is commutative*

$$\begin{array}{ccccccccc} O & \longrightarrow & X & \xrightarrow{f} & Y & \xrightarrow{g} & Z & \longrightarrow & O \\ & & \downarrow x & & \downarrow y & & \parallel & & \\ O & \longrightarrow & X' & \xrightarrow{f'} & Y' & \xrightarrow{g'} & Z & \longrightarrow & O \end{array}$$

where all rows are exact. Then we have the following commutative diagram

$$\begin{array}{ccccccccc} O & \longrightarrow & X & \xrightarrow{\alpha} & Y \oplus X' & \xrightarrow{\beta} & Y' & \longrightarrow & O \\ & & \parallel & & \downarrow \gamma & & \downarrow g' & & \\ O & \longrightarrow & X & \xrightarrow{f} & Y & \xrightarrow{-g} & Z & \longrightarrow & O \end{array}$$

where  $\alpha = \begin{bmatrix} f \\ x \end{bmatrix}$ ,  $\beta = \begin{bmatrix} -y & f' \end{bmatrix}$ ,  $\gamma = \begin{bmatrix} 1 & 0 \end{bmatrix}$ , where all rows are exact.

**Proposition 2.22** (Exact Sequence 3). *Suppose that the following diagram is commutative*

$$\begin{array}{ccccccc} & & X_1 & \longrightarrow & Y_1 & \longrightarrow & Z_1 \\ & \swarrow & \downarrow & & \downarrow & & \downarrow \\ X_2 & \longrightarrow & Y_2 & \longrightarrow & Z_2 & & \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ & \swarrow & X_3 & \longrightarrow & Y_3 & \longrightarrow & Z_3 \\ & \downarrow & \downarrow & & \downarrow & & \downarrow \\ X_4 & \longrightarrow & Y_4 & \longrightarrow & Z_4 & & \end{array}$$

where all rows are short exact sequences. If two of squares

$$\begin{array}{ccccccc} X_1 & \longrightarrow & X_2 & & Y_1 & \longrightarrow & Y_2 & & Z_1 & \longrightarrow & Z_2 \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ X_3 & \longrightarrow & X_4 & & Y_3 & \longrightarrow & Y_4 & & Z_3 & \longrightarrow & Z_4 \end{array}$$

are exact, then the rest is also exact.

*Hint.* Consider the following commutative diagram

$$\begin{array}{ccccccccc} O & \longrightarrow & X_1 & \longrightarrow & Y_1 & \longrightarrow & Z_1 & \longrightarrow & O \\ & & \downarrow & & \downarrow & & \downarrow & & \\ O & \longrightarrow & X_1 \oplus X_2 & \longrightarrow & Y_3 \oplus Y_2 & \longrightarrow & Z_2 \oplus Z_1 & \longrightarrow & O \\ & & \downarrow & & \downarrow & & \downarrow & & \\ O & \longrightarrow & X_2 & \longrightarrow & Y_4 & \longrightarrow & Z_2 & \longrightarrow & O. \end{array}$$

□

**Exercise 2.23.** The following hold.

1. For a sequence  $X \xrightarrow{f} Y \xrightarrow{g} Z$ , if for any  $M \in \mathcal{A}$ ,

$$0 \rightarrow \text{Hom}_{\mathcal{A}}(Z, M) \xrightarrow{\text{Hom}_{\mathcal{A}}(g, M)} \text{Hom}_{\mathcal{A}}(Y, M) \xrightarrow{\text{Hom}_{\mathcal{A}}(f, M)} \text{Hom}_{\mathcal{A}}(X, M) \rightarrow 0$$

is exact, then

$$O \rightarrow X \xrightarrow{f} Y \xrightarrow{g} Z \rightarrow O$$

is split exact.

2. For a sequence  $X \xrightarrow{f} Y \xrightarrow{g} Z$ , if for any  $M \in \mathcal{A}$ ,

$$0 \rightarrow \text{Hom}_{\mathcal{A}}(M, X) \xrightarrow{\text{Hom}_{\mathcal{A}}(M, f)} \text{Hom}_{\mathcal{A}}(M, Y) \xrightarrow{\text{Hom}_{\mathcal{A}}(M, g)} \text{Hom}_{\mathcal{A}}(M, Z) \rightarrow 0$$

is exact, then

$$O \rightarrow X \xrightarrow{f} Y \xrightarrow{g} Z \rightarrow O$$

is split exact.

**Definition 2.24** (Abn Categories). We define the conditions of an abelian category  $\mathcal{C}$ .

- (Ab3) We say that an abelian category  $\mathcal{C}$  satisfies the condition Ab3 (resp., Ab3\*) if  $\mathcal{C}$  has coproducts (resp., products) of objects indexed by arbitrary sets.  
 (Ab4) We say that an abelian category  $\mathcal{C}$  satisfies the condition Ab4 (resp., Ab4\*) provided that  $\mathcal{C}$  satisfies the condition Ab3 (resp., Ab3\*), and that the coproduct (resp., product) of monics (resp., epics) is monic (resp., epic).  
 (Ab5) We say that an abelian category  $\mathcal{C}$  satisfies the condition Ab5 (resp., Ab5\*) provided that  $\mathcal{C}$  satisfies the condition Ab3 (resp., Ab3\*), and that the filtered colimit (resp., filtered limit) of exact sequences is exact.

**Proposition 2.25.** *The following hold.*

1. In a category satisfying Ab3\* and Ab5, any  $\coprod \rightarrow \prod$  is monic.
2. Ab5  $\Rightarrow$  Ab4.

3. An abelian category  $\mathcal{C}$  satisfies the condition Ab5 if and only if  $\mathcal{C}$  satisfies the condition Ab3, and for a collection  $\{X_i\}$  of subobjects of an object  $X$ , we have

$$\sum_i (X_i \cap X') = \left( \sum_i X_i \right) \cap X'$$

for any subobject  $X'$  of  $X$ .

**Example 2.26.** For a ring  $A$ ,  $\text{Mod } A$  satisfies the conditions Ab4\*, Ab5.

### 3. KRULL-SCHMIDT CATEGORIES

Let  $R$  be a ring with unity and let  $J(R)$  be the Jacobson radical of  $R$ . We call  $R$  a semiperfect ring if (i)  $R/J(R)$  is a semi-simple Artinian ring, and (ii) any idempotent of  $R/J(R)$  can be lifted to an idempotent of  $R$ .

**Lemma 3.1** (Semiperfect Rings 1). *The following hold.*

1. A ring  $R$  is semiperfect if and only if  $R$  has a complete set of orthogonal primitive idempotents  $e_i$  ( $1 \leq i \leq n$ ) such that each  $e_i R e_i$  is a local ring.
2. A ring  $R$  is semiperfect if and only if every finitely generated  $R$ -module has a projective cover.

**Lemma 3.2** (Semiperfect Rings 2). *Let  $R$  be a semiperfect ring and let  $e_i$  ( $1 \leq i \leq n$ ) be a complete set of orthogonal primitive idempotents.*

1. If  $f_i$  ( $1 \leq i \leq m$ ) is another complete set of orthogonal primitive idempotents, then  $m = n$  and there is a permutation  $\pi$  such that  $R f_i \cong R e_{\pi(i)}$  for all  $i$ .
2. If  $f$  is an idempotent of  $R$ , then there are a permutation  $\pi$  and an integer  $t$  ( $1 \leq t \leq n$ ) such that  $R f \cong \bigoplus_{i=1}^t R e_{\pi(i)}$  and  $R(1-f) \cong \bigoplus_{i=t+1}^n R e_{\pi(i)}$ .
3. If  $I$  is a two-sided ideal of  $R$ , then  $R/I$  is also semiperfect.

**Proposition 3.3.** *Let  $\mathcal{C}$  be an additive category, and let  $X \in \mathcal{C}$ ,  $B = \text{End}_{\mathcal{C}}(X)$ . If  $X'$  is a direct summand of a finite coproduct of copies of  $X$ , we have*

$$\text{Hom}_{\mathcal{C}}(X', Y) \xrightarrow{\sim} \text{Hom}_B(\text{Hom}_{\mathcal{C}}(X, X'), \text{Hom}_{\mathcal{C}}(X, Y)) \quad (f \mapsto \text{Hom}_{\mathcal{C}}(X, f))$$

for all  $Y \in \mathcal{C}$ .

*Proof.* There are  $q_i : X' \rightarrow X$  and  $p_i : X \rightarrow X'$  ( $1 \leq i \leq n$ ) such that  $\sum_{i=1}^n p_i q_i = 1_{X'}$ . Let  $\phi \in \text{Hom}_B(\text{Hom}_{\mathcal{C}}(X, X'), \text{Hom}_{\mathcal{C}}(X, Y))$ , for any  $g \in \text{Hom}_{\mathcal{C}}(X, X')$ , we have

$$\begin{aligned} \phi(g) &= \phi\left(\sum_{i=1}^n p_i q_i g\right) \\ &= \sum_{i=1}^n \phi(p_i) q_i g \\ &= \text{Hom}_{\mathcal{C}}\left(X, \sum_{i=1}^n \phi(p_i) q_i\right)(g). \end{aligned}$$

Then  $\text{Hom}_{\mathcal{C}}(X, -)$  is surjective. Let  $f \in \text{Hom}_{\mathcal{C}}(X', Y)$  such that  $\text{Hom}_{\mathcal{C}}(X, f) = 0$ . Then  $f p_i = 0$  for all  $i$ , and hence  $f = f \sum_{i=1}^n p_i q_i = \sum_{i=1}^n f p_i q_i = 0$ .  $\square$

**Definition 3.4.** Let  $\mathcal{C}$  be an additive category. An object  $X$  of  $\mathcal{C}$  is called *indecomposable* if  $X \cong X_1 \oplus X_2$  implies  $X_1 = O$  or  $X_2 = O$ .

**Definition 3.5** (Pre-Krull-Schmidt Category). An additive category  $\mathcal{C}$  is called a *pre-Krull-Schmidt category* provided that  $\text{End}_{\mathcal{C}}(X)$  is a semiperfect ring for each  $X \in \mathcal{C}$ .

**Proposition 3.6.** *Let  $\mathcal{C}$  be a pre-Krull-Schmidt category. For any  $X \in \mathcal{C}$ , there are indecomposable objects  $X_i$  ( $1 \leq i \leq n$ ) such that*

$$X \cong \bigoplus_{i=1}^n X_i.$$

*Proof.* Given  $X \in \mathcal{C}$ , since  $\text{End}_{\mathcal{C}}(X)$  is a semiperfect ring, there is a natural number  $n_X$  such that  $\text{End}_{\mathcal{C}}(X)$  has a complete set of orthogonal primitive idempotents  $e_i$  ( $1 \leq i \leq n_X$ ). If  $X$  is not indecomposable, then we have a decomposition  $X = X_1 \oplus X_2$  with  $X_i \neq O$  ( $i = 1, 2$ ). By Lemma 3.2, 2, Proposition 3.3, we have  $n_{X_i} < n_X$  ( $i = 1, 2$ ). We get the statement by induction on  $n_X$ .  $\square$

**Proposition 3.7.** *Let  $\mathcal{C}$  be a pre-Krull-Schmidt category. Then the following are equivalent.*

1. *For any object  $X \in \mathcal{C}$ ,  $X$  is indecomposable if and only if  $\text{End}_{\mathcal{C}}(X)$  is a local ring.*
2. *For any object  $X \in \mathcal{C}$ , for any  $e^2 = e \in \text{End}_{\mathcal{C}}(X)$  there exist  $Y \in \mathcal{C}$  and  $q : Y \rightarrow X$ ,  $p : X \rightarrow Y$  such that  $qp = e$  and  $pq = 1_Y$  (i.e. any idempotent of  $\text{End}_{\mathcal{C}}(X)$  splits).*

*Proof.* 1  $\Rightarrow$  2. For any object  $X \in \mathcal{C}$ , by Proposition 3.6, we have  $X \cong \bigoplus_{i=1}^n X_i$ , where  $X_i$  are indecomposable objects ( $1 \leq i \leq n$ ). Then the compositions of natural morphisms  $X \rightarrow X_i \rightarrow X$  form a complete set of orthogonal primitive idempotents of  $\text{End}_{\mathcal{C}}(X)$ . By Lemma 3.2, 2, we get the statement 2.

2  $\Rightarrow$  1. Since  $\text{End}_{\mathcal{C}}(X)$  is semiperfect, it is trivial.  $\square$

**Definition 3.8** (Krull-Schmidt Category). We call a pre-Krull-Schmidt category  $\mathcal{C}$  a *Krull-Schmidt category* if  $\mathcal{C}$  satisfies the equivalent conditions of Proposition 3.7.

**Theorem 3.9** (Krull-Schmidt Theorem). *Let  $\mathcal{C}$  be a Krull-Schmidt category. For any  $X \in \mathcal{C}$ ,  $X$  is isomorphic to  $\bigoplus_{i=1}^n X_i$ , where  $X_i$  are indecomposable objects. Moreover, this decomposition is unique up to isomorphism (this is called a K-S decomposition).*

*Proof.* By Propositions 3.6, 3.7,  $X \in \mathcal{C}$  has a K-S decomposition  $\bigoplus_{i=1}^n X_i$ . Lemma 3.2 and Proposition 3.3 imply uniqueness of this decomposition.  $\square$

**Example 3.10.** We denote by  $\text{mod } A$  the category of finitely presented right  $A$ -modules. Let  $R$  be a commutative complete local ring,  $A$  a finite  $R$ -algebra. Then  $\text{mod } A$  is a Krull-Schmidt category.

**Definition 3.11** (Stable Category). Let  $\mathcal{C}$  be an additive category,  $\mathcal{I}$  an additive full subcategory of  $\mathcal{C}$ . For  $X, Y \in \mathcal{C}$ , let  $\mathcal{I}(X, Y)$  be the subgroup of  $\text{Hom}_{\mathcal{C}}(X, Y)$  generated by morphisms which factor through some object of  $\mathcal{I}$ . We define the category  $\underline{\mathcal{C}}_{\mathcal{I}}$  as follows.

1.  $\text{Ob } \underline{\mathcal{C}}_{\mathcal{I}} = \text{Ob } \mathcal{C}$ .
2.  $\text{Hom}_{\underline{\mathcal{C}}_{\mathcal{I}}}(X, Y) = \text{Hom}_{\mathcal{C}}(X, Y) / \mathcal{I}(X, Y)$ .

This category is called the *stable category* of  $\mathcal{C}$  by  $\mathcal{I}$ .

**Remark 3.12.** For  $X \in \mathcal{C}$ , If  $X$  is a direct summand of some object of  $\mathcal{I}$ , then  $X \cong O$  in  $\underline{\mathcal{C}}_{\mathcal{I}}$ .

**Theorem 3.13.** *Let  $\mathcal{C}$  be an additive category,  $\mathcal{I}$  an additive full subcategory of  $\mathcal{C}$ . If  $\mathcal{C}$  is a Krull-Schmidt category, then so is  $\underline{\mathcal{C}}_{\mathcal{I}}$ .*

*Proof.* By Proposition 2.3,  $\underline{\mathcal{C}}_{\mathcal{I}}$  is clearly an additive category. For an indecomposable object  $X \in \underline{\mathcal{C}}_{\mathcal{I}}$ , we have a K-S-decomposition  $X = \bigoplus_{i=1}^n X_i$  in  $\mathcal{C}$ . Let  $e_i : X \xrightarrow{p_i} X_i \xrightarrow{q_i} X$  be the canonical morphism in  $\mathcal{C}$  ( $1 \leq i \leq n$ ), and  $\underline{e}_i$  be the image of  $e_i$  in  $\underline{\mathcal{C}}_{\mathcal{I}}$ . If the number of  $e_i$  such that  $\underline{e}_i \neq 0$  is greater than 1, then by Lemma 3.2 this contradicts indecomposability of  $X$ . Thus we may assume that  $\underline{e}_1 \neq 0$  and  $\underline{e}_i = 0$  for  $i \geq 2$ , and then  $q_i p_i$  factors through  $V_i \in \mathcal{I}$  for  $i \geq 2$ . Then  $X_i$  is a direct summand of  $V_i$ . Therefore, by Lemma 3.2,3,  $\text{End}_{\underline{\mathcal{C}}_{\mathcal{I}}}(X) \cong \text{End}_{\underline{\mathcal{C}}_{\mathcal{I}}}(X_1)$  is a local ring. We complete the proof by Proposition 3.7.  $\square$

**Example 3.14.** Let  $R$  be a commutative complete local ring,  $A$  a finite  $R$ -algebra, and  $\text{proj } A$  the full subcategory of  $\text{mod } A$  consisting of finitely generated projective right  $A$ -modules. Then the stable category  $\underline{\text{mod}} A$  of  $\text{mod } A$  by  $\text{proj } A$  is a Krull-Schmidt category.

## 4. TRIANGULATED CATEGORIES

Throughout this section, unless otherwise stated, functors are covariant functors.

**Definition 4.1.** A *triangulated category*  $\mathcal{C}$  is an additive category together with (1) an auto-equivalence  $T : \mathcal{C} \xrightarrow{\sim} \mathcal{C}$ , called the *translation*, and (2) a collection  $\mathcal{T}$  of sextuples  $(X, Y, Z, u, v, w)$ , called *triangle (distinguished triangle)*. These data are subject to the following four axioms:

- (TR1) (1) Every sextuple  $(X, Y, Z, u, v, w)$  which is isomorphic to a triangle is a triangle.  
 (2) Every morphism  $u : X \rightarrow Y$  is embedded in a triangle  $(X, Y, Z, u, v, w)$ .  
 (3) The triangle  $(X, X, 0, 1_X, 0, 0)$  is a triangle for all  $X \in \mathcal{C}$ .
- (TR2) A triangle  $(X, Y, Z, u, v, w)$  is a triangle if and only if  $(Y, Z, TX, v, w, -Tu)$  is a triangle.
- (TR3) For any triangles  $(X, Y, Z, u, v, w)$ ,  $(X', Y', Z', u', v', w')$  and morphisms  $f : X \rightarrow X'$ ,  $g : Y \rightarrow Y'$  with  $gu = u'f$ , there exists  $h : Z \rightarrow Z'$  such that  $(f, g, h)$  is a homomorphism of triangles.
- (TR4) (Octahedral axiom) For any two consecutive morphisms  $u : X \rightarrow Y$  and  $v : Y \rightarrow Z$ , if we embed  $u, vu$  and  $v$  in triangles  $(X, Y, Z', u, i, i')$ ,  $(X, Z, Y', vu, k, k')$  and  $(Y, Z, X', v, j, j')$ , respectively, then there exist morphisms  $f : Z' \rightarrow Y'$ ,  $g : Y' \rightarrow X'$  such that the following diagram commute

$$\begin{array}{ccccccc}
 X & \xrightarrow{u} & Y & \xrightarrow{i} & Z' & \xrightarrow{i'} & TX \\
 \parallel & & \downarrow v & & \downarrow f & & \parallel \\
 X & \xrightarrow{vu} & Z & \xrightarrow{k} & Y' & \xrightarrow{k'} & TX \\
 & & \downarrow j & & \downarrow g & & \downarrow Tu \\
 & & X' & \xlongequal{\quad} & X' & \xrightarrow{j'} & TY \\
 & & \downarrow j' & & \downarrow (Ti)j' & & \\
 & & TY & \xrightarrow{Ti} & TZ' & & 
 \end{array}$$

and the third column is a triangle.

Sometimes, we write  $X[i]$  for  $T^i(X)$ .

**Definition 4.2** ( $\partial$ -functor). Let  $\mathcal{C}, \mathcal{C}'$  be triangulated categories. An additive functor  $F : \mathcal{C} \rightarrow \mathcal{C}'$  is called  $\partial$ -functor (sometimes *exact functor*) provided that there is a functorial isomorphism  $\alpha : FT_{\mathcal{C}} \xrightarrow{\sim} T_{\mathcal{C}'}F$  such that  $(FX, FY, FZ, F(u), F(v), \alpha_X F(w))$  is a triangle in  $\mathcal{C}'$  whenever  $(X, Y, Z, u, v, w)$  is a triangle in  $\mathcal{C}$ . Moreover, if a  $\partial$ -functor  $F$  is an equivalence, then we say that  $\mathcal{C}$  is *triangle equivalent* to  $\mathcal{C}'$ , and denote by  $\mathcal{C} \stackrel{t}{\cong} \mathcal{C}'$ .

For  $(F, \alpha), (G, \beta) : \mathcal{C} \rightarrow \mathcal{C}'$   $\partial$ -functors, a functorial morphism  $\phi : F \rightarrow G$  is called a  $\partial$ -functorial morphism if  $(T_{\mathcal{C}'}\phi)\alpha = \beta\phi T_{\mathcal{C}}$ .

We denote by  $\partial(\mathcal{C}, \mathcal{C}')$  the collection of all  $\partial$ -functors from  $\mathcal{C}$  to  $\mathcal{C}'$ , and denote by  $\partial\text{Mor}(F, G)$  the collection of  $\partial$ -functorial morphisms from  $F$  to  $G$ .

**Definition 4.3.** Given a triangulated category  $\mathcal{C}$  with a translation  $T_{\mathcal{C}}$ , we define the opposite triangulated category  $\mathcal{C}^{\text{op}}$  the following

1.  $T_{\mathcal{C}^{\text{op}}}(X^{\text{op}}) = T_{\mathcal{C}}^{-1}(X)$ .

2.  $X^{\text{op}} \rightarrow Y^{\text{op}} \rightarrow Z^{\text{op}} \rightarrow T_{\mathcal{C}^{\text{op}}} X^{\text{op}}$  is a distinguished triangle if  $T_{\mathcal{C}}^{-1} X \rightarrow Z \rightarrow Y \rightarrow X$  is a distinguished triangle in  $\mathcal{C}$ .

**Definition 4.4.** A covariant additive functor  $H : \mathcal{C} \rightarrow \mathcal{C}'$  from a triangulated category to an abelian category is called a *covariant cohomological functor*, if whenever  $(X, Y, Z, u, v, w)$  is a triangle in  $\mathcal{C}$ , the long sequence

$$\dots \rightarrow H(T^i(X)) \xrightarrow{H(T^i(u))} H(T^i(Y)) \xrightarrow{H(T^i(v))} H(T^i(Z)) \xrightarrow{H(T^i(w))} H(T^{i+1}(X)) \rightarrow \dots$$

is exact. If  $H$  is a cohomological functor, then we often write  $H^i(X)$  for  $H(T^i(X))$ ,  $i \in \mathbb{Z}$ . One defines a *contravariant cohomological functor* by reversing the arrows.

In this section, we deal with internal properties of a triangulated category  $\mathcal{C}$ .

**Proposition 4.5.** *The following hold.*

1. If  $(X, Y, Z, u, v, w)$  is a triangle, then  $vu = 0$ ,  $wv = 0$  and  $T(u)w = 0$ .
2. For any  $W \in \mathcal{C}$ ,  $\text{Hom}_{\mathcal{C}}(W, -) : \mathcal{C} \rightarrow \mathfrak{Ab}$  (resp.,  $\text{Hom}_{\mathcal{C}}(-, W) : \mathcal{C} \rightarrow \mathfrak{Ab}$ ) is a covariant (resp., contravariant) cohomological functor.
3. For any homomorphism of triangles  $(f, g, h) : (X, Y, Z, u, v, w) \rightarrow (X', Y', Z', u', v', w')$ , if two of  $f, g$  and  $h$  are isomorphisms, then the rest is also an isomorphism.

*Proof.* 1. According to (TR2), it suffices to show  $vu = 0$ . By (TR2) and (TR3) we have a commutative diagram

$$\begin{array}{ccccccc} X & \xrightarrow{1_X} & X & \longrightarrow & O & \longrightarrow & TX \\ \parallel & & \downarrow u & & \downarrow & & \parallel \\ X & \xrightarrow{u} & Y & \xrightarrow{v} & Z & \xrightarrow{w} & TX. \end{array}$$

2. Let  $(X, Y, Z, u, v, w)$  be a triangle. Then, since by 1,  $vu = 0$ , we have  $\text{Hom}_{\mathcal{C}}(W, v) \circ \text{Hom}_{\mathcal{C}}(W, u) = 0$ . Conversely, let  $g \in \text{Hom}_{\mathcal{C}}(W, Y)$  such that  $\text{Hom}_{\mathcal{C}}(W, v)(g) = vg = 0$ . Then by (TR3) there exists  $f \in \text{Hom}_{\mathcal{C}}(W, Y)$  which makes the following diagram commutes

$$\begin{array}{ccccccc} W & \xrightarrow{1_W} & W & \longrightarrow & O & \longrightarrow & TW \\ f \downarrow & & \downarrow g & & \downarrow & & \downarrow Tf \\ X & \xrightarrow{u} & Y & \xrightarrow{v} & Z & \xrightarrow{w} & TX. \end{array}$$

Thus  $g = \text{Hom}_{\mathcal{C}}(W, u)(f)$  and the sequence  $\text{Hom}_{\mathcal{C}}(W, X) \rightarrow \text{Hom}_{\mathcal{C}}(W, Y) \rightarrow \text{Hom}_{\mathcal{C}}(W, Z)$  is exact. It follows by (TR2) that  $\text{Hom}_{\mathcal{C}}(W, -)$  is a cohomological functor.

3. According to (TR2), it is enough to deal with the case that  $f, g$  are isomorphisms. By 2 we have a commutative diagram with exact rows

$$\begin{array}{ccccccccc} \text{Hom}_{\mathcal{C}}(TY', -) & \rightarrow & \text{Hom}_{\mathcal{C}}(TX', -) & \rightarrow & \text{Hom}_{\mathcal{C}}(Z', -) & \rightarrow & \text{Hom}_{\mathcal{C}}(Y', -) & \rightarrow & \text{Hom}_{\mathcal{C}}(X', -) \\ \downarrow \text{Hom}_{\mathcal{C}}(Tg, -) & & \downarrow \text{Hom}_{\mathcal{C}}(Tf, -) & & \downarrow \text{Hom}_{\mathcal{C}}(h, -) & & \downarrow \text{Hom}_{\mathcal{C}}(g, -) & & \downarrow \text{Hom}_{\mathcal{C}}(f, -) \\ \text{Hom}_{\mathcal{C}}(TY, -) & \rightarrow & \text{Hom}_{\mathcal{C}}(TX, -) & \rightarrow & \text{Hom}_{\mathcal{C}}(Z, -) & \rightarrow & \text{Hom}_{\mathcal{C}}(Y, -) & \rightarrow & \text{Hom}_{\mathcal{C}}(X, -). \end{array}$$

Thus, since by 5 lemma  $\text{Hom}_{\mathcal{C}}(h, -)$  is an isomorphism, it follows by Yoneda lemma that  $h$  is an isomorphism.  $\square$

**Proposition 4.6.** *Let  $F : \mathcal{C} \rightarrow \mathcal{C}'$  be a  $\partial$ -functor between triangulated categories. If  $G : \mathcal{C}' \rightarrow \mathcal{C}$  is a right (resp., left) adjoint of  $F$ , then  $G$  is also a  $\partial$ -functor.*

*Proof.* For  $X \in \mathcal{C}'$ , we have a functorial isomorphisms

$$\begin{aligned} \mathrm{Hom}_{\mathcal{C}}(-, GTX) &\cong \mathrm{Hom}_{\mathcal{C}'}(F-, TX) \\ &\cong \mathrm{Hom}_{\mathcal{C}'}(T^{-1}F-, X) \\ &\cong \mathrm{Hom}_{\mathcal{C}'}(FT^{-1}-, X) \\ &\cong \mathrm{Hom}_{\mathcal{C}}(T^{-1}-, GX) \\ &\cong \mathrm{Hom}_{\mathcal{C}}(-, TGX). \end{aligned}$$

Then we have a functorial isomorphism  $\beta : GT_{\mathcal{C}'} \xrightarrow{\sim} T_{\mathcal{C}}G$ . For a triangle  $(X, Y, Z, u, v, w)$  of  $\mathcal{C}'$ , let  $(GX, GY, Z', Gu, v', w')$  be a triangle of  $\mathcal{C}$ . Since there is a morphism of triangles

$$\begin{array}{ccccccc} FGX & \longrightarrow & FGY & \longrightarrow & FZ' & \longrightarrow & FTGX & \xrightarrow{\alpha_G} & TFGX \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & & \swarrow \\ X & \longrightarrow & Y & \longrightarrow & Z & \longrightarrow & TX & & \end{array}$$

Then we have a commutative diagram

$$\begin{array}{ccccccc} GX & \longrightarrow & GY & \longrightarrow & Z' & \longrightarrow & TGX \\ \parallel & & \parallel & & \downarrow & & \downarrow \beta^{-1} \\ GX & \longrightarrow & GY & \longrightarrow & GZ & \longrightarrow & GTX & \xrightarrow{\beta} & TGX. \end{array}$$

Since  $\mathrm{Hom}_{\mathcal{C}}(M, G-) \cong \mathrm{Hom}_{\mathcal{C}'}(FM, -)$ ,  $(GX, GY, GZ, Gu, Gv, Gw)$  induces a long exact sequence. We apply  $\mathrm{Hom}_{\mathcal{C}}(M, -)$  to the above diagram, then by 5 lemma, we have an isomorphism from  $(GX, GY, Z', Gu, v', w')$  to  $(GX, GY, GZ, Gu, Gv, \beta_X Gw)$ .  $\square$

**Proposition 4.7.** *The following hold.*

1. If  $\coprod_{i \in I} X_i$  (resp.,  $\prod_{i \in I} X_i$ ) exists in  $\mathcal{C}$  for  $\{X_i\}_{i \in I}$ , then there is an isomorphism

$$\begin{aligned} \alpha : \coprod_{i \in I} TX_i &\xrightarrow{\sim} T \coprod_{i \in I} X_i \\ (\text{resp., } \beta : \prod_{i \in I} TX_i &\xrightarrow{\sim} T \prod_{i \in I} X_i). \end{aligned}$$

2. For a collection of triangles  $(X_i, Y_i, Z_i, u_i, v_i, w_i)$  ( $i \in I$ ), if  $\coprod_{i \in I} X_i, \prod_{i \in I} Y_i, \prod_{i \in I} Y_i$  (resp.,  $\prod_{i \in I} X_i, \prod_{i \in I} Y_i, \prod_{i \in I} Y_i$ ) exist in  $\mathcal{C}$ , then

$$\begin{aligned} &(\coprod_{i \in I} X_i, \prod_{i \in I} Y_i, \prod_{i \in I} Z_i, \prod_{i \in I} u_i, \prod_{i \in I} v_i, \alpha \prod_{i \in I} w_i) \\ (\text{resp., } &(\prod_{i \in I} X_i, \prod_{i \in I} Y_i, \prod_{i \in I} Z_i, \prod_{i \in I} u_i, \prod_{i \in I} v_i, \beta \prod_{i \in I} w_i)) \end{aligned}$$

is a triangle.

*Proof.* 1. We have isomorphisms

$$\begin{aligned} \mathrm{Hom}_{\mathcal{C}}(T \coprod_{i \in I} X_i, -) &\cong \mathrm{Hom}_{\mathcal{C}}(\prod_{i \in I} X_i, T^{-1}-) \\ &\cong \prod_{i \in I} \mathrm{Hom}_{\mathcal{C}}(X_i, T^{-1}-) \\ &\cong \prod_{i \in I} \mathrm{Hom}_{\mathcal{C}}(TX_i, -) \\ &\cong \mathrm{Hom}_{\mathcal{C}}(\prod_{i \in I} TX_i, -). \end{aligned}$$



2. Let  $(\coprod_{i \in I} X_i, \coprod_{i \in I} Y_i, Z', \coprod_{i \in I} u_i, v, w)$  be a triangle. Then we have a commutative diagram

$$\begin{array}{ccccccc} \coprod_{i \in I} X_i & \xrightarrow{\coprod_{i \in I} u_i} & \coprod_{i \in I} Y_i & \xrightarrow{\coprod_{i \in I} v_i} & \coprod_{i \in I} Z_i & \xrightarrow{\alpha \coprod_{i \in I} v_i} & T \coprod_{i \in I} X_i \\ \parallel & & \parallel & & \downarrow & & \parallel \\ \coprod_{i \in I} X_i & \xrightarrow{\coprod_{i \in I} u_i} & \coprod_{i \in I} Y_i & \xrightarrow{v} & Z' & \xrightarrow{w} & T \coprod_{i \in I} X_i. \end{array}$$

Applying  $\text{Hom}_{\mathcal{C}}(M, -)$  to the above, by 5 lemma, we complete the proof.  $\square$

**Proposition 4.8.** *The following hold.*

1. A triangle  $(X, Y, Z, u, v, 0)$  is isomorphic to  $(X, Z \oplus X, Z, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \end{bmatrix}, 0)$ .
2. For a morphism of triangles

$$\begin{array}{ccccccc} X & \xrightarrow{u} & Y & \xrightarrow{v} & Z & \xrightarrow{w} & TX \\ \parallel & & f \downarrow & & \downarrow g & & \parallel \\ X' & \xrightarrow{u'} & Y' & \xrightarrow{v'} & Z' & \xrightarrow{w'} & TX \end{array}$$

there exists  $g' : Z \rightarrow Z'$  such that

$$Y \xrightarrow{\begin{bmatrix} v \\ f \end{bmatrix}} Z \oplus Y' \xrightarrow{\begin{bmatrix} -g' & v' \end{bmatrix}} Z' \xrightarrow{(Tu)w'} TY$$

is a triangle.

*Proof.* 1. Since  $\text{Hom}_{\mathcal{C}}(Z, Z) \xrightarrow{0} \text{Hom}_{\mathcal{C}}(Z, TX)$ , by Proposition 4.5, there is  $s : Z \rightarrow Y$  such that  $vs = 1_Z$ . Then we have a commutative diagram

$$\begin{array}{ccccccc} X & \xrightarrow{\mu} & Z \oplus X & \xrightarrow{\pi} & Z & \xrightarrow{0} & TX \\ \parallel & & \alpha \downarrow & & \parallel & & \parallel \\ X & \xrightarrow{u} & Y & \xrightarrow{v} & Z & \xrightarrow{0} & TX \end{array}$$

where  $\mu = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ ,  $\pi = \begin{bmatrix} 1 & 0 \end{bmatrix}$ ,  $\alpha = \begin{bmatrix} s & u \end{bmatrix}$ .

2. Since  $T^{-1}Z' \xrightarrow{-T^{-1}w'} X \xrightarrow{u'} Y' \xrightarrow{v'} Z'$  is triangle, we have a commutative diagram

$$\begin{array}{ccccccc} T^{-1}Z' & \xrightarrow{-T^{-1}w'} & X & \xrightarrow{u'} & Y' & \xrightarrow{v'} & Z' \\ \parallel & & \downarrow u & & \downarrow x & & \parallel \\ T^{-1}Z' & \xrightarrow{-uT^{-1}w'} & Y & \xrightarrow{\alpha} & M & \xrightarrow{\beta} & Z' \\ & & \downarrow v & & \downarrow y & & \downarrow -w' \\ & & Z & \xlongequal{\quad} & Z & \xrightarrow{w} & TX \\ & & \downarrow w & & \downarrow 0 & & \\ & & TX & \xrightarrow{Tu'} & TY' & & \end{array}$$

By 1,  $Y' \xrightarrow{x} M \xrightarrow{y} Z \xrightarrow{0} TY'$  is isomorphic to  $Y' \xrightarrow{\mu} Z \oplus Y' \xrightarrow{\pi} Z \xrightarrow{0} TY'$ . Then we have a commutative diagram

$$\begin{array}{ccccccc}
T^{-1}Z' & \xrightarrow{-T^{-1}w'} & X & \xrightarrow{u'} & Y' & \xrightarrow{v'} & Z' \\
\parallel & & \downarrow u & & \downarrow \mu & & \parallel \\
T^{-1}Z' & \xrightarrow{-uT^{-1}w'} & Y & \xrightarrow{\alpha} & Z \oplus Y' & \xrightarrow{\beta} & Z' \\
& & \downarrow v & & \downarrow \pi & & \downarrow -w' \\
& & Z & \xlongequal{\quad} & Z & \xrightarrow{w} & TX \\
& & \downarrow w & & \downarrow 0 & & \\
& & TX & \xrightarrow{Tu'} & TY' & & 
\end{array}$$

where  $\mu = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ ,  $\pi = \begin{bmatrix} 1 & 0 \end{bmatrix}$ ,  $\alpha = \begin{bmatrix} v \\ f' \end{bmatrix}$ ,  $\beta = \begin{bmatrix} -g'' & v' \end{bmatrix}$ , and  $v'f' = g''v$ ,  $f'u = u'$ ,  $w = w'g'$ . Since  $(f' - f)u = 0$ , there is  $h : Z \rightarrow Y'$  such that  $f' = f + hv$ . Hence we have a commutative diagram

$$\begin{array}{ccccccc}
Y & \xrightarrow{\alpha'} & Z \oplus Y' & \xrightarrow{\beta'} & Z & \xrightarrow{(Tu)w'} & TY \\
\parallel & & \phi \downarrow & & \parallel & & \parallel \\
Y & \xrightarrow{\alpha} & Z \oplus Y' & \xrightarrow{\beta} & Z & \xrightarrow{(Tu)w'} & TY
\end{array}$$

where  $\alpha' = \begin{bmatrix} v \\ f \end{bmatrix}$ ,  $\beta' = \begin{bmatrix} -g' & v' \end{bmatrix}$ ,  $\phi = \begin{bmatrix} 1 & 0 \\ h & 1 \end{bmatrix}$ . □

**Proposition 4.9** (9 Lemma). *Any commutative diagram in  $\mathcal{C}$*

$$\begin{array}{ccc}
X' & \xrightarrow{u'} & Y' \\
x' \downarrow & & \downarrow y' \\
X & \xrightarrow{u} & Y
\end{array}$$

can be embedded in a diagram

$$\begin{array}{ccccccc}
X' & \xrightarrow{u'} & Y' & \xrightarrow{v'} & Z' & \xrightarrow{w'} & TX' \\
\downarrow x' & & \downarrow y' & & \downarrow z' & & \downarrow Tx' \\
X & \xrightarrow{u} & Y & \xrightarrow{v} & Z & \xrightarrow{w} & TX \\
\downarrow x & & \downarrow y & & \downarrow z & & \downarrow Tx \\
X'' & \xrightarrow{u''} & Y'' & \xrightarrow{v''} & Z'' & \xrightarrow{w''} & TX'' \\
\downarrow x'' & & \downarrow y'' & & \downarrow z'' & - & \downarrow -Tx'' \\
TX' & \xrightarrow{Tu'} & TY' & \xrightarrow{Tv'} & TZ' & \xrightarrow{-Tw'} & T^2X'
\end{array}$$

which is commutative without the right and bottom corner, - anti-commutative, where all rows and columns are triangles.

*Proof.* According to (TR4), we have three commutative diagrams

$$\begin{array}{ccccccc}
X' & \xrightarrow{u'} & Y' & \xrightarrow{v'} & Z' & \xrightarrow{w'} & TX' \\
\parallel & & \downarrow y' & & \downarrow \gamma & & \parallel \\
X' & \xrightarrow{y'u'} & Y & \xrightarrow{\alpha} & A & \xrightarrow{\beta} & TX' \\
& & \downarrow y & & \downarrow \delta & & \downarrow Tu' \\
& & Y'' & \xrightarrow{=} & Y'' & \xrightarrow{y''} & TY' \\
& & \downarrow y'' & & \downarrow (Tv')y'' & & \\
& & TY' & \xrightarrow{Tv'} & TZ' & & 
\end{array}$$

$$\begin{array}{ccccccc}
X' & \xrightarrow{x'} & X & \xrightarrow{x} & X'' & \xrightarrow{x''} & TX' \\
\parallel & & \downarrow u & & \downarrow \varepsilon & & \parallel \\
X' & \xrightarrow{ux'} & Y & \xrightarrow{\alpha} & A & \xrightarrow{\beta} & TX' \\
& & \downarrow v & & \downarrow \eta & & \downarrow Tx' \\
& & Z & \xrightarrow{=} & Z & \xrightarrow{w} & TX \\
& & \downarrow w & & \downarrow (Tx)w & & \\
& & TX & \xrightarrow{Tx} & TX'' & & 
\end{array}$$

$$\begin{array}{ccccccc}
X'' & \xrightarrow{\varepsilon} & A & \xrightarrow{\eta} & Z & \xrightarrow{(Tx)w} & TX'' \\
\parallel & & \downarrow \delta & & \downarrow z & & \parallel \\
X'' & \xrightarrow{u''} & Y'' & \xrightarrow{v''} & Z'' & \xrightarrow{w''} & TX \\
& & \downarrow (Tv')y'' & & \downarrow z'' & & \downarrow T\varepsilon \\
& & TZ' & \xrightarrow{=} & TZ' & \xrightarrow{-T\gamma} & TA \\
& & \downarrow -T\gamma & & \downarrow -Tz'' & & \\
& & TA & \xrightarrow{T\eta} & TZ & & 
\end{array}$$

In particular, we have  $u'' = \delta\varepsilon$ ,  $v = \eta\alpha$ ,  $y = \delta\alpha$ ,  $z' = \eta\gamma$ ,  $z\eta = v''\delta$  and  $(Tx'')w'' = (T\beta)(T\varepsilon)w'' = -(T\beta)(T\gamma)z'' = -(Tw')z'$ . Then it is easy to get the diagram.  $\square$

## 5. FROBENIUS CATEGORIES

**Definition 5.1** (Exact Category). Let  $\mathcal{C}$  be an additive category which is embedded as a full subcategory of an abelian category  $\mathcal{A}$ , and suppose that  $\mathcal{C}$  is closed under extensions in  $\mathcal{A}$ . Let  $\mathcal{S}$  be a collection of exact sequences in  $\mathcal{A}$

$$O \rightarrow X \xrightarrow{u} Y \xrightarrow{v} Z \rightarrow O.$$

$u$  is called an *admissible monomorphism*, and  $v$  is called an *admissible epimorphism*.

A pair  $(\mathcal{C}, \mathcal{S})$  is called an *exact category* in the sense of Quillen provided that

- (EX1) Any split sequence of which all terms are in  $\mathcal{C}$  is in  $\mathcal{S}$ .
- (EX2) The composition of admissible monomorphisms (resp., epimorphisms) is also an admissible monomorphism (resp., epimorphism).

(EX3) Given the following commutative diagram in  $\mathcal{A}$

$$\begin{array}{ccccccccc} O & \longrightarrow & X & \longrightarrow & Y & \longrightarrow & Z & \longrightarrow & O \\ & & \downarrow & & \downarrow & & \parallel & & \\ O & \longrightarrow & X' & \longrightarrow & Y' & \longrightarrow & Z & \longrightarrow & O \end{array}$$

where all rows are exact, if the top row is in  $\mathcal{S}$  and  $X' \in \mathcal{C}$ , then the bottom row is in  $\mathcal{S}$ .

(EX4) Given the following commutative diagram in  $\mathcal{A}$

$$\begin{array}{ccccccccc} O & \longrightarrow & X & \longrightarrow & Y' & \longrightarrow & Z' & \longrightarrow & O \\ & & \parallel & & \downarrow & & \downarrow & & \\ O & \longrightarrow & X & \longrightarrow & Y & \longrightarrow & Z & \longrightarrow & O \end{array}$$

where all rows are exact, if the bottom row is in  $\mathcal{S}$  and  $Z' \in \mathcal{C}$ , then the top row is in  $\mathcal{S}$ .

An object  $X$  in  $\mathcal{C}$  is called  $\mathcal{S}$ -projective (resp.,  $\mathcal{S}$ -injective) if for any admissible epimorphisms (resp., monomorphisms)  $v : Y \rightarrow Z$ ,  $\text{Hom}_{\mathcal{C}}(X, v)$  (resp.,  $\text{Hom}_{\mathcal{C}}(v, X)$ ) is surjective.

**Definition 5.2** (Frobenius Category). An exact category  $(\mathcal{C}, \mathcal{S})$  is called a *Frobenius category* if  $(\mathcal{C}, \mathcal{S})$  has enough  $\mathcal{S}$ -projectives and enough  $\mathcal{S}$ -injectives and if  $\mathcal{S}$ -projectives coincide with  $\mathcal{S}$ -injectives.

Let  $\mathcal{Q}$  be the full subcategory of  $\mathcal{C}$  consisting of  $\mathcal{S}$ -projective objects. A stable category  $\underline{\mathcal{C}}$  is the category  $\underline{\mathcal{C}}_{\mathcal{Q}}$ .

**Proposition 5.3.** *In a Frobenius category  $(\mathcal{C}, \mathcal{S})$ , we consider the following commutative diagram*

$$\begin{array}{ccccccccc} O & \longrightarrow & X & \longrightarrow & I & \longrightarrow & X_1 & \longrightarrow & O \\ & & f \downarrow & & \downarrow & & \downarrow f' & & \\ O & \longrightarrow & X' & \longrightarrow & I' & \longrightarrow & X'_1 & \longrightarrow & O \end{array}$$

where  $I, I'$  are  $\mathcal{S}$ -injective, with all rows in  $\mathcal{S}$ . Then the image  $\underline{f'}$  is uniquely determined by  $f$  in  $\underline{\mathcal{C}}$ .

**Remark 5.4.** For all  $X \in \mathcal{C}$  we choose the elements  $O \rightarrow X \xrightarrow{\mu_X} I(X) \xrightarrow{\pi_X} TX \rightarrow O$  in  $\mathcal{S}$ , with  $I(X)$  being  $\mathcal{S}$ -injective. According to Proposition 5.3, an object  $TX$  is uniquely determined up to isomorphism in  $\underline{\mathcal{C}}$  independently of choice of the above sequence, but  $\underline{f'}$  is depend on their choice. Then we can understand the induced functor  $T : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{C}}$  only if we know  $O \rightarrow X \xrightarrow{\mu_X} I(X) \xrightarrow{\pi_X} TX \rightarrow O$  in  $\mathcal{S}$  for all  $X \in \mathcal{C}$ .

**Proposition 5.5.**  *$T$  is an auto-equivalence of  $\underline{\mathcal{C}}$ .*

**Definition 5.6** (Triangle). In a Frobenius category  $(\mathcal{C}, \mathcal{S})$ , let  $u : X \rightarrow Y$  be an morphism in  $\mathcal{C}$ . By taking  $M(u) = \text{PushOut}(u, \mu_X)$ , we have the following commutative diagram in  $\mathcal{C}$

$$\begin{array}{ccccccccc} O & \longrightarrow & X & \xrightarrow{\mu_X} & I(X) & \xrightarrow{\pi_X} & TX & \longrightarrow & O \\ & & u \downarrow & & \downarrow x & & \parallel & & \\ O & \longrightarrow & Y & \xrightarrow{v} & M(u) & \xrightarrow{w} & TX & \longrightarrow & O \end{array}$$

with all rows in  $\mathcal{S}$ . Then in  $\underline{\mathcal{C}}$  the sequence

$$X \xrightarrow{u} Y \xrightarrow{v} M(u) \xrightarrow{w} TX$$

is called a *standard triangle*. Let  $\mathcal{T}$  be a collection of sextuples which are isomorphic to standard triangles in  $\underline{\mathcal{C}}$ .

**Lemma 5.7.** *In a Frobenius category  $(\mathcal{C}, \mathcal{S})$ , let  $w : M(u) \rightarrow TX$  be the morphism in Definition 5.6. Consider the following commutative diagram in  $\mathcal{C}$*

$$\begin{array}{ccccccccc} O & \longrightarrow & X & \xrightarrow{-u'} & Y' & \xrightarrow{v'} & M(u) & \longrightarrow & O \\ & & \parallel & & \downarrow x' & & \downarrow w & & \\ O & \longrightarrow & X & \xrightarrow{\mu} & I(X) & \xrightarrow{\pi} & TX & \longrightarrow & O \end{array}$$

with all rows in  $\mathcal{S}$ , then the sextuple  $X \xrightarrow{u'} Y' \xrightarrow{v'} M(u) \xrightarrow{w} TX$  is isomorphic to the above triangle  $X \xrightarrow{u} Y \xrightarrow{v} M(u) \xrightarrow{w} TX$  in  $\underline{\mathcal{C}}$ .

*Proof.* By Proposition 2.21, we have the following commutative diagram

$$\begin{array}{ccccccccc} O & \longrightarrow & X & \xrightarrow{\beta} & I(X) \oplus Y & \xrightarrow{\gamma} & M(u) & \longrightarrow & O \\ & & \parallel & & \downarrow \delta & & \downarrow w & & \\ O & \longrightarrow & X & \xrightarrow{\mu} & I(X) & \xrightarrow{-\pi} & TX & \longrightarrow & O \end{array}$$

where  $\beta = \begin{bmatrix} \mu \\ u \end{bmatrix}$ ,  $\gamma = [-x \ v]$ ,  $\delta = [1 \ 0]$ , with all rows in  $\mathcal{S}$ . Since the right and bottom rectangle in the previous diagram is pull back, there exists  $\eta : Y' \rightarrow I(X) \oplus Y$  such that we have the following commutative diagram in  $\underline{\mathcal{C}}$

$$\begin{array}{ccccccccc} X & \xrightarrow{u'} & Y' & \xrightarrow{v'} & M(u) & \xrightarrow{w} & TX \\ \parallel & & \downarrow \eta & & \parallel & & \parallel \\ X & \xrightarrow{\beta} & I(X) \oplus Y & \xrightarrow{\gamma} & M(u) & \xrightarrow{w} & TX \\ \parallel & & \downarrow \varepsilon & & \parallel & & \parallel \\ X & \xrightarrow{u} & Y & \xrightarrow{v} & M(u) & \xrightarrow{w} & TX \end{array}$$

where  $\varepsilon = [0 \ 1]$ , all vertical arrows are isomorphisms in  $\underline{\mathcal{C}}$ .  $\square$

**Proposition 5.8.** *In a Frobenius category  $(\mathcal{C}, \mathcal{S})$ , the image of any element  $O \rightarrow X \xrightarrow{u} Y \xrightarrow{v} Z \rightarrow O$  of  $\mathcal{S}$  can be embedded in a triangle  $X \xrightarrow{u} Y \xrightarrow{v} Z \xrightarrow{w} TX$  in  $\underline{\mathcal{C}}$ .*

*Proof.* Since  $I(X)$  is  $\mathcal{S}$ -injective and  $O \rightarrow X \xrightarrow{-u} Y \xrightarrow{v} Z \rightarrow O \in \mathcal{S}$ , we have a commutative diagram

$$\begin{array}{ccccccccc} O & \longrightarrow & X & \xrightarrow{-u} & Y & \xrightarrow{v} & Z & \longrightarrow & O \\ & & \parallel & & \downarrow & & \downarrow w & & \\ O & \longrightarrow & X & \xrightarrow{\mu} & I(X) & \xrightarrow{\pi} & TX & \longrightarrow & O. \end{array}$$

By Lemma 5.7, we get the statement.  $\square$

**Theorem 5.9.** *Let  $(\mathcal{C}, \mathcal{S})$  be a Frobenius category. Then  $(\underline{\mathcal{C}}, \mathcal{T})$  is a triangulated category.*

*Proof.* we show that  $(\mathcal{C}, \mathcal{S})$  satisfies the axioms of a triangulated category.

(TR1) It is trivial.

(TR2) Let  $(X, Y, Z, \underline{u}, \underline{v}, \underline{w})$  be a standard triangle. Then we have a commutative diagram

$$\begin{array}{ccccccccc}
O & \longrightarrow & X & \xrightarrow{\mu_X} & I(X) & \xrightarrow{\pi_X} & TX & \longrightarrow & O \\
& & \downarrow u & & \downarrow x & & \parallel & & \\
O & \longrightarrow & Y & \xrightarrow{v} & Z & \xrightarrow{w} & TX & \longrightarrow & O \\
& & \downarrow \mu_Y & & \downarrow \alpha & & \parallel & & \\
O & \longrightarrow & I(Y) & \xrightarrow{\beta} & I(Y) \oplus TX & \xrightarrow{\gamma} & TX & \longrightarrow & O \\
& & \downarrow \pi_Y & & \downarrow \delta & & & & \\
& & TY & \xlongequal{\quad} & TY & & & & 
\end{array}$$

where  $\alpha = \begin{bmatrix} s \\ w \end{bmatrix}$ ,  $\beta = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$ ,  $\gamma = [0 \ 1]$ ,  $\delta = [\pi_Y \ u']$ ,  $\pi_Y s + u'w = 0$ , and with all rows in  $\mathcal{S}$ . Since  $sx\mu_X = [1 \ 0]\alpha x\mu_X = [1 \ 0]\beta\mu_Y u = \mu_Y u$ , we have a commutative diagram

$$\begin{array}{ccccccccc}
O & \longrightarrow & X & \xrightarrow{\mu_X} & I(X) & \xrightarrow{\pi_X} & TX & \longrightarrow & O \\
& & \downarrow u & & \downarrow sx & & \downarrow -u' & & \\
O & \longrightarrow & Y & \xrightarrow{\mu_Y} & I(Y) & \xrightarrow{\pi_Y} & TY & \longrightarrow & O.
\end{array}$$

Then we have  $\underline{u}' = -T\underline{u}$ , and we have a commutative diagram in  $\underline{\mathcal{C}}$

$$\begin{array}{ccccccc}
Y & \xrightarrow{v} & Z & \xrightarrow{w} & TX & \xrightarrow{u'} & TY \\
\parallel & & \parallel & & \downarrow \epsilon & & \parallel \\
Y & \xrightarrow{v} & Z & \xrightarrow{\alpha} & I(Y) \oplus TX & \xrightarrow{\delta} & TY
\end{array}$$

where  $\epsilon = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$  is an isomorphism in  $\underline{\mathcal{C}}$ . Hence a sextuple  $(Y, Z, TX, \underline{v}, \underline{w}, -T\underline{u})$  is a triangle. The reverse implication is similar by Lemma 5.7.

(TR3) Let  $(X_i, Y_i, Z_i, \underline{u}_i, \underline{v}_i, \underline{w}_i)$ , be standard triangles ( $i = 1, 2$ ), and

$$\begin{array}{ccccccccc}
O & \longrightarrow & X_i & \xrightarrow{\mu_{X_i}} & I(X_i) & \xrightarrow{\pi_{X_i}} & TX_i & \longrightarrow & O \\
& & \downarrow u_i & & \downarrow x_i & & \parallel & & \\
O & \longrightarrow & Y_i & \xrightarrow{v_i} & Z_i & \xrightarrow{w_i} & TX_i & \longrightarrow & O
\end{array}$$

commutative diagrams with all rows in  $\mathcal{S}$ . Let  $f : X_1 \rightarrow X_2$ ,  $g : Y_1 \rightarrow Y_2$  be morphisms satisfying  $\underline{u}_2 f = g \underline{u}_1$  in  $\underline{\mathcal{C}}$ . Since  $I(X_1)$  is  $\mathcal{S}$ -injective, there exists  $t : I(X_1) \rightarrow Y_2$  such that  $g u_1 - u_2 f = t \mu_{X_1}$ . Since  $I(X_2)$  is  $\mathcal{S}$ -injective, we have a commutative diagram

$$\begin{array}{ccc}
X_1 & \xrightarrow{\mu_{X_1}} & I(X_1) \\
f \downarrow & & \downarrow r \\
X_2 & \xrightarrow{\mu_{X_2}} & I(X_2).
\end{array}$$

Then we have equations

$$\begin{aligned} x_2 r \mu_{X_1} &= x_2 \mu_{X_2} f \\ &= v_2 u_2 f \end{aligned}$$

$$\begin{aligned} v_2 g u_1 &= v_2 u_2 f + v_2 t \mu_{X_1} \\ &= (x_2 r + v_2 t) \mu_{X_1} \end{aligned}$$

Since

$$\begin{array}{ccc} X_1 & \xrightarrow{\mu_{X_1}} & I(X_1) \\ u_1 \downarrow & & \downarrow x_1 \\ Y_1 & \xrightarrow{v_1} & Z_1 \end{array}$$

is push out, there exists  $h : M(u_1) \rightarrow M(u_2)$  such that  $v_2 g = h v_1$  and  $x_2 r + v_2 t = h x_1$ . Then there is  $f' : TX_1 \rightarrow TX_2$  such that  $f' w_1 = w_2 h$ . Since

$$f' \pi_{X_1} = f' w_1 x_1 = w_2 h x_1 = w_2 (x_2 r + v_2 t) = w_2 x_2 r = \pi_{X_2} r$$

we have  $f' = T f$ , and hence a morphism  $(\underline{f}, \underline{g}, \underline{h})$  from  $(X_1, Y_1, Z_1, \underline{u}_1, \underline{v}_1, \underline{w}_1)$  to  $(X_2, Y_2, Z_2, \underline{u}_2, \underline{v}_2, \underline{w}_2)$ .

(TR4) Let  $(X, Y, Z', \underline{u}, \underline{i}, \underline{i}')$ ,  $(X, Z, Y', \underline{v}, \underline{k}, \underline{k}')$  and  $(Y, Z, X', \underline{v}, \underline{j}, \underline{j}')$  be triangles in  $\underline{\mathcal{C}}$ . We have a commutative diagram in  $\underline{\mathcal{C}}$

$$\begin{array}{ccccccc} O & \longrightarrow & X & \xrightarrow{\mu_X} & I(X) & \xrightarrow{\pi_X} & TX & \longrightarrow & O \\ & & \downarrow u & EX & \downarrow x & & \parallel & & \\ O & \longrightarrow & Y & \xrightarrow{i} & Z' & \xrightarrow{i'} & TX & \longrightarrow & O \\ & & \downarrow v & EX & \downarrow f & & \parallel & & \\ O & \longrightarrow & Z & \xrightarrow{k} & Y' & \xrightarrow{k'} & TX & \longrightarrow & O \end{array}$$

where all rows are in  $\mathcal{S}$ . Since  $i$  is an admissible monomorphism,  $\mu'_Y = \mu_Z i$  is also an admissible monomorphism and there is an admissible epimorphism  $\pi'_Y$  such that  $\pi_{Z'} = (T' i) \pi'_Y$ . Then we have a commutative diagram in  $\underline{\mathcal{C}}$

$$\begin{array}{ccccccc} X & \xrightarrow{\mu_X} & I(X) & \xrightarrow{\pi_X} & TX & & \\ \downarrow u & EX & \downarrow x & & \searrow T'u & & \\ Y & \xrightarrow{i} & Z' & \xrightarrow{\mu_{Z'}} & I(Z') & \xrightarrow{\pi'_Y} & T'Y & \xrightarrow{T'i} & TZ' \\ \downarrow v & EX & \downarrow f & EX & \downarrow z' & & \parallel & & \\ Z & \xrightarrow{k} & Y' & \xrightarrow{g_1} & X'' & \xrightarrow{j'_1} & T'Y & \xrightarrow{T'i} & TZ' \end{array}$$

Therefore, we have a triangle in  $\underline{\mathcal{C}}$

$$Z' \xrightarrow{f} Y' \xrightarrow{g_1} X'' \xrightarrow{(T'i)j'_1} TZ'.$$

Since  $j'_1 g_1 f x = (T'u) \pi_X = (T'u) k' f x$  and  $j'_1 g_1 k = 0 = (T'u) k' k$  in  $\mathcal{C}$ , and

$$\begin{array}{ccccc} X & \xrightarrow{u} & Y & \xrightarrow{v} & Z \\ \mu_X \downarrow & & & & \downarrow k \\ I(X) & \xrightarrow{x} & Z' & \xrightarrow{f} & Y' \end{array}$$

is push out, we have  $j'_1 g_1 = (T'u)k'$ . Since  $I(Y)$  and  $I(Z')$  are  $\mathcal{S}$ -injective, there exist  $\alpha : TY \rightarrow T'Y$  and  $\beta : X' \rightarrow X''$  such that

$$\begin{array}{ccccc}
 & Y & \xrightarrow{\quad} & I(Y) & \twoheadrightarrow & TY \\
 & \swarrow & & \downarrow & & \downarrow \\
 Z & \xrightarrow{\quad} & X' & \xrightarrow{\quad} & TY & \\
 & \parallel & & \downarrow & & \downarrow \\
 & Y & \xrightarrow{\quad} & I(Z') & \twoheadrightarrow & T'Y \\
 & \swarrow & & \downarrow & & \downarrow \\
 Z & \xrightarrow{\quad} & X'' & \xrightarrow{\quad} & T'Y & \\
 & \parallel & & & & \parallel
 \end{array}$$

is commutative in  $\mathcal{C}$ , where  $\underline{\alpha}$  is an isomorphism in  $\underline{\mathcal{C}}$ . Then by Proposition 2.22,  $\underline{\beta}$  is an isomorphism in  $\underline{\mathcal{C}}$ , and  $T\underline{i} = (T'i)\underline{\alpha}$ ,  $T\underline{u} = \underline{\alpha}^{-1}T'u$ . Let  $\underline{g} = \underline{\beta}^{-1}g_1$ ,  $\underline{j} = \underline{\beta}^{-1}j_1 k$ ,  $\underline{j}' = \underline{\alpha}^{-1}j'_1 \beta$ , then we have the octahedral diagram of Definition 4.1.  $\square$

**Example 5.10.** Let  $A$  be a self-injective algebra over a field  $k$ , and  $O \rightarrow \Omega \rightarrow A \otimes_k A \xrightarrow{\mu} A \rightarrow O$  an exact sequence, where  $\mu$  is the multiplication map. Then  $\text{mod } A$  is a Frobenius category, its stable category  $\underline{\text{mod}} A$  is a triangulated category with a translation functor  $\text{Hom}_A(\Omega, -)$ .

## 6. HOMOTOPY CATEGORIES

Throughout this section,  $\mathcal{A}$  is an abelian category and  $\mathcal{B}$  is an additive subcategory of  $\mathcal{A}$  which is closed under isomorphisms.

**Definition 6.1** (Complex). Let  $\mathcal{B}$  be an additive category. A *complex* (cochain complex) is a collection  $X^\bullet = (X^n, d_X^n : X^n \rightarrow X_X^{n+1})_{n \in \mathbb{Z}}$  of objects and morphisms of  $\mathcal{B}$  such that  $d_X^{n+1} d_X^n = 0$ . A complex  $X^\bullet = (X^n, d_X^n : X^n \rightarrow X_X^{n+1})_{n \in \mathbb{Z}}$  is called *bounded below* (resp., *bounded above*, *bounded*) if  $X^n = O$  for sufficiently small (resp., large, large and small)  $n$ .

A complex  $X^\bullet = (X^n, d_X^n)$  is called a *stalk complex* if there exists an integer  $n_0$  such that  $X^i = O$  if  $i \neq n_0$ . We identify objects of  $\mathcal{B}$  with a stalk complexes of degree 0.

A *morphism*  $f$  of complexes  $X^\bullet$  to  $Y^\bullet$  is a collection of morphisms  $f^n : X^n \rightarrow Y^n$  which commute with the maps of complexes

$$f^{n+1} d_X^n = d_Y^n f^n.$$

We denote by  $\mathbf{C}(\mathcal{B})$  (resp.,  $\mathbf{C}^+(\mathcal{B})$ ,  $\mathbf{C}^-(\mathcal{B})$ ,  $\mathbf{C}^b(\mathcal{B})$ ) the category of complexes (resp., bounded below complexes, bounded above complexes, bounded complexes) of  $\mathcal{B}$ . An auto-equivalence  $T : \mathbf{C}(\mathcal{B}) \rightarrow \mathbf{C}(\mathcal{B})$  is called *translation* if  $(TX^\bullet)^n = X^{n+1}$  and  $(Td_X)^n = -d_X^{n+1}$  for any complex  $X^\bullet = (X^n, d_X^n)$ .

**Proposition 6.2.** *The following hold.*

1.  $\mathbf{C}^*(\mathcal{B})$  is an additive category, where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ . Moreover, if  $\mathcal{B}$  has products (resp., coproducts), then  $\mathbf{C}(\mathcal{B})$  has also products (resp., coproducts).
2.  $\mathbf{C}^*(\mathcal{A})$  is an abelian category, where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ . Moreover, if  $\mathcal{A}$  satisfies the condition  $\text{Ab}\mathfrak{B}^*$  (resp.,  $\text{Ab}\mathfrak{B}$ ), then  $\mathbf{C}(\mathcal{A})$  also satisfies the condition  $\text{Ab}\mathfrak{B}^*$  (resp.,  $\text{Ab}\mathfrak{B}$ ).



**Definition 6.3.** For  $u \in \text{Hom}_{\mathcal{C}(\mathcal{B})}(X^\bullet, Y^\bullet)$ , the *mapping cone* of  $u$  is a complex  $M^\bullet(u)$  with

$$\begin{aligned} M^n(u) &= X^{n+1} \oplus Y^n, \\ d_{M^\bullet(u)}^n &= \begin{bmatrix} -d_X^{n+1} & 0 \\ u^{n+1} & d_X^n \end{bmatrix} : X^{n+1} \oplus Y^n \rightarrow X^{n+2} \oplus Y^{n+1}. \end{aligned}$$

Moreover, for  $1_X \in \text{Hom}_{\mathcal{C}(\mathcal{B})}(X^\bullet, X^\bullet)$ , let  $I^\bullet(X^\bullet) = M^\bullet(1_X)$ .

**Definition 6.4.** Let  $\mathcal{S}_{\mathcal{C}^*(\mathcal{B})}$  be the collection of exact sequences  $O \rightarrow X^\bullet \xrightarrow{f} Y^\bullet \xrightarrow{g} Z^\bullet \rightarrow O$  of complexes of  $\mathcal{C}^*(\mathcal{B})$  such that

$$O \rightarrow X^n \xrightarrow{f^n} Y^n \xrightarrow{g^n} Z^n \rightarrow O$$

are split exact for all  $n \in \mathbb{Z}$ , where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ . In this case, we call  $f$  (resp.,  $g$ ) a *term-split monomorphism* (resp., a *term-split epimorphism*).

**Proposition 6.5.** For  $u \in \text{Hom}_{\mathcal{C}(\mathcal{B})}(X^\bullet, Y^\bullet)$ , we have an exact sequence in  $\mathcal{C}(\mathcal{A})$

$$O \rightarrow Y^\bullet \xrightarrow{\mu_u} M^\bullet(u) \xrightarrow{\pi_u} TX^\bullet \rightarrow O$$

where  $\mu_u = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ ,  $\pi_u = \begin{bmatrix} 1 & 0 \end{bmatrix}$ . Moreover, the above sequence belongs to  $\mathcal{S}_{\mathcal{C}(\mathcal{B})}$ .

**Lemma 6.6.** For  $X^\bullet \in \mathcal{C}(\mathcal{B})$ , we have

$$I^\bullet(X^\bullet) \cong (X^{n+1} \oplus X^n, \begin{bmatrix} 0 & 0 \\ 1_{X^{n+1}} & 0 \end{bmatrix} : X^{n+1} \oplus X^n \rightarrow X^{n+2} \oplus X^{n+1}).$$

*Proof.*

$$\begin{array}{ccc} X^{n+1} \oplus X^n & \xrightarrow{d_{M^\bullet(1_X)}^n} & X^{n+2} \oplus X^{n+1} \\ \alpha^n \downarrow & & \downarrow \alpha^{n+1} \\ X^{n+1} \oplus X^n & \xrightarrow{\delta^n} & X^{n+2} \oplus X^{n+1} \end{array}$$

where  $\alpha^n = \begin{bmatrix} 1_{X^{n+1}} & d_X^n \\ 0 & 1_{X^n} \end{bmatrix}$  and  $\delta^n = \begin{bmatrix} 0 & 0 \\ 1_{X^{n+1}} & 0 \end{bmatrix}$ . □

**Lemma 6.7.** The category  $(\mathcal{C}(\mathcal{B}), \mathcal{S}_{\mathcal{C}(\mathcal{B})})$  is an exact category.

**Proposition 6.8.** The category  $(\mathcal{C}^*(\mathcal{B}), \mathcal{S}_{\mathcal{C}^*(\mathcal{B})})$  is a Frobenius category, where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ .

*Proof.* Let  $X^\bullet \in \mathcal{C}(\mathcal{B})$ , then by Lemma 6.6 we have

$$I^\bullet(X^\bullet) \cong \bigoplus_{n \in \mathbb{Z}} I^\bullet(X^n)[-n].$$

where  $X^n$  is a stalk complex of degree 0 (Note that the above biproduct exists by Exercise 6.18). It is easy to see that  $I^\bullet(X^n)[-n]$  is  $\mathcal{S}_{\mathcal{C}(\mathcal{B})}$ -projective and  $\mathcal{S}_{\mathcal{C}(\mathcal{B})}$ -injective, and then  $I^\bullet(X^\bullet)$  is  $\mathcal{S}_{\mathcal{C}(\mathcal{B})}$ -projective and  $\mathcal{S}_{\mathcal{C}(\mathcal{B})}$ -injective. For any  $\mathcal{S}_{\mathcal{C}(\mathcal{B})}$ -injective complex  $Y^\bullet$ , by Proposition 6.5,  $Y^\bullet$  is a direct summand of  $I^\bullet(Y^\bullet)$ , and hence  $Y^\bullet$  is  $\mathcal{S}_{\mathcal{C}(\mathcal{B})}$ -projective. Similarly, any  $\mathcal{S}_{\mathcal{C}(\mathcal{B})}$ -projective complex is  $\mathcal{S}_{\mathcal{C}(\mathcal{B})}$ -injective. According to Proposition 6.5, it is easy to see that  $\mathcal{C}(\mathcal{B})$  has enough  $\mathcal{S}_{\mathcal{C}(\mathcal{B})}$ -injectives and enough  $\mathcal{S}_{\mathcal{C}(\mathcal{B})}$ -projectives. □

**Definition 6.9** (Homotopy Category). A *homotopy category*  $\mathcal{K}^*(\mathcal{B})$  of  $\mathcal{B}$  is the stable category of  $(\mathcal{C}^*(\mathcal{B}), \mathcal{S}_{\mathcal{C}^*(\mathcal{B})})$  by the full subcategory  $\mathcal{I}_{\mathcal{C}^*(\mathcal{B})}$  of  $\mathcal{S}_{\mathcal{C}^*(\mathcal{B})}$ -injective objects, where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ .

**Remark 6.10.** For  $u \in \text{Hom}_{\mathcal{C}(\mathcal{B})}(X^\bullet, Y^\bullet)$ , we have a commutative diagram

$$\begin{array}{ccccccc} O & \longrightarrow & X^\bullet & \xrightarrow{\mu_X} & I^\bullet(X^\bullet) & \xrightarrow{\pi_X} & TX^\bullet \longrightarrow O \\ & & \downarrow u & & \downarrow x & & \parallel \\ O & \longrightarrow & Y^\bullet & \xrightarrow{\mu_u} & M^\bullet(u) & \xrightarrow{\pi_u} & TX^\bullet \longrightarrow O \end{array}$$

where  $x = \begin{bmatrix} 1 & 0 \\ 0 & u \end{bmatrix}$ , with all rows in  $\mathcal{S}_{\mathcal{C}(\mathcal{B})}$ . By the proof of Proposition 6.8, the definition of  $M^\bullet(u)$  coincides with the one of Definition 5.6.

**Proposition 6.11.** *A category  $\mathcal{K}^*(\mathcal{B})$  is a triangulated category, where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ .*

**Proposition 6.12.** *If an exact sequence  $O \rightarrow X^\bullet \xrightarrow{u} Y^\bullet \xrightarrow{v} Z^\bullet \rightarrow O$  in  $\mathcal{C}^*(\mathcal{B})$  belongs to  $\mathcal{S}_{\mathcal{C}^*(\mathcal{B})}$ , then it can be embedded in a triangle  $X^\bullet \xrightarrow{u} Y^\bullet \xrightarrow{v} Z^\bullet \xrightarrow{w} TX^\bullet$  in  $\mathcal{K}^*(\mathcal{B})$ , where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ .*

*Proof.* By Proposition 5.8. □

**Definition 6.13** (Homotopy Relation). Two morphisms  $f, g \in \text{Hom}_{\mathcal{C}(\mathcal{B})}(X^\bullet, Y^\bullet)$  is said to be *homotopic* (denote by  $f \underset{h}{\simeq} g$ ) if there is a collection of morphisms  $h = (h^n)$ ,  $h^n : X^n \rightarrow Y^{n-1}$  such that

$$f^n - g^n = d_Y^{n-1} h^n + h^{n+1} d_X^n$$

for all  $n \in \mathbb{Z}$ . For  $X^\bullet, Y^\bullet \in \mathcal{C}^*(\mathcal{B})$ ,  $\text{Htp}_{\mathcal{C}(\mathcal{B})}(X^\bullet, Y^\bullet)$  is the subgroup of  $\text{Hom}_{\mathcal{C}(\mathcal{B})}(X^\bullet, Y^\bullet)$  consisting of morphisms which are homotopic to 0.

**Proposition 6.14.** *For a morphism  $f \in \text{Hom}_{\mathcal{C}(\mathcal{B})}(X^\bullet, Y^\bullet)$  ( $*$  = nothing,  $+$ ,  $-$ ,  $b$ ), the following are equivalent.*

1.  $f \in \text{Htp}_{\mathcal{C}(\mathcal{B})}(X^\bullet, Y^\bullet)$ .
2.  $f$  factors through  $X^\bullet \xrightarrow{\mu_X} I^\bullet(X^\bullet)$ .
3.  $f$  factors through  $I^\bullet(T^{-1}Y^\bullet) \xrightarrow{\pi_{T^{-1}Y}} Y^\bullet$ .
4.  $f \in \mathcal{I}_{\mathcal{C}(\mathcal{B})}(X^\bullet, Y^\bullet)$ .

*In particular,*  $\text{Htp}_{\mathcal{C}(\mathcal{B})}(X^\bullet, Y^\bullet) = \mathcal{I}_{\mathcal{C}(\mathcal{B})}(X^\bullet, Y^\bullet)$ .

*Proof.* 1  $\Leftrightarrow$  2. Let  $h = (h^n)$  be a homotopy morphism  $f \underset{h}{\simeq} 0$ , and let  $\phi = (\phi^n) : X^\bullet \rightarrow Y^\bullet$ ,  $\phi^n = [h^{n+1} \ f^n]$ . Then for all  $n \in \mathbb{Z}$  we have

$$\begin{aligned} \phi^n d_{M^\bullet(1_X)}^n &= [h^{n+1} \ f^n] \begin{bmatrix} -d_X^n & 0 \\ 1_{X^n} & d_X^{n-1} \end{bmatrix} \\ &= [-h^{n+1} d_X^n + f^n \ f^n d_X^{n-1}] \\ &= [d_Y^n h^n \ d_Y^{n-1} f^{n-1}] \\ &= d_Y^{n-1} \phi^{n-1}, \end{aligned}$$

$$\begin{aligned} \phi^n \mu_X^n &= [h^{n+1} \ f^n] \begin{bmatrix} 0 \\ 1_{X^n} \end{bmatrix} \\ &= f^n. \end{aligned}$$

Conversely, let  $\phi = (\phi^n) : X^\bullet \rightarrow Y^\bullet$  be a morphism such that  $f = \phi \mu_X$ . By the same calculation in the above, there is a homotopy morphism  $h = (h^n)$ ,  $h^n : X^n \rightarrow Y^{n+1}$  such that

$$f^n = d_Y^{n-1} h^n + h^{n+1} d_X^n$$

for all  $n \in \mathbb{Z}$ . Thus  $f \in \text{Htp}_{\mathcal{C}(\mathcal{B})}(X^\bullet, Y^\bullet)$ .

2  $\Leftrightarrow$  4. Since  $I^\bullet(X^\bullet)$  is  $\mathcal{I}_{\mathcal{C}(\mathcal{B})}$ -injective, 2  $\Rightarrow$  4 is trivial. Conversely, since  $\mu_{X^\bullet} : X^\bullet \rightarrow I^\bullet(X^\bullet)$  is an admissible monomorphism, it is also trivial.

3  $\Leftrightarrow$  4. The same as 2  $\Leftrightarrow$  4.  $\square$

**Corollary 6.15.** *The canonical functor  $\mathcal{C}(\mathcal{B}) \rightarrow \mathcal{K}(\mathcal{B})$  preserves products and coproducts. In particular, we have*

1. *If  $\mathcal{B}$  has products (resp., coproducts), then so has  $\mathcal{K}(\mathcal{B})$ .*
2. *If  $\mathcal{A}$  satisfies the condition  $\text{Ab}\mathfrak{B}^*$  (resp.,  $\text{Ab}\mathfrak{B}$ ), then  $\mathcal{K}(\mathcal{A})$  has products (resp., coproducts).*

*Proof.* By Proposition 6.14, we have exact sequences for  $X^\bullet, Y^\bullet \in \mathcal{C}(\mathcal{B})$

$$\begin{aligned} \text{Hom}_{\mathcal{C}(\mathcal{B})}(I^\bullet(X^\bullet), Y^\bullet) &\rightarrow \text{Hom}_{\mathcal{C}(\mathcal{B})}(X^\bullet, Y^\bullet) \rightarrow \text{Hom}_{\mathcal{K}(\mathcal{B})}(X^\bullet, Y^\bullet) \rightarrow 0, \\ \text{Hom}_{\mathcal{C}(\mathcal{B})}(X^\bullet, I^\bullet(T^{-1}Y^\bullet)) &\rightarrow \text{Hom}_{\mathcal{C}(\mathcal{B})}(X^\bullet, Y^\bullet) \rightarrow \text{Hom}_{\mathcal{K}(\mathcal{B})}(X^\bullet, Y^\bullet) \rightarrow 0. \end{aligned}$$

Then it is easy.  $\square$

**Corollary 6.16.** *Let  $\mathcal{B}'$  be another additive category, and  $F : \mathcal{C}(\mathcal{B}) \rightarrow \mathcal{C}(\mathcal{B}')$  an additive functor. If  $F$  satisfies the conditions*

- (a) *there exists an functorial isomorphism  $\alpha : FT_{\mathcal{C}(\mathcal{B})} \rightarrow T_{\mathcal{C}(\mathcal{B}')}F$ ,*
- (b) *for any morphism  $u : X^\bullet \rightarrow Y^\bullet$  in  $\mathcal{C}(\mathcal{B})$ , we have a commutative diagram*

$$\begin{array}{ccccccc} FX^\bullet & \xrightarrow{Fu} & FY^\bullet & \xrightarrow{F\mu_u} & FM^\bullet(u) & \xrightarrow{\alpha_X F\pi_u} & T_{\mathcal{C}(\mathcal{B}')}FX^\bullet \\ \parallel & & \parallel & & \downarrow s & & \parallel \\ FX^\bullet & \xrightarrow{Fu} & FY^\bullet & \xrightarrow{\mu_{Fu}} & M^\bullet(Fu) & \xrightarrow{\pi_{Fu}} & T_{\mathcal{C}(\mathcal{B}')}FX^\bullet, \end{array}$$

then  $F$  induces a  $\partial$ -functor  $F' : \mathcal{K}(\mathcal{B}) \rightarrow \mathcal{K}(\mathcal{B}')$ .

**Remark 6.17.** By the proof of Proposition 6.14,  $X^\bullet$  belongs to  $\mathcal{I}_{\mathcal{C}(\mathcal{B})}$  if and only if  $X^\bullet$  is a direct summand of  $I^\bullet(X^\bullet)$ . Hence it is easy to see that any object of  $\mathcal{I}_{\mathcal{C}(\mathcal{B})}$  is isomorphic to  $I^\bullet(Z^\bullet)$  for some  $Z^\bullet \in \mathcal{C}(\mathcal{B})$ .

**Exercise 6.18** (Biproduct). Let  $n \geq 0$ , and let  $X_i^\bullet : \dots \rightarrow X_i^{n-1} \rightarrow X_i^n$  be complexes of  $\mathcal{C}^-(\mathcal{B})$  indexed by  $i \in \mathbb{N}$ . Prove the following.

1.  $\prod_{i \in \mathbb{N}} T^i X_i^\bullet \cong \prod_{i \in \mathbb{N}} T^i X_i^\bullet$  in  $\mathcal{C}(\mathcal{B})$ . Thus  $\bigoplus_{i \in \mathbb{N}} T^i X_i^\bullet$  exists in  $\mathcal{C}(\mathcal{B})$ .
2.  $\prod_{i \in \mathbb{N}} T^i X_i^\bullet \cong \prod_{i \in \mathbb{N}} T^i X_i^\bullet$  in  $\mathcal{K}(\mathcal{B})$ . Thus  $\bigoplus_{i \in \mathbb{N}} T^i X_i^\bullet$  exists in  $\mathcal{K}(\mathcal{B})$ .

Let  $n \geq 0$ , and let  $Y_i^\bullet : Y_i^0 \rightarrow Y_i^1 \rightarrow \dots \rightarrow Y_i^n$  be complexes of  $\mathcal{C}^b(\mathcal{B})$  indexed by  $i \in \mathbb{Z}$ . Prove the following.

1.  $\prod_{i \in \mathbb{Z}} T^i Y_i^\bullet \cong \prod_{i \in \mathbb{Z}} T^i Y_i^\bullet$  in  $\mathcal{C}(\mathcal{B})$ . Thus  $\bigoplus_{i \in \mathbb{Z}} T^i Y_i^\bullet$  exists in  $\mathcal{C}(\mathcal{B})$ .
2.  $\prod_{i \in \mathbb{Z}} T^i Y_i^\bullet \cong \prod_{i \in \mathbb{Z}} T^i Y_i^\bullet$  in  $\mathcal{K}(\mathcal{B})$ . Thus  $\bigoplus_{i \in \mathbb{Z}} T^i Y_i^\bullet$  exists in  $\mathcal{K}(\mathcal{B})$ .

**Proposition 6.19.** *Let  $R$  be a commutative complete local ring,  $A$  a finite  $R$ -algebra, and  $\mathcal{B}$  is a Krull-Schmidt subcategory of  $\text{mod } A$ . Then  $\mathcal{K}^b(\mathcal{B})$  is also a Krull-Schmidt category.*

*Proof.* Let  $X^\bullet \in \mathcal{C}^b(\mathcal{B})$ . Since we may assume that  $X^\bullet = X^0 \rightarrow X^1 \rightarrow \dots \rightarrow X^n$ ,  $\text{End}_{\mathcal{C}^b(\mathcal{B})}(X^\bullet)$  is a subring of  $\prod_{i=0}^n \text{End}_A(X^i)$ , and hence  $\text{End}_{\mathcal{C}^b(\mathcal{B})}(X^\bullet)$  is semiperfect. It is clear that any idempotent of  $\text{End}_{\mathcal{C}^b(\mathcal{B})}(X^\bullet)$  splits. Therefore  $\mathcal{C}^b(\mathcal{B})$  is a Krull-Schmidt category. According to Theorem 3.13, we complete the proof.  $\square$

**Definition 6.20.** Let  $\mathcal{A}$  be an abelian category. For a complex  $X^\bullet = (X^n, d_X^n : X^n \rightarrow X_X^{n+1})_{n \in \mathbb{Z}}$  of  $\mathcal{A}$ , we define an objects of  $\mathcal{A}$  for all  $n \in \mathbb{Z}$

$$\begin{aligned} Z^n(X^\bullet) &= \text{Ker } d_X^n \\ B^n(X^\bullet) &= \text{Im } d_X^{n-1} \\ C^n(X^\bullet) &= \text{Cok } d_X^{n-1} \\ H^n(X^\bullet) &= Z^n(X^\bullet)/B^n(X^\bullet) \end{aligned}$$

this is called *n*th cohomology,

and define complexes

$$\begin{aligned} Z^\bullet(X^\bullet) &= (Z^n(X^\bullet), 0)_{n \in \mathbb{Z}} \\ B^\bullet(X^\bullet) &= (B^n(X^\bullet), 0)_{n \in \mathbb{Z}} \\ C^\bullet(X^\bullet) &= (C^n(X^\bullet), 0)_{n \in \mathbb{Z}} \\ H^\bullet(X^\bullet) &= (H^n(X^\bullet), 0)_{n \in \mathbb{Z}}. \end{aligned}$$

A complex  $X^\bullet = (X^n, d_X^n)$  is called an *acyclic* complex if  $H^n(X^\bullet) = 0$  for all  $n \in \mathbb{Z}$ .

**Remark 6.21.** Since we have a commutative diagram

$$\begin{array}{ccccccc} O & \longrightarrow & B^n(X^\bullet) & \longrightarrow & X^n & \longrightarrow & C^n(X^\bullet) & \longrightarrow & O \\ & & \downarrow & & \parallel & & \downarrow & & \\ O & \longrightarrow & Z^n(X^\bullet) & \longrightarrow & X^n & \longrightarrow & B^{n+1}(X^\bullet) & \longrightarrow & O, \end{array}$$

where all rows are exact, by snake lemma, we have a short exact sequence

$$O \rightarrow H^n(X^\bullet) \rightarrow C^n(X^\bullet) \rightarrow B^{n+1}(X^\bullet) \rightarrow O.$$

**Exercise 6.22.** Let  $\mathcal{A}$  be an abelian category, and  $P$  a projective object of  $\mathcal{A}$ . For  $X^\bullet \in \mathcal{C}(\mathcal{A})$ , show that

$$\text{Hom}_{\mathcal{K}(\mathcal{A})}(P, X^\bullet[i]) \cong \text{Hom}_{\mathcal{A}}(P, H^i(X^\bullet))$$

for all  $i$ .

**Proposition 6.23.** Let  $\mathcal{A}$  be an abelian category, and let  $O \rightarrow X^\bullet \rightarrow Y^\bullet \rightarrow Z^\bullet \rightarrow O$  be exact in  $\mathcal{C}(\mathcal{A})$ . Then we have the induced long exact sequence

$$\dots \rightarrow H^n(X^\bullet) \rightarrow H^n(Y^\bullet) \rightarrow H^n(Z^\bullet) \rightarrow H^{n+1}(X^\bullet) \rightarrow \dots$$

*Proof.* According to snake lemma, we have a commutative diagram

$$\begin{array}{ccccccc} C^n(X^\bullet) & \longrightarrow & C^n(Y^\bullet) & \longrightarrow & C^n(Z^\bullet) & \longrightarrow & O \\ \downarrow & & \downarrow & & \downarrow & & \\ O & \longrightarrow & Z^{n+1}(X^\bullet) & \longrightarrow & Z^{n+1}(Y^\bullet) & \longrightarrow & Z^{n+1}(Z^\bullet) \end{array}$$

where all rows are exact. Then we get the exact sequence

$$H^n(X^\bullet) \rightarrow H^n(Y^\bullet) \rightarrow H^n(Z^\bullet) \rightarrow H^{n+1}(X^\bullet) \rightarrow H^{n+1}(Y^\bullet) \rightarrow H^{n+1}(Z^\bullet),$$

by snake lemma. □

**Remark 6.24.** Let  $u$  be a morphism of  $\text{Hom}_{\mathcal{C}(\mathcal{A})}(X^\bullet, Y^\bullet)$ . According to Proposition 6.5, we have an exact sequence in  $\mathcal{C}(\mathcal{A})$

$$O \rightarrow Y^\bullet \xrightarrow{\mu_u} M^*(u) \xrightarrow{\pi_u} TX^\bullet \rightarrow O.$$

Then we have the induced long exact sequence

$$\dots \rightarrow H^n(X^\bullet) \xrightarrow{\delta^n} H^n(Y^\bullet) \rightarrow H^n(M^\bullet(u)) \rightarrow H^{n+1}(X^\bullet) \xrightarrow{\delta^{n+1}} \dots$$

Moreover, it is not hard to see that  $\delta^n = H^n(u)$  for all  $n \in \mathbb{Z}$  (cf. Proposition 10.4).

**Lemma 6.25.** *For  $n \in \mathbb{Z}$ , The functor  $H^n : \mathcal{C}(\mathcal{A}) \rightarrow \mathcal{A}$  factors through  $\mathcal{K}(\mathcal{A})$ .*

*Proof.* According to Remark 6.17, all objects of  $\mathcal{T}_{\mathcal{C}(\mathcal{B})}$  are acyclic. Then by Proposition 6.14, it is trivial.  $\square$

**Proposition 6.26.** *If  $X^\bullet \xrightarrow{u} Y^\bullet \xrightarrow{v} Z^\bullet \xrightarrow{w} TX^\bullet$  is a triangle in  $\mathcal{K}(\mathcal{A})$ , then we have the induced long exact sequence*

$$\dots \rightarrow H^n(X^\bullet) \xrightarrow{H^n(u)} H^n(Y^\bullet) \xrightarrow{H^n(v)} H^n(Z^\bullet) \xrightarrow{H^n(w)} H^{n+1}(X^\bullet) \rightarrow \dots$$

*Proof.* According to Remark 6.10, for a representative  $u$  we have a commutative diagram

$$\begin{array}{ccccccc} O & \longrightarrow & X^\bullet & \longrightarrow & I^\bullet(X^\bullet) & \longrightarrow & TX^\bullet & \longrightarrow & O \\ & & \downarrow u & & \downarrow & & \parallel & & \\ O & \longrightarrow & Y^\bullet & \xrightarrow{v} & M^\bullet(u) & \xrightarrow{w} & TX^\bullet & \longrightarrow & O \end{array}$$

where all rows belong to  $\mathcal{S}_{\mathcal{C}(\mathcal{A})}$ , with  $v = \mu_u$ ,  $w = \pi_u$ . By Proposition 6.23, we have the induced long exact sequence

$$\dots \rightarrow H^n(X^\bullet) \rightarrow H^n(Y^\bullet) \rightarrow H^n(M^\bullet(u)) \rightarrow H^{n+1}(X^\bullet) \rightarrow \dots$$

By Remark 6.24, Proposition 6.25, we get the statement.  $\square$

## 7. QUOTIENT CATEGORIES

**Definition 7.1** (Multiplicative System). A *multiplicative system* in a category  $\mathcal{C}$  is a collection  $\mathcal{S}$  of morphisms in  $\mathcal{C}$  which satisfies the following axioms:

- (FR1) (1)  $1_X \in \mathcal{S}$  for every  $X \in \mathcal{C}$ .  
 (2) For  $s, t \in \mathcal{S}$ , if  $st$  is defined, then  $st \in \mathcal{S}$ .

- (FR2) (1) Every diagram in  $\mathcal{C}$

$$\begin{array}{ccc} X & \xrightarrow{s} & Y \\ f \downarrow & & \\ X' & & \end{array}$$

with  $s \in \mathcal{S}$ , can be completed to a commutative square

$$\begin{array}{ccc} X & \xrightarrow{s} & Y \\ f \downarrow & & \downarrow g \\ X' & \xrightarrow{t} & Y' \end{array}$$

with  $s, t \in \mathcal{S}$ .

- (2) Every diagram in  $\mathcal{C}$

$$\begin{array}{ccc} & & Y \\ & & \downarrow g \\ X' & \xrightarrow{t} & Y' \end{array}$$

with  $t \in \mathbf{S}$ , can be completed to a commutative square

$$\begin{array}{ccc} X & \xrightarrow{s} & Y \\ f \downarrow & & \downarrow g \\ X' & \xrightarrow{t} & Y' \end{array}$$

with  $s, t \in \mathbf{S}$ .

(FR3) For  $f, g \in \text{Hom}_{\mathcal{C}}(X, Y)$  the following are equivalent.

- (1) There exists  $s \in \mathbf{S}$  such that  $sf = sg$ .
- (2) There exists  $t \in \mathbf{S}$  such that  $ft = gt$ .

Throughout this section,  $\mathbf{S}$  is a multiplicative system of a category  $\mathcal{C}$ .

**Definition 7.2** (Saturated Multiplicative System). A multiplicative system  $\mathbf{S}$  in a category  $\mathcal{C}$  is called *saturated* if it satisfies the following axiom:

(FR0) For a morphism  $s$  in  $\mathcal{C}$ , if there exist  $f, g$  such that  $sf, gs \in \mathbf{S}$ , then  $s \in \mathbf{S}$ .

**Definition 7.3.** For a morphism  $f : X \rightarrow Y$ , we set  $\text{source}(f) = X$  and  $\text{sink}(f) = Y$ .

For a multiplicative system  $\mathbf{S}$ , each  $X \in \mathcal{C}$ ,  $\mathbf{S}^X$  is a category defined by

1.  $\text{Ob}(\mathbf{S}^X) = \{s \in \mathbf{S} \mid \text{source}(s) = X\}$ ,
2.  $\text{Hom}_{\mathbf{S}^X}(s, s') = \{f \in \text{Hom}_{\mathcal{C}}(\text{sink}(s), \text{sink}(s')) \mid s' = fs\}$  for  $s, s' \in \text{Ob}(\mathbf{S}^X)$ ,

and  $\mathbf{S}_X$  is a category defined by

1.  $\text{Ob}(\mathbf{S}_X) = \{t \in \mathbf{S} \mid \text{sink}(t) = X\}$ ,
2.  $\text{Hom}_{\mathbf{S}_X}(t, t') = \{f \in \text{Hom}_{\mathcal{C}}(\text{source}(t), \text{source}(t')) \mid t = t'f\}$  for  $t, t' \in \text{Ob}(\mathbf{S}_X)$ .

**Lemma 7.4.** For any  $X \in \mathcal{C}$ ,  $\mathbf{S}^X$  satisfies the following axioms:

- (L1) For any  $f_1 \in \text{Hom}_{\mathbf{S}^X}(s, s_1')$ ,  $f_2 \in \text{Hom}_{\mathbf{S}^X}(s, s_2')$ , there exist  $s'' \in \mathbf{S}^X$  and  $g_1 \in \text{Hom}_{\mathbf{S}^X}(s_1', s'')$ ,  $g_2 \in \text{Hom}_{\mathbf{S}^X}(s_2', s'')$  such that  $g_1 f_1 = g_2 f_2$ .
- (L2) For any  $f_1, f_2 \in \text{Hom}_{\mathbf{S}^X}(s, s')$ , there exist  $s'' \in \mathbf{S}^X$  and  $g \in \text{Hom}_{\mathbf{S}^X}(s', s'')$  such that  $g f_1 = g f_2$ .
- (L3)  $\mathbf{S}^X$  is connected.

**Definition 7.5.** For  $X, Y \in \mathcal{C}$ , we define a covariant functor

$$h^X \circ \text{sink} : \mathbf{S}^Y \rightarrow \mathfrak{Set}$$

where  $h^X \circ \text{sink}(s) = \text{Hom}_{\mathcal{C}}(X, \text{sink}(s))$  for  $s \in \mathbf{S}^Y$ , and a contravariant functor

$$h_Y \circ \text{source} : \mathbf{S}_X \rightarrow \mathfrak{Set}$$

where  $h_Y \circ \text{source}(t) = \text{Hom}_{\mathcal{C}}(\text{source}(t), Y)$  for  $t \in \mathbf{S}_X$ .

**Lemma 7.6.** Let  $X, Y \in \mathcal{C}$ . Define a relation  $\sim$  on the collection

$$\{(f, s) \mid s \in \mathbf{S}^Y, f \in \text{Hom}_{\mathcal{C}}(X, \text{sink}(s))\}$$

as follows:  $(f_1, s_1) \sim (f_2, s_2)$  if and only if there exist  $h_1 \in \text{Hom}_{\mathbf{S}^Y}(s_1, s')$ ,  $h_2 \in \text{Hom}_{\mathbf{S}^Y}(s_2, s')$  such that  $(h_1 f_1, s') = (h_2 f_2, s')$ . Then  $\sim$  is an equivalence relation and we have

$$\text{colim}_{\mathbf{S}^Y} h^X \circ \text{sink} = \{(f, s) \mid s \in \mathbf{S}^Y, f \in \text{Hom}_{\mathcal{C}}(X, \text{sink}(s))\} / \sim.$$

(Write the equivalence class  $[(f, s)]$  for  $(f, s)$ , where  $f \in \text{Hom}_{\mathcal{C}}(X, \text{sink}(s))$ ,  $s \in \mathbf{S}^Y$ .)

**Remark 7.7** (Set-Theoretic Remark). In the above, we dealt with  $\mathcal{S}^Y$  as a small category (i.e.  $\text{Ob}(\mathcal{S}^Y)$  is a set). In general, we don't know the existence of the above colimit. But the above colimit exists if there is a small subcategory  $\mathcal{S}^{Y'}$  of  $\mathcal{S}^Y$  satisfying the following (this category is called a *cofinal subcategory*):

For any  $Y \in \mathcal{C}$ ,  $\mathcal{S}^{Y'}$  satisfies the following axiom:

(Co) For any  $s \in \mathcal{S}^Y$ , there exists a morphism  $f : s \rightarrow s'$  with  $s' \in \mathcal{S}^{Y'}$ .

Then  $\text{colim}_{\mathcal{S}^{Y'}} h^X \circ \text{sink}$  exists, and we have

$$\text{colim}_{\mathcal{S}^Y} h^X \circ \text{sink} = \text{colim}_{\mathcal{S}^{Y'}} h^X \circ \text{sink}.$$

**Lemma 7.8.** For any  $X, Y, Z \in \mathcal{C}$  we have a well-defined mapping

$$\text{colim}_{\mathcal{S}^Y} h^X \circ \text{sink} \times \text{colim}_{\mathcal{S}^Z} h^Y \circ \text{sink} \rightarrow \text{colim}_{\mathcal{S}^Z} h^X \circ \text{sink}$$

which is defined as follows: with each pair  $([(f, s)], [(g, t)])$ , since by (FR2) there exist  $s' \in \mathcal{S}$  with  $\text{source}(s') = \text{sink}(t)$ ,  $g' \in \text{Hom}_{\mathcal{C}}(\text{sink}(s), \text{sink}(s'))$  such that  $g's = s'g$ , we associate the equivalence class  $[(g'f, s't)]$ .

*Sketch.*

$$\begin{array}{ccccc} X & & Y & & Z \\ & \searrow f & \downarrow s & \searrow g & \downarrow t \\ & & Y' & & Z' \\ & & & \searrow g' & \downarrow s' \\ & & & & Z'' \end{array}$$

□

**Definition 7.9** (Quotient Category). We define a category  $\mathcal{S}^{-1}\mathcal{C}$ , called the *quotient category* of  $\mathcal{C}$ , as follows:

1.  $\text{Ob}(\mathcal{S}^{-1}\mathcal{C}) = \text{Ob}(\mathcal{C})$ .
2. For  $X, Y \in \text{Ob}(\mathcal{C})$ , the morphism set is given by

$$\text{Hom}_{\mathcal{S}^{-1}\mathcal{C}}(X, Y) = \text{colim}_{\mathcal{S}^Y} h^X \circ \text{sink}.$$

3. For  $X, Y, Z \in \text{Ob}(\mathcal{S}^{-1}\mathcal{C})$ , the law of composition is given by

$$\text{Hom}_{\mathcal{S}^{-1}\mathcal{C}}(X, Y) \times \text{Hom}_{\mathcal{S}^{-1}\mathcal{C}}(Y, Z) \rightarrow \text{Hom}_{\mathcal{S}^{-1}\mathcal{C}}(X, Z),$$

$$([(f, s)], [(g, t)]) \mapsto [(g'f, s't)],$$

where  $[(g', s')] \in \text{Hom}_{\mathcal{S}^{-1}\mathcal{C}}(\text{sink}(s), \text{sink}(t))$  with  $g's = s'g$ .

4. The identity of  $X \in \text{Ob}(\mathcal{S}^{-1}\mathcal{C})$  is given by the equivalence class  $[(1_X, 1_X)]$ .

**Definition 7.10** (Quotient Functor). We have a functor  $Q : \mathcal{C} \rightarrow \mathcal{S}^{-1}\mathcal{C}$ , called the *quotient functor*, such that

$$Q(X) = X \text{ for } X \in \mathcal{C}, \quad Q(f) = [(f, 1_Y)] \text{ for } f \in \text{Hom}_{\mathcal{C}}(X, Y).$$

**Proposition 7.11** (Basic Properties). *The following hold.*

1.  $Q : \mathcal{C} \rightarrow \mathcal{S}^{-1}\mathcal{C}$  sends null objects to null objects.

2. For  $f, g \in \text{Hom}_{\mathcal{C}}(X, Y)$  the following are equivalent.

- (1)  $Q(f) = Q(g)$ .
- (2) There exists  $s \in \mathcal{S}^Y$  such that  $sf = sg$ .
- (3) There exists  $t \in \mathcal{S}_X$  such that  $ft = gt$ .

3. The following hold.

- (1)  $Q(s)$  is an isomorphism for all  $s \in \mathcal{S}$ .
- (2) For any  $X, Y \in \mathcal{S}^{-1}\mathcal{C}$  we have

$$\begin{aligned} \text{Hom}_{\mathcal{S}^{-1}\mathcal{C}}(X, Y) &= \{Q(s)^{-1}Q(f) \mid s \in \mathcal{S}^Y, f \in \text{Hom}_{\mathcal{C}}(X, \text{sink}(s))\} \\ &= \{Q(g)Q(t)^{-1} \mid t \in \mathcal{S}_X, g \in \text{Hom}_{\mathcal{C}}(\text{source}(t), Y)\}. \end{aligned}$$

4. For  $f \in \text{Hom}_{\mathcal{C}}(X, Y)$  the following are equivalent.

- (1)  $Q(f)$  is an isomorphism.
- (2) There exist morphisms  $g, h$  in  $\mathcal{C}$  with  $gf, fh \in \mathcal{S}$ .

5. Assume  $\mathcal{S}$  is saturated. Then for any  $f \in \text{Hom}_{\mathcal{C}}(X, Y)$  the following hold.

- (1)  $Q(f)$  is an isomorphism if and only if  $f \in \mathcal{S}$ .
- (2) If there exists  $s \in \mathcal{S}^Y$  with  $sf \in \mathcal{S}$ , then  $f \in \mathcal{S}$ .
- (3) If there exists  $t \in \mathcal{S}_X$  with  $ft \in \mathcal{S}$ , then  $f \in \mathcal{S}$ .

6. For any  $X, Y \in \mathcal{C}$  we have a bijection

$$\zeta = \zeta_{X, Y} : \text{colim}_{\mathcal{S}^Y} h^X \circ \text{sink} \rightarrow \text{colim}_{\mathcal{S}_X} h_Y \circ \text{source}$$

which associates with each  $[(f, s)]$  the equivalence class of  $(t, g)$  with  $ft = sg$ , and its inverse

$$\eta = \eta_{X, Y} : \text{colim}_{\mathcal{S}_X} h_Y \circ \text{source} \rightarrow \text{colim}_{\mathcal{S}^Y} h^X \circ \text{sink}$$

which associates with each  $[(t, g)]$  the equivalence class of  $(f, s)$  with  $ft = sg$ .

**Proposition 7.12** (Uniqueness of Quotient). *Let  $\mathcal{C}'$  be another category and  $F : \mathcal{C} \rightarrow \mathcal{C}'$  a functor such that  $F(s)$  is an isomorphism for all  $s \in \mathcal{S}$ . Then there exists a unique functor  $\overline{F} : \mathcal{S}^{-1}\mathcal{C} \rightarrow \mathcal{C}'$  such that  $F = \overline{F}Q$ .*

$$\begin{array}{ccc} \mathcal{C} & & \\ Q \downarrow & \searrow F & \\ \mathcal{S}^{-1}\mathcal{C} & \xrightarrow{\overline{F}} & \mathcal{C}' \end{array}$$

*Sketch.* Since every object of  $\mathcal{S}^{-1}\mathcal{C}$  is of the form  $QX$  for  $X \in \mathcal{C}$ , we can define  $\overline{F} : \mathcal{S}^{-1}\mathcal{C} \rightarrow \mathcal{C}'$  as follows. Let  $\overline{F}(QX) = F(X)$  for  $QX \in \mathcal{S}^{-1}\mathcal{C}$  and  $\overline{F}([(f, s)]) = (Fs)^{-1}Ff$  for  $[(f, s)] \in \text{Hom}_{\mathcal{S}^{-1}\mathcal{C}}(QX, QY)$ . Then we have  $F = \overline{F}Q$  and the required property.  $\square$

**Proposition 7.13.** *Let  $\mathcal{C}'$  be another category and  $F, G : \mathcal{S}^{-1}\mathcal{C} \rightarrow \mathcal{C}'$  functors. Then we have a bijective correspondence*

$$\text{Mor}(F, G) \xrightarrow{\sim} \text{Mor}(FQ, GQ), \quad (\zeta \mapsto \zeta_Q).$$

*Proof.* By the proof of the above lemma, it is easy.  $\square$

**Corollary 7.14.** *Let  $\tilde{\mathcal{S}} = \{f \mid Q(f) \text{ is an isomorphism in } \mathcal{S}^{-1}\mathcal{C}\}$ . Then  $\tilde{\mathcal{S}}$  is a saturated multiplicative system, and  $\tilde{\mathcal{S}}^{-1}\mathcal{C}$  is equivalent to  $\mathcal{S}^{-1}\mathcal{C}$ .*

**Remark 7.15.** Considering  $\mathcal{S}^{-1}\mathcal{C}$ , by Corollary 7.14, we may assume  $\mathcal{S}$  is a saturated multiplicative system.



**Proposition 7.16.** *Let  $\mathcal{D}$  be a full subcategory of  $\mathcal{C}$ . Assume  $\mathbf{S} \cap \mathcal{D}$  is a multiplicative system in  $\mathcal{D}$  and one of the following conditions is satisfied:*

- (a) *For any  $s \in \mathbf{S}^Y$  with  $Y \in \mathcal{D}$ , there exists  $f \in \text{Hom}_{\mathcal{C}}(\text{sink}(s), Y')$  with  $Y' \in \mathcal{D}$  such that  $fs \in \mathbf{S}$ .*
- (b) *For any  $t \in \mathbf{S}_X$  with  $X \in \mathcal{D}$ , there exists  $g \in \text{Hom}_{\mathcal{C}}(X', \text{source}(t))$  with  $X' \in \mathcal{D}$  such that  $tg \in \mathbf{S}$ .*

*Then the canonical functor  $(\mathbf{S} \cap \mathcal{D})^{-1} \mathcal{D} \rightarrow \mathbf{S}^{-1} \mathcal{C}$  is fully faithful, so that  $(\mathbf{S} \cap \mathcal{D})^{-1} \mathcal{D}$  can be considered as a full subcategory of  $\mathbf{S}^{-1} \mathcal{C}$ .*

*Proof.* By the same reason of Remark 7.7. □

**Proposition 7.17.** *If  $\mathcal{C}$  is an additive category, then  $\mathbf{S}^{-1} \mathcal{C}$  is an additive category, and  $Q : \mathcal{C} \rightarrow \mathbf{S}^{-1} \mathcal{C}$  is additive functor.*

*Proof.* It is easy to see that  $\mathbf{S}^{-1} \mathcal{C}$  satisfies the condition of Definition 2.1. For  $X = \coprod_{i=1}^n X_i$ , by Proposition 2.3 we have  $FX = \coprod_{i=1}^n FX_i$  in  $\mathbf{S}^{-1} \mathcal{C}$ . Therefore,  $\mathbf{S}^{-1} \mathcal{C}$  is an additive category and  $Q$  is an additive category by Definition 2.5, Proposition 2.10. □

## 8. QUOTIENT CATEGORIES OF TRIANGULATED CATEGORIES

**Definition 8.1** (Compatible with Triangle). A multiplicative system  $\mathbf{S}$  in a triangulated category  $\mathcal{C}$  is said to be *compatible with the triangulation* if it satisfies the following axioms:

- (FR4) For a morphism  $u$  in  $\mathcal{C}$ ,  $u \in \mathbf{S}$  if and only if  $Tu \in \mathbf{S}$ .
- (FR5) For triangles  $(X, Y, Z, u, v, w)$ ,  $(X', Y', Z', u', v', w')$  and morphisms  $f : X \rightarrow X'$ ,  $g : Y \rightarrow Y'$  in  $\mathbf{S}$  with  $gu = u'f$ , there exists  $h : Z \rightarrow Z'$  in  $\mathbf{S}$  such that  $(f, g, h)$  is a homomorphism of triangles.

Throughout this section,  $\mathcal{C}$  is a triangulated category, we assume that  $\mathbf{S}$  is a saturated multiplicative system of  $\mathcal{C}$  which is compatible with the triangulation, and  $Q : \mathcal{C} \rightarrow \mathbf{S}^{-1} \mathcal{C}$  is a quotient functor.

**Lemma 8.2.** *There exists a unique auto-functor  $T_{\mathbf{S}^{-1} \mathcal{C}} : \mathbf{S}^{-1} \mathcal{C} \rightarrow \mathbf{S}^{-1} \mathcal{C}$  such that  $QT_{\mathcal{C}} = T_{\mathbf{S}^{-1} \mathcal{C}}Q$ .*

We simply write  $T$  for  $T_{\mathbf{S}^{-1} \mathcal{C}}$ .

**Lemma 8.3.** *Let  $(X, Y, Z, u, v, w)$ ,  $(X', Y', Z', u', v', w')$  be triangles in  $\mathcal{C}$ , and let  $\alpha \in \text{Hom}_{\mathbf{S}^{-1} \mathcal{C}}(QX, QX')$ ,  $\beta \in \text{Hom}_{\mathbf{S}^{-1} \mathcal{C}}(QY, QY')$  such that  $(Qu')\alpha = \beta Q(u)$ . Then there exists  $\gamma \in \text{Hom}_{\mathbf{S}^{-1} \mathcal{C}}(QZ, QZ')$  such that*

$$\begin{array}{ccccccc} QX & \xrightarrow{Qu} & QY & \xrightarrow{Qv} & QZ & \xrightarrow{Qw} & TQX \\ \alpha \downarrow & & \downarrow \beta & & \downarrow \gamma & & \downarrow T\alpha \\ QX' & \xrightarrow{Qu'} & QY' & \xrightarrow{Qv'} & QZ' & \xrightarrow{Qw'} & TQX' \end{array}$$

*is commutative.*

*Proof.* There are  $f : X \rightarrow X'_1$ ,  $s : X' \rightarrow X'_1$ ,  $g : Y \rightarrow Y'$ ,  $t : Y' \rightarrow Y'_1$  such that  $\alpha = (Qs)^{-1}Qf$ ,  $\beta = (Qt)^{-1}Qg$  and  $s, t \in \mathbf{S}$ . Then there are  $u'_1 : X'_1 \rightarrow Y'_1$ ,

$s_1 : Y' \rightarrow Y'_2$  such that  $u'_1 s = s_1 u'$ ,  $s_1 \in \mathbf{S}$ . Since  $(Qu')\alpha = \beta Q(u)$ , there are  $s_2 : Y'_2 \rightarrow Y'_3$ ,  $t_1 : Y'_1 \rightarrow Y'_3$  such that  $s_2 u'_1 f = t_1 g u$ ,  $s_2 s_1 = t_1 t$  and  $s_2 s_1 \in \mathbf{S}$ .

$$\begin{array}{ccccc}
 X & & X' & & X & \xrightarrow{u} & Y & & Y' \\
 & \searrow f & \downarrow s & \searrow u' & & & \searrow g & & \downarrow t \\
 & & X'_1 & & & & & & Y_1 \\
 & & & \searrow u'_1 & & & & & \downarrow t_1 \\
 & & & & Y'_2 & & & & Y'_3 \\
 & & & & \downarrow s_1 & & & & \\
 & & & & Y'_2 & & & & \\
 & & & & \downarrow s_2 & & & & \\
 & & & & Y'_3 & & & & 
 \end{array}$$

Therefore there are  $h : Z \rightarrow Z'_1$ ,  $s_3 : Z' \rightarrow Z'_1$  such that we have a commutative diagram

$$\begin{array}{ccccccc}
 X & \xrightarrow{u} & Y & \xrightarrow{v} & Z & \xrightarrow{w} & TX \\
 f \downarrow & & & \downarrow t_1 g & \downarrow h & & \downarrow Tf \\
 X_1 & \xrightarrow{s_2 u'_1} & Y'_2 & \xrightarrow{v'} & Z'_1 & \xrightarrow{w''} & TX'_1 \\
 s \uparrow & & \uparrow s_2 s_1 & & \uparrow s_3 & & \uparrow Ts \\
 X' & \xrightarrow{u'} & Y' & \xrightarrow{v'} & Z' & \xrightarrow{w'} & TX.
 \end{array}$$

with  $s_3 \in \mathbf{S}$ . Since  $(Qs_2 s_1)^{-1}(Qt_1)Qg = (Qt_1 t)^{-1}(Qt_1)Qg = (Qt)^{-1}Qg = \beta$ , let  $\gamma = (Qs_3)^{-1}h$ , then  $\gamma$  satisfies the statement.  $\square$

**Definition 8.4** (Triangulation). A sextuple  $(QX', QY', QZ', \lambda, \mu, \nu)$  in  $\mathbf{S}^{-1}\mathcal{C}$  is called a triangle if there exists a triangle  $(X, Y, Z, u, v, w)$  in  $\mathcal{C}$  such that  $(QX', QY', QZ', \lambda, \mu, \nu)$  is isomorphic to  $(QX, QY, QZ, Qu, Qv, Qw)$ .

**Theorem 8.5.**  $\mathbf{S}^{-1}\mathcal{C}$  is a triangulated category and  $Q : \mathcal{C} \rightarrow \mathbf{S}^{-1}\mathcal{C}$  is a  $\partial$ -functor.

*Proof.* Since for any morphism  $\alpha : QX \rightarrow QY$  in  $\mathbf{S}^{-1}\mathcal{C}$  there are  $f : X \rightarrow Y_1$ ,  $s : Y \rightarrow Y_1$ ,  $t : X_1 \rightarrow Y$ ,  $g : X_1 \rightarrow Y$  such that we have commutative diagram

$$\begin{array}{ccccc}
 X & \xlongequal{\quad} & X & \xleftarrow{Qt} & X_1 \\
 Qf \downarrow & & & \downarrow \alpha & \downarrow Qg \\
 Y_1 & \xleftarrow{Qs} & Y & \xlongequal{\quad} & Y
 \end{array}$$

with  $s, t \in \mathbf{S}$ , it is easy.  $\square$

**Proposition 8.6.** Let  $\mathcal{A}$  be an abelian category and  $H : \mathcal{C} \rightarrow \mathcal{A}$  a cohomological functor such that  $H(s)$  are isomorphisms for all  $s \in \mathbf{S}$ . Then there exists a unique cohomological functor  $\overline{H} : \mathbf{S}^{-1}\mathcal{C} \rightarrow \mathcal{A}$  such that  $H = \overline{H}Q$ .

**Proposition 8.7.** Let  $\mathcal{D}$  be another triangulated category and  $F = (F, \theta) : \mathcal{C} \rightarrow \mathcal{D}$  a  $\partial$ -functor such that  $Fs$  are isomorphisms for all  $s \in \mathbf{S}$ . Then there exists a unique

$\partial$ -functor  $\bar{F} = (\bar{F}, \bar{\theta}) : S^{-1}\mathcal{C} \rightarrow \mathcal{D}$  such that  $F = \bar{F}Q$  and  $\theta = \bar{\theta}Q$ .

$$\begin{array}{ccc} \mathcal{C} & & \\ \downarrow Q & \searrow F & \\ S^{-1}\mathcal{C} & \xrightarrow{\bar{F}} & \mathcal{C}' \end{array}$$

**Proposition 8.8.** *Let  $\mathcal{D}$  be another triangulated category and  $F = (F, \theta), G = (G, \eta) : S^{-1}\mathcal{C} \rightarrow \mathcal{D}$   $\partial$ -functors. Then we have a bijective correspondence*

$$\partial \text{Mor}(F, G) \xrightarrow{\sim} \partial \text{Mor}(FQ, GQ), \quad (\zeta \mapsto \zeta_Q).$$

*Proof.* By Proposition 7.13, it remains to check the following commutativity. For  $X \in \mathcal{C}$ ,  $\psi \in \partial \text{Mor}(FQ, GQ)$ , we have

$$\begin{array}{ccccc} FT_{S^{-1}\mathcal{C}}QX & \xlongequal{\quad} & FQT_{\mathcal{C}}X & \xrightarrow{\theta Q} & T_{\mathcal{D}}FQX \\ & & \downarrow \psi T_{\mathcal{C}} & & \downarrow T_{\mathcal{D}}\psi \\ GT_{S^{-1}\mathcal{C}}QX & \xlongequal{\quad} & GQT_{\mathcal{C}}X & \xrightarrow{\eta Q} & T_{\mathcal{D}}GQX \end{array}$$

□

## 9. ÉPAISSE SUBCATEGORIES

**Definition 9.1** (Épaisse Subcategory). An *épaisse subcategory*  $\mathcal{U}$  of a triangulated category  $\mathcal{C}$  is a triangulated full subcategory of  $\mathcal{C}$  such that if  $u \in \text{Hom}_{\mathcal{C}}(X, Y)$  factors through (an object of)  $\mathcal{U}$  and is embedded in a triangle  $(X, Y, Z, u, v, w)$  in  $\mathcal{C}$  with  $Z \in \mathcal{U}$ , then  $X, Y \in \mathcal{U}$ .

**Proposition 9.2.** *For a triangulated full subcategory  $\mathcal{U}$  of  $\mathcal{C}$ , the following are equivalent.*

1.  $\mathcal{U}$  is an épaisse subcategory of  $\mathcal{C}$ .
2.  $\mathcal{U}$  is closed under direct summands.

*Proof.*  $1 \Rightarrow 2$ . Let  $X, Y \in \mathcal{C}$  such that  $X \oplus Y \in \mathcal{U}$ . By Proposition 4.8, we have a triangle  $(T^{-1}Y, X, X \oplus Y, 0, \mu, \pi)$ . Since  $0 : T^{-1}Y \rightarrow X$  factors through  $O \in \mathcal{U}$ ,  $T^{-1}Y, X \in \mathcal{U}$ , and hence  $Y, X \in \mathcal{U}$ .

$2 \Rightarrow 1$ . Let  $(X, Y, Z, u, v, w)$  be a triangle such that  $Z \in \mathcal{U}$  and  $u$  factors through  $Y' \in \mathcal{U}$ , then we have a morphism of triangles

$$\begin{array}{ccccccc} X & \xrightarrow{u'} & Y' & \longrightarrow & Z' & \longrightarrow & TX \\ \parallel & & \downarrow u'' & & \downarrow & & \parallel \\ X & \xrightarrow{u} & Y & \longrightarrow & Z & \longrightarrow & TX. \end{array}$$

By Proposition 4.8, we have a triangle  $(Y', Z' \oplus Y, Z, *, *, *)$ . We have  $Z' \oplus Y \in \mathcal{U}$ , and then  $Y, Z \in \mathcal{U}$  implies  $X \in \mathcal{U}$ . □

**Definition 9.3.** For an épaisse subcategory  $\mathcal{U}$  of a triangulated category  $\mathcal{C}$ , we denote by  $\Phi(\mathcal{U})$  the collection of morphisms  $u$  in  $\mathcal{U}$  such that  $M(u) \in \mathcal{U}$ .

**Lemma 9.4.** *Let  $\mathcal{U}$  be an épaisse subcategory of a triangulated category  $\mathcal{C}$ . For a morphism  $f$  in  $\mathcal{C}$ , the following are equivalent.*

1.  $f$  factors through some object of  $\mathcal{U}$ .

2. There exists  $s \in \Phi(\mathcal{U})$  such that  $sf = 0$ .
3. There exists  $t \in \Phi(\mathcal{U})$  such that  $ft = 0$ .

*Proof.*  $1 \Leftrightarrow 2$ . If  $f$  factors through  $U \in \mathcal{U}$ , then we have a commutative diagram

$$\begin{array}{ccccccc} X & \xlongequal{\quad} & X & & & & \\ u \downarrow & & \downarrow f & & & & \\ U & \xrightarrow{x} & Y & \xrightarrow{s} & Z & \xrightarrow{z} & TU. \end{array}$$

where the bottom row is a triangle, and with  $s \in \Phi(\mathcal{U})$ . We have  $sf = 0$ . Conversely, if  $sf = 0$  with  $s \in \Phi(\mathcal{U})$ , then there exists  $u$  such that we also have the above commutative diagram. Therefore  $f = xu$ .

$1 \Leftrightarrow 3$ . Similarly. □

**Proposition 9.5.** *Let  $\mathcal{U}$  be an épaisse subcategory of a triangulated category  $\mathcal{C}$ . Then  $\Phi(\mathcal{U})$  is a saturated multiplicative system which is compatible with the triangulation.*

*Proof.* We use the following diagram to check the axioms of a multiplicative system.

$$\begin{array}{ccccccc} X & \xrightarrow{u} & Y & \xrightarrow{i} & Z' & \xrightarrow{i'} & TX \\ \parallel & & \downarrow v & & \downarrow z & & \parallel \\ X & \xrightarrow{w} & Z & \xrightarrow{k} & Y' & \xrightarrow{k'} & TX \\ & & \downarrow j & & \downarrow y & & \downarrow Tu \\ & & X' & \xlongequal{\quad} & X' & \xrightarrow{j'} & TY \\ & & \downarrow j' & & \downarrow x & & \\ & & TY & \xrightarrow{Ti} & TZ' & & \end{array}$$

Diagram A

(FR0) Let  $v : X \rightarrow Y, u : Y \rightarrow Z, r : Z \rightarrow U$  be morphisms such that  $ru, uv \in \Phi(\mathcal{U})$ . Then we have a commutative diagram

$$\begin{array}{ccccccc} Y & \xrightarrow{u} & Z & \xrightarrow{j} & X' & \xrightarrow{j'} & TY \\ \parallel & & \downarrow r & & \downarrow l & & \parallel \\ Y & \xrightarrow{ru} & U & \xrightarrow{p} & V & \xrightarrow{q} & TY \end{array}$$

with  $V \in \mathcal{U}$ . Since  $x = (Ti)j' = (Ti)ql$ ,  $x$  factors through  $V \in \mathcal{U}$ . Since  $uv \in \Phi(\mathcal{U})$ , we have Diagram A with  $Y' \in \mathcal{U}$ . Therefore,  $X', Z' \in \mathcal{U}$ , and hence  $u \in \Phi(\mathcal{U})$ .

(FR1) (1) Since  $O \in \mathcal{U}$ , it is trivial. (2) If  $u, v \in \Phi(\mathcal{U})$ , then we have Diagram A with  $Z', X' \in \mathcal{U}$ . Then  $Y' \in \mathcal{U}$ , and hence  $vu \in \Phi(\mathcal{U})$ .

(FR2) (1) Given  $v \in \Phi(\mathcal{U}), i$ , we have Diagram A with  $X' \in \mathcal{U}$ . Then  $z \in \Phi(\mathcal{U})$ . (2) Given  $z \in \Phi(\mathcal{U}), k$ , we have Diagram A with  $X' \in \mathcal{U}$ . Then  $v \in \Phi(\mathcal{U})$ .

(FR3) By Lemma 9.4.

(FR4) It is trivial.

(FR5) By Proposition 4.9, it is easy. □

**Theorem 9.6.** *For a saturated multiplicative system  $\mathcal{S}$  of a triangulated category  $\mathcal{C}$  which is compatible with the triangulation, let  $\Psi(\mathcal{S})$  be the full subcategory of  $\mathcal{C}$  consisting of objects  $X$  such that  $QX = O$ , where  $Q : \mathcal{C} \rightarrow \mathcal{S}^{-1}\mathcal{C}$  is the canonical quotient. Then  $\Psi(\mathcal{S})$  is an épaisse subcategory of  $\mathcal{C}$ .*

*Hence,  $\Phi$  and  $\Psi$  induce one to one correspondence between épaisse subcategories and saturated multiplicative systems which is compatible with the triangulation.*

*Proof.* Let  $(QX, QY, QZ, Qu, Qv, Qw)$  be the image of a triangle  $(X, Y, Z, u, v, w)$  of  $\mathcal{C}$ . If two objects of  $QX, QY, QZ$  are  $O$ , then the rest one is clearly  $O$ . Then it is easy to see that  $\Psi(\mathcal{S})$  is a triangulated full subcategory of  $\mathcal{C}$ . If  $Z \in \Psi(\mathcal{S})$  and  $u$  factors through some object of  $\Psi(\mathcal{S})$ , then  $QZ = O$  and  $Qu$  factors through  $O$ . Therefore,  $QX = QY = O$  and hence  $X, Y \in \Psi(\mathcal{S})$ .  $\square$

**Definition 9.7.** For an épaisse subcategory  $\mathcal{U}$  of a triangulated category  $\mathcal{C}$ , we denote by  $\mathcal{C}/\mathcal{U}$  the quotient category  $\Phi(\mathcal{U})^{-1}\mathcal{C}$ . In this case, we say that  $0 \rightarrow \mathcal{U} \rightarrow \mathcal{C} \rightarrow \mathcal{C}/\mathcal{U} \rightarrow 0$  is an exact sequence of triangulated categories.

**Proposition 9.8.** *Let  $\mathcal{C}$  be a triangulated category,  $\mathcal{U}$  an épaisse subcategory of  $\mathcal{C}$ , and  $Q : \mathcal{C} \rightarrow \mathcal{C}/\mathcal{U}$  the canonical quotient. For  $M \in \mathcal{C}$ , the following are equivalent.*

1. *For every  $f : X \rightarrow Y \in \Phi(\mathcal{U})$ ,  $\text{Hom}_{\mathcal{C}}(f, M) : \text{Hom}_{\mathcal{C}}(Y, M) \rightarrow \text{Hom}_{\mathcal{C}}(X, M)$  is bijective.*
2.  $\text{Hom}_{\mathcal{C}}(\mathcal{U}, M) = 0$ .
3. *For every  $X \in \mathcal{C}$ ,  $Q_{X, M} : \text{Hom}_{\mathcal{C}}(X, M) \rightarrow \text{Hom}_{\mathcal{C}/\mathcal{U}}(QX, QM)$  is bijective.*

*Proof.*  $1 \Rightarrow 2$ . For every object  $U \in \mathcal{U}$ ,  $O \rightarrow U \xrightarrow{1} U \rightarrow O$  is a triangle. Then  $0 = \text{Hom}_{\mathcal{C}}(O, M) \cong \text{Hom}_{\mathcal{C}}(U, M)$ .

$2 \Rightarrow 3$ . Every morphism of  $\text{Hom}_{\mathcal{C}/\mathcal{U}}(QX, QM)$  is represented by a diagram

$$\begin{array}{ccc} X' & & \\ \downarrow s & \searrow f & \\ X & & M \end{array}$$

where  $s$  is contained in a triangle  $U \rightarrow X' \xrightarrow{s} X \rightarrow TU$  with  $U \in \mathcal{U}$ . Then there exists  $f' : X \rightarrow M$  in  $\mathcal{C}$  such that  $f = f's$ , because  $\text{Hom}_{\mathcal{C}}(U, M) = 0$ . Hence  $Q_{X, M}$  is surjective. Let  $U \rightarrow X' \rightarrow X \rightarrow TU$  be a triangle with  $U \in \mathcal{U}$ . If a morphism  $g : X \rightarrow M$  satisfies  $gs = 0$ , then there exist  $u : TU \rightarrow M$  such that  $g = ut$ . Therefore  $g = 0$ , because  $u \in \text{Hom}_{\mathcal{C}}(U, M) = 0$ . Hence  $Q_{X, M}$  is injective.

$3 \Rightarrow 1$ . Let  $f : X \rightarrow Y$  be a morphism in  $\Phi(\mathcal{U})$ . Then we have the following commutative diagram

$$\begin{array}{ccc} \text{Hom}_{\mathcal{C}}(Y, M) & \xrightarrow{\text{Hom}(f, M)} & \text{Hom}_{\mathcal{C}}(X, M) \\ Q_{Y, M} \downarrow & & \downarrow Q_{X, M} \\ \text{Hom}_{\mathcal{C}/\mathcal{U}}(QY, QM) & \xrightarrow{\text{Hom}(Qf, QM)} & \text{Hom}_{\mathcal{C}/\mathcal{U}}(QX, QM) \end{array}$$

According to 3,  $Q_{X, M}$  and  $Q_{Y, M}$  are bijective. Since  $QU = 0$ ,  $\text{Hom}(Qf, QM)$  is bijective. Hence  $\text{Hom}(f, M)$  is bijective.  $\square$

**Definition 9.9** ( $\mathcal{U}$ -Local Object). An object  $M$  is called  $\mathcal{U}$ -local (resp.,  $\mathcal{U}$ -colocal) if it satisfies the equivalent conditions (resp., the dual conditions) of Proposition 9.8. Let  $0 \rightarrow \mathcal{U} \rightarrow \mathcal{C} \xrightarrow{Q} \mathcal{C}/\mathcal{U} \rightarrow 0$  be an exact sequence of triangulated categories.

The right (resp., left ) adjoint of  $Q$  is called a *section functor*. If there exists a section functor  $S$ , then  $\{\mathcal{C}/\mathcal{U}; Q, S\}$  is called a *localization* (resp., *colocalization*) of  $\mathcal{C}$ , and  $0 \rightarrow \mathcal{U} \rightarrow \mathcal{C} \xrightarrow{Q} \mathcal{C}/\mathcal{U} \rightarrow 0$  is called *localization* (resp., *colocalization*) *exact*.

**Lemma 9.10.** *Let  $\{\mathcal{C}/\mathcal{U}; Q, S\}$  be a localization of  $\mathcal{C}$ . For every object  $V \in \mathcal{C}/\mathcal{U}$ ,  $SV$  is  $\mathcal{U}$ -local.*

*Proof.* For every  $f : X \rightarrow Y \in \Phi(\mathcal{U})$ , we have a commutative diagram

$$\begin{array}{ccc} \mathrm{Hom}_{\mathcal{C}}(Y, SV) & \xrightarrow{\mathrm{Hom}(f, SV)} & \mathrm{Hom}_{\mathcal{C}}(X, SV) \\ \downarrow \wr & & \downarrow \wr \\ \mathrm{Hom}_{\mathcal{C}/\mathcal{U}}(QY, V) & \xrightarrow{\mathrm{Hom}(Qf, V)} & \mathrm{Hom}_{\mathcal{C}/\mathcal{U}}(QX, V) \end{array}$$

Therefore  $\mathrm{Hom}(f, SV)$  is an isomorphism. By Proposition 9.8,  $SV$  is  $\mathcal{U}$ -local.  $\square$

**Proposition 9.11.** *Let  $\{\mathcal{C}/\mathcal{U}; Q, S\}$  be a localization of  $\mathcal{C}$ , and  $\tau : QS \rightarrow \mathbf{1}_{\mathcal{C}/\mathcal{U}}$  and  $\sigma : \mathbf{1}_{\mathcal{C}} \rightarrow SQ$  adjunction arrows. Then the following hold.*

1.  $\tau$  is an isomorphism (i.e.  $S$  is fully faithful ).
2. For every object  $X \in \mathcal{C}$ , the triangle  $U \rightarrow X \xrightarrow{\sigma_X} SQX \rightarrow TU$  satisfies that  $U$  is in  $\mathcal{U}$ .

*Proof.* 1. For every  $X \in \mathcal{C}$  and  $Y \in \mathcal{C}/\mathcal{U}$ , we have a commutative diagram

$$\begin{array}{ccc} \mathrm{Hom}_{\mathcal{C}}(X, SY) & \xlongequal{\quad} & \mathrm{Hom}_{\mathcal{C}}(X, SY) \\ Q_{X, SY} \downarrow & & \downarrow \wr \\ \mathrm{Hom}_{\mathcal{C}/\mathcal{U}}(QX, QSY) & \xrightarrow{\mathrm{Hom}(QX, \tau_Y)} & \mathrm{Hom}_{\mathcal{C}/\mathcal{U}}(QX, Y). \end{array}$$

By Proposition 9.8 and Lemma 9.10,  $Q_{X, SY}$  is an isomorphism. Then  $\mathrm{Hom}(QX, \tau_Y)$  is an isomorphism. For any  $Z \in \mathcal{C}/\mathcal{U}$ , there exists  $X \in \mathcal{C}$  such that  $Z \cong QX$ . Hence  $\tau$  is an isomorphism.

2. It suffices to show that for any  $X \in \mathcal{C}$ ,  $Q\sigma_X$  is an isomorphism. By the property of adjunction arrows, we have  $QX \xrightarrow{Q\sigma_X} QSQX \xrightarrow{\tau_{QX}} QX = \mathbf{1}_{QX}$ , and hence  $Q\sigma_X$  is an isomorphism.  $\square$

**Corollary 9.12.** *Let  $M \in \mathcal{C}$ . Then  $M$  is  $\mathcal{U}$ -local if and only if  $M \cong SQM$ .*

**Proposition 9.13.** *Let  $\mathcal{C}$  and  $\mathcal{C}'$  be triangulated categories,  $F : \mathcal{C} \rightarrow \mathcal{C}'$  a  $\partial$ -functor which has a fully faithful right adjoint  $S : \mathcal{C}' \rightarrow \mathcal{C}$ . Then  $F$  induces an equivalence between  $\mathcal{C}/\mathrm{Ker} F$  and  $\mathcal{C}'$ .*

*Proof.* By the universal property of  $Q : \mathcal{C} \rightarrow \mathcal{C}/\mathrm{Ker} F$ , we have the following commutative diagram

$$\begin{array}{ccc} \mathcal{C} & & \\ \downarrow Q & \searrow F & \\ \mathcal{C}/\mathrm{Ker} F & \xrightarrow{F'} & \mathcal{C}' \end{array}$$

If  $f : X \rightarrow Y$  is a morphism in  $\mathcal{C}$ , then  $Ff$  is an isomorphism if and only if  $Qf$  is an isomorphism. For every object  $M \in \mathcal{C}$ ,  $FM \rightarrow FQSM$  is an isomorphism, and then  $QM \rightarrow QQSM$  is an isomorphism. Therefore  $Q \rightarrow QSF$  is an isomorphism.

By the universal property of  $Q$  and  $QSF = QSF'Q$ , we have  $\mathbf{1}_{\mathcal{C}/\text{Ker } F} \cong QSF'$ . Since,  $F'QS = FS \cong \mathbf{1}_{\mathcal{C}'}$ ,  $F'$  is an equivalence.  $\square$

**Definition 9.14** (stable  $t$ -structure). For full subcategories  $\mathcal{U}$  and  $\mathcal{V}$  of  $\mathcal{C}$ ,  $(\mathcal{U}, \mathcal{V})$  is called a *stable  $t$ -structure* in  $\mathcal{C}$  provided that

1.  $\mathcal{U}$  and  $\mathcal{V}$  are stable for translations.
2.  $\text{Hom}_{\mathcal{C}}(\mathcal{U}, \mathcal{V}) = 0$ .
3. For every  $X \in \mathcal{C}$ , there exists a triangle  $U \rightarrow X \rightarrow V \rightarrow TU$  with  $U \in \mathcal{U}$  and  $V \in \mathcal{V}$ .

**Proposition 9.15.** *Let  $\mathcal{C}$  be a triangulated category,  $(\mathcal{U}, \mathcal{V})$  a stable  $t$ -structure in  $\mathcal{C}$ . Then the following hold.*

1. For  $X \in \mathcal{C}$ ,  $\text{Hom}_{\mathcal{C}}(X, \mathcal{V}) = 0$  if and only if  $X$  is isomorphic to an object of  $\mathcal{U}$ .
2. For  $Y \in \mathcal{C}$ ,  $\text{Hom}_{\mathcal{C}}(\mathcal{U}, Y) = 0$  if and only if  $Y$  is isomorphic to an object of  $\mathcal{V}$ .
3. Let  $\tilde{\mathcal{U}}$  be the full subcategory of  $\mathcal{C}$  consisting objects which are isomorphic to objects of  $\mathcal{U}$ . Then  $\tilde{\mathcal{U}}$  is an *épaisse* subcategory of  $\mathcal{C}$ .
4. Let  $\tilde{\mathcal{V}}$  be the full subcategory of  $\mathcal{C}$  consisting objects which are isomorphic to objects of  $\mathcal{V}$ . Then  $\tilde{\mathcal{V}}$  is an *épaisse* subcategory of  $\mathcal{C}$ .

*Proof.* 1. For  $X \in \mathcal{C}$ , we have a triangle

$$U_X \xrightarrow{\tau_X} X \xrightarrow{\sigma_X} V_X \rightarrow TU_X.$$

If  $\text{Hom}_{\mathcal{C}}(X, \mathcal{V}) = 0$ , then  $\sigma_X = 0$  and  $U_X \cong X \oplus T^{-1}V_X$ . Therefore,  $T^{-1}V_X = 0$  and  $X \cong U_X$ , because of  $\text{Hom}_{\mathcal{C}}(U_X, T^{-1}V_X) = 0$ .

2. Similarly.

3, 4. By 1, 2, it is trivial.  $\square$

**Proposition 9.16.** *Let  $\mathcal{C}$  be a triangulated category. If  $\{\mathcal{V}; Q, S\}$  is a localization of  $\mathcal{C}$ , then  $S$  is fully faithful,  $(\mathcal{U}, S\mathcal{V})$  is a stable  $t$ -structure, where  $\mathcal{U} = \text{Ker } Q$ . Conversely, if  $(\mathcal{U}, \mathcal{V})$  is a stable  $t$ -structure in  $\mathcal{C}$ , then the canonical inclusion  $S : \mathcal{V} \rightarrow \mathcal{C}$  has a left adjoint  $Q$  such that  $\{\mathcal{V}; Q, S\}$  is a localization.*

*Proof.* Let  $\{\mathcal{V}; Q, S\}$  be a localization of  $\mathcal{C}$ . Then, by

$$\begin{aligned} \text{Hom}_{\mathcal{C}}(\mathcal{U}, S\mathcal{V}) &\cong \text{Hom}_{\mathcal{C}}(Q\mathcal{U}, \mathcal{V}) \\ &= 0 \end{aligned}$$

and Proposition 9.13, it is clear that  $S$  is fully faithful and  $(\mathcal{U}, S\mathcal{V})$  is a stable  $t$ -structure. Conversely, let  $(\mathcal{U}, \mathcal{V})$  be a stable  $t$ -structure in  $\mathcal{C}$ . For  $X \in \mathcal{C}$ , let  $U_X \rightarrow X \rightarrow V_X \rightarrow TU_X$  be triangle such that  $U_X \in \mathcal{U}$  and  $V_X \in \mathcal{V}$ . Since  $\text{Hom}_{\mathcal{C}}(\mathcal{U}, \mathcal{V}) = 0$  and  $\mathcal{U}$  and  $\mathcal{V}$  are stable under translations, for any  $V \in \mathcal{V}$ , we have an isomorphism

$$\text{Hom}_{\mathcal{C}}(V_X, V) \cong \text{Hom}_{\mathcal{C}}(X, V).$$

According to Theorem 1.18,  $S : \mathcal{V} \rightarrow \mathcal{C}$  has a left adjoint  $Q$  such that  $\{\mathcal{V}; Q, S\}$  is a localization.  $\square$

**Remark 9.17.** Similarly, if  $(\mathcal{U}, \mathcal{V})$  is a stable  $t$ -structure in  $\mathcal{C}$ , then there is a functor  $Q : \mathcal{C} \rightarrow \mathcal{U}$  such that  $\{\mathcal{U}; Q, S'\}$  is a colocalization of  $\mathcal{C}$ , where  $S' : \mathcal{U} \rightarrow \mathcal{C}$  is the canonical embedding. Conversely, if  $\{\mathcal{U}; Q, S'\}$  is a colocalization of  $\mathcal{C}$ , then  $(S'\mathcal{U}, \text{Ker } Q)$  is a stable  $t$ -structure in  $\mathcal{C}$ .

## 10. DERIVED CATEGORIES

Throughout this section,  $\mathcal{A}$  is an abelian category.

**Definition 10.1.** For  $X^\bullet, Y^\bullet \in \mathbf{K}^*(\mathcal{A})$ , a morphism  $u \in \text{Hom}_{\mathbf{C}(\mathcal{A})}(X^\bullet, Y^\bullet)$  is called a *quasi-isomorphism* if  $H^n(u)$  are isomorphisms for all  $n \in \mathbb{Z}$ , where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ .

$\mathbf{K}^{*,\phi}(\mathcal{A})$  is a full subcategory of  $\mathbf{K}^*(\mathcal{A})$  consisting of complexes of which all homologies are  $O$ , where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ .

*Proof.* It is easy to see that  $\mathbf{K}^{*,\phi}(\mathcal{A})$  is an épaisse subcategory of  $\mathbf{K}^*(\mathcal{A})$ . By Proposition 6.26, it is easy.  $\square$

**Definition 10.2** (Derived Category). The derived category  $\mathbf{D}^*(\mathcal{A})$  of an abelian category  $\mathcal{A}$  is  $\mathbf{K}^*(\mathcal{A})/\mathbf{K}^{*,\phi}(\mathcal{A})$ , where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ .

**Remark 10.3.** For two morphisms  $f, g : X^\bullet \rightarrow Y^\bullet$  in  $\mathbf{C}(\mathcal{A})$ ,  $f = g \Rightarrow f \xrightarrow[h]{\simeq} g \Rightarrow f \cong g$  in  $\mathbf{D}(\mathcal{A}) \Rightarrow H^n(f) \cong H^n(g)$  for all  $n$ . The converse implications do not hold.

**Proposition 10.4.** If  $O \rightarrow X^\bullet \xrightarrow{u} Y^\bullet \xrightarrow{v} Z^\bullet \rightarrow 0$  is a exact sequence in  $\mathbf{C}(\mathcal{A})$ , then it can be embedded in a triangle in  $\mathbf{D}(\mathcal{A})$

$$QX^\bullet \xrightarrow{Qu} QY^\bullet \xrightarrow{Qv} QZ^\bullet \xrightarrow{Qw} TQX^\bullet.$$

*Proof.* According to Remark 6.10, we have a commutative diagram in  $\mathbf{C}(\mathcal{A})$

$$\begin{array}{ccccccc} & & O & & O & & \\ & & \downarrow & & \downarrow & & \\ O & \longrightarrow & X^\bullet & \longrightarrow & I^\bullet(X^\bullet) & \longrightarrow & TX^\bullet \longrightarrow O \\ & & u \downarrow & & \downarrow x & & \parallel \\ O & \longrightarrow & Y^\bullet & \xrightarrow{v'} & M^\bullet(u) & \xrightarrow{w} & TX^\bullet \longrightarrow O \\ & & v \downarrow & & \downarrow s & & \\ & & Z^\bullet & \xlongequal{\quad} & Z^\bullet & & \\ & & \downarrow & & \downarrow & & \\ & & O & & O & & \end{array}$$

where all rows and columns are exact. Then  $X^\bullet \xrightarrow{u} Y^\bullet \xrightarrow{v'} Z^\bullet \xrightarrow{w} TX^\bullet$  is a triangle in  $\mathbf{K}(\mathcal{A})$ . Since  $I^\bullet(X^\bullet) \in \mathbf{K}^\phi(\mathcal{A})$ , by Proposition 6.23,  $s$  is a quasi-morphism, and hence we have a commutative diagram in  $\mathbf{D}(\mathcal{A})$

$$\begin{array}{ccccccc} QX^\bullet & \xrightarrow{Qu} & QY^\bullet & \xrightarrow{Qv'} & QM^\bullet(u) & \xrightarrow{Qw} & TQX^\bullet \\ \parallel & & \parallel & & \downarrow Qs & & \parallel \\ QX^\bullet & \xrightarrow{Qu} & QY^\bullet & \xrightarrow{Qv} & QZ & \xrightarrow{Qw(Qs)^{-1}} & TQX^\bullet. \end{array}$$

$\square$

**Definition 10.5.** A full subcategory  $\mathcal{A}'$  of  $\mathcal{A}$  is called a *thick abelian* full subcategory if  $\mathcal{A}'$  is an abelian exact full subcategory which is closed under extensions.



For  $*$  = nothing,  $+$ ,  $-$ ,  $b$ , we denote by  $\mathbf{K}_{\mathcal{A}'}^*(\mathcal{A})$  the full subcategory of  $\mathbf{K}^*(\mathcal{A})$  consisting of complexes  $X^\bullet \in \mathbf{K}(\mathcal{A})$  with  $\mathbf{H}^n(X^\bullet) \in \mathcal{A}'$  for all  $n \in \mathbb{Z}$ .

Moreover, we set  $\mathbf{D}_{\mathcal{A}'}^*(\mathcal{A}) = \mathbf{K}_{\mathcal{A}'}^*(\mathcal{A}) / \mathbf{K}^{*,\phi}(\mathcal{A})$ , where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ .

**Definition 10.6** (Truncations). For a complex  $X^\bullet = (X^i, d^i)$ , we define the following truncations:

$$\begin{aligned} \sigma_{>n} X^\bullet &: \dots \rightarrow 0 \rightarrow \operatorname{Im} d^n \rightarrow X^{n+1} \rightarrow X^{n+2} \rightarrow \dots, \\ \sigma_{\leq n} X^\bullet &: \dots \rightarrow X^{n-2} \rightarrow X^{n-1} \rightarrow \operatorname{Ker} d^n \rightarrow 0 \rightarrow \dots, \\ \sigma'_{\geq n} X^\bullet &: \dots \rightarrow 0 \rightarrow \operatorname{Cok} d^{n-1} \rightarrow X^{n+1} \rightarrow X^{n+2} \rightarrow \dots, \\ \sigma'_{<n} X^\bullet &: \dots \rightarrow X^{n-2} \rightarrow X^{n-1} \rightarrow \operatorname{Im} d^{n-1} \rightarrow 0 \rightarrow \dots, \\ \tau_{\geq n} X^\bullet &: \dots \rightarrow 0 \rightarrow X^n \rightarrow X^{n+1} \rightarrow X^{n+2} \rightarrow \dots, \\ \tau_{\leq n} X^\bullet &: \dots \rightarrow X^{n-2} \rightarrow X^{n-1} \rightarrow X^n \rightarrow 0 \rightarrow \dots \end{aligned}$$

Then we have exact sequences in  $\mathbf{C}(\mathcal{A})$

$$\begin{aligned} 0 &\rightarrow \sigma_{\leq n}(X^\bullet) \rightarrow X^\bullet \rightarrow \sigma_{>n}(X^\bullet) \rightarrow 0 \\ 0 &\rightarrow \sigma'_{<n}(X^\bullet) \rightarrow X^\bullet \rightarrow \sigma'_{\geq n}(X^\bullet) \rightarrow 0 \\ 0 &\rightarrow \tau_{\geq n}(X^\bullet) \rightarrow X^\bullet \rightarrow \tau_{\leq n+1}(X^\bullet) \rightarrow 0 \end{aligned}$$

Then it is easy to see that

$$\begin{aligned} \mathbf{H}^i(\sigma_{>n} X^\bullet) &= \begin{cases} 0 & \text{if } i \leq n \\ \mathbf{H}^i(X^\bullet) & \text{if } i > n \end{cases} \\ \mathbf{H}^i(\sigma'_{\geq n} X^\bullet) &= \begin{cases} 0 & \text{if } i < n \\ \mathbf{H}^i(X^\bullet) & \text{if } i \geq n \end{cases} \\ \mathbf{H}^i(\sigma_{\leq n} X^\bullet) &= \begin{cases} \mathbf{H}^i(X^\bullet) & \text{if } i \leq n \\ 0 & \text{if } i > n \end{cases} \\ \mathbf{H}^i(\sigma'_{<n} X^\bullet) &= \begin{cases} \mathbf{H}^i(X^\bullet) & \text{if } i < n \\ 0 & \text{if } i \geq n \end{cases} \end{aligned}$$

**Proposition 10.7.** *The following hold.*

1. *The canonical functor  $\mathbf{D}^*(\mathcal{A}) \rightarrow \mathbf{D}(\mathcal{A})$  is fully faithful, where  $*$  =  $+$ ,  $-$ .*
2. *The canonical functor  $\mathbf{D}^b(\mathcal{A}) \rightarrow \mathbf{D}^*(\mathcal{A})$  is fully faithful, where  $*$  =  $+$ ,  $-$ .*
3. *The canonical functor  $\mathbf{D}_{\mathcal{A}'}^*(\mathcal{A}) \rightarrow \mathbf{D}^*(\mathcal{A})$  is fully faithful, where  $*$  =  $+$ ,  $-$ ,  $b$ .*

*Proof.* According to Definitions 10.2, 10.5, it suffices to check the condition of Proposition 7.16. Let  $X^\bullet \in \mathbf{K}^-(\mathcal{A})$ ,  $Y^\bullet \in \mathbf{K}(\mathcal{A})$  and a quasi-isomorphism  $X^\bullet \rightarrow Y^\bullet$  in  $\mathbf{K}(\mathcal{A})$ . Then we may assume that there is  $n$  such that  $\mathbf{H}^i(Y^\bullet) = 0$  for all  $i > n$ . Then the morphism  $Y^\bullet \rightarrow \sigma_{\leq n}(Y^\bullet)$  is a quasi-isomorphism, and  $\sigma_{\leq n}(Y^\bullet) \in \mathbf{K}^-(\mathcal{A})$ . For the other cases, similarly.  $\square$

Let  $\operatorname{Inj} \mathcal{A}$  (resp.,  $\operatorname{Proj} \mathcal{A}$ ) be the full subcategory of  $\mathcal{A}$  consisting of injective (resp., projective) objects.

**Lemma 10.8.** *For  $X^\bullet \in \mathbf{K}(\mathcal{A})$  and  $I^\bullet \in \mathbf{K}^+(\operatorname{Inj} \mathcal{A})$  (resp.,  $P^\bullet \in \mathbf{K}^-(\operatorname{Proj} \mathcal{A})$ ), if  $X^\bullet$  is acyclic, then we have*

$$\begin{aligned} \operatorname{Hom}_{\mathbf{K}(\mathcal{A})}(X^\bullet, I^\bullet) &= 0. \\ (\text{resp., } \operatorname{Hom}_{\mathbf{K}(\mathcal{A})}(P^\bullet, X^\bullet) &= 0) \end{aligned}$$

**Corollary 10.9.** *The following hold.*

1. If  $\mathcal{A}$  has enough injectives, then we have an isomorphism

$$\mathrm{Hom}_{\mathbf{K}(\mathcal{A})}(X^\bullet, I^\bullet) \cong \mathrm{Hom}_{\mathbf{D}(\mathcal{A})}(X^\bullet, I^\bullet)$$

for  $X^\bullet \in \mathbf{K}(\mathcal{A})$ ,  $I^\bullet \in \mathbf{K}^+(\mathrm{Inj} \mathcal{A})$ .

2. If  $\mathcal{A}$  has enough projectives, then we have an isomorphism

$$\mathrm{Hom}_{\mathbf{K}(\mathcal{A})}(P^\bullet, Y^\bullet) \cong \mathrm{Hom}_{\mathbf{D}(\mathcal{A})}(P^\bullet, Y^\bullet)$$

for  $P^\bullet \in \mathbf{K}^-(\mathrm{Proj} \mathcal{A})$ ,  $Y^\bullet \in \mathbf{K}(\mathcal{A})$ .

*Proof.* By Lemma 10.8 and Proposition 9.8, and their dual.  $\square$

**Lemma 10.10.** *The following hold.*

1. Let  $\mathcal{L}$  be a collection of objects of  $\mathcal{A}$  such that every object  $X \in \mathcal{A}$  is a image of an epimorphism from some object of  $\mathcal{L}$ . Then for any  $X^\bullet \in \mathbf{K}^-(\mathcal{A})$ , there exists  $P^\bullet \in \mathbf{K}^-(\mathcal{L})$  and a morphism  $f : P^\bullet \rightarrow X^\bullet$  in  $\mathbf{K}(\mathcal{A})$  such that  $f$  is a quasi-isomorphism.
2. Let  $\mathcal{A}'$  be a thick abelian full subcategory of  $\mathcal{A}$  such that every object  $X \in \mathcal{A}'$  is a image of an epimorphism from some object of  $(\mathrm{Proj} \mathcal{A}) \cap \mathcal{A}'$ . Then for any  $X^\bullet \in \mathbf{K}_{\mathcal{A}'}^-(\mathcal{A})$ , there exists  $P^\bullet \in \mathbf{K}^-(\mathrm{Proj} \mathcal{A}) \cap \mathcal{A}'$  and a morphism  $f : P^\bullet \rightarrow X^\bullet$  in  $\mathbf{K}(\mathcal{A})$  such that  $f$  is a quasi-isomorphism.

*Proof.* 1. Given an object  $X \in \mathbf{K}^-(\mathcal{A})$ , we may assume that  $X^i = 0$  for all  $i > 0$ . By the backward induction on  $n$ , we construct a complex  $P^\bullet \in \mathbf{K}^-(\mathrm{Proj} \mathcal{A})$ . as follows. Let  $Z'^n$  and  $Z^n$  be  $Z^n(P^\bullet)$  and  $Z^n(X^\bullet)$ , respectively. Assume we have a commutative diagram

$$\begin{array}{ccc} Z'^n & \xrightarrow{\quad} & P^n \\ \downarrow & & \downarrow \\ Z^n & \xrightarrow{\quad} & X^n \end{array}$$

We take a pull back  $M^n$  of  $X^{n-1} \rightarrow Z^n \leftarrow Z'^n$ , and take an epimorphism from  $P^{n-1} \rightarrow M^n$ . Then by Proposition 2.19,  $H^n(P^\bullet) \cong H^n(X^\bullet)$  and the induced morphism  $Z^n(P^\bullet) \rightarrow Z^n(X^\bullet)$  is epic.

$$\begin{array}{ccccccc} Z'^{n-1} & \xrightarrow{\quad} & P^{n-1} & \xrightarrow{\quad} & M^{n-1} & \xrightarrow{\quad} & Z'^n & \xrightarrow{\quad} & P^n \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ Z^{n-1} & \xrightarrow{\quad} & X^{n-1} & \xrightarrow{\quad} & X^{n-1} & \xrightarrow{\quad} & Z^n & \xrightarrow{\quad} & X^n \end{array}$$

$PB$

2. Given an object  $X \in \mathbf{K}_{\mathcal{A}'}^-(\mathcal{A})$ , we may assume that  $X^i = 0$  for all  $i > 0$ . By the backward induction on  $n$ , we construct a complex  $P^\bullet \in \mathbf{K}^-(\mathrm{Proj} \mathcal{A}) \cap \mathcal{A}'$ . as follows. Let  $B'^n$  and  $B^n$  (resp.,  $C'^n$  and  $C^n$ ) be  $Z^n(P^\bullet)$  and  $Z^n(X^\bullet)$  (resp.,  $C^n(P^\bullet)$  and  $C^n(X^\bullet)$ ), respectively. Assume we have a commutative diagram

$$\begin{array}{ccc} P^n & \xrightarrow{\quad} & C'^n \\ \downarrow & & \downarrow \\ X^n & \xrightarrow{\quad} & C^n \end{array}$$

where  $P^n, C'^n \in \mathcal{A}'$ . Then  $B'^n \in \mathcal{A}'$ . We take a pull back  $C'^{n-1}$  of  $C^{n-1} \rightarrow B^n \leftarrow B'^n$ . Then by Proposition 2.19,  $H^{n-1}(P^\bullet) \cong H^{n-1}(X^\bullet)$ . Since  $\mathcal{A}'$  is closed under extensions,  $C'^{n-1} \in \mathcal{A}'$ . Therefore we can take an epimorphism from  $P^{n-1} \rightarrow$

$C'^{n-2}$ , with  $P^{n-1} \in (\text{Proj } \mathcal{A}) \cap \mathcal{A}'$ . Since  $P^{n-1}$  is projective, we have a morphism  $P^{n-1} \rightarrow X^{n-1}$ , and we have a commutative diagram

$$\begin{array}{ccccccccc} P^{n-1} & \longrightarrow & C'^{n-1} & \longrightarrow & B'^{n-1} & \longrightarrow & P^n & \longrightarrow & C'^n \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ X^{n-1} & \longrightarrow & C^{n-1} & \longrightarrow & B^{n-1} & \longrightarrow & X^n & \longrightarrow & C^n \end{array}$$

$PB$

□

**Proposition 10.11.** *The following hold.*

1. *If  $\mathcal{A}$  has enough projectives, then*

$$\mathbf{K}^-(\text{Proj } \mathcal{A}) \stackrel{t}{\cong} \mathbf{D}^-(\mathcal{A}).$$

2. *If  $\mathcal{A}$  has enough injectives, then*

$$\mathbf{K}^+(\text{Inj } \mathcal{A}) \stackrel{t}{\cong} \mathbf{D}^+(\mathcal{A}).$$

3. *Let  $\mathcal{A}'$  be a thick abelian full subcategory of  $\mathcal{A}$  such that  $\mathcal{A}'$  has enough  $\mathcal{A}$ -projectives in  $\mathcal{A}'$ . Then we have*

$$\mathbf{D}^*(\mathcal{A}') \stackrel{t}{\cong} \mathbf{D}_{\mathcal{A}'}^*(\mathcal{A})$$

where  $*$  =  $-$ ,  $b$ .

4. *Let  $\mathcal{A}'$  be a thick abelian full subcategory of  $\mathcal{A}$  such that  $\mathcal{A}'$  has enough  $\mathcal{A}$ -injectives in  $\mathcal{A}'$ . Then we have*

$$\mathbf{D}^*(\mathcal{A}') \stackrel{t}{\cong} \mathbf{D}_{\mathcal{A}'}^*(\mathcal{A})$$

where  $*$  =  $+$ ,  $b$ .

*Proof.* 1. By Lemmas 10.8, 10.10,  $(\mathbf{K}^-(\text{Proj } \mathcal{A}), \mathbf{K}^{-,\phi}(\mathcal{A}))$  is a stable  $t$ -structure in  $\mathbf{K}^-(\mathcal{A})$ . According to Proposition 9.16, Remark 9.17, we get the statement.

2. Similarly.

3. Since we have the canonical full embedding  $\mathbf{K}^-(\mathcal{A}') \rightarrow \mathbf{K}^-(\mathcal{A})$ , it suffices to check the condition of Proposition 7.16. Let  $X^\bullet \in \mathbf{K}^-(\mathcal{A}')$ ,  $Y^\bullet \in \mathbf{K}^-(\mathcal{A})$ , and  $Y^\bullet \rightarrow X^\bullet$  a quasi-isomorphism in  $\mathbf{K}^-(\mathcal{A})$ . Since all homologies of  $Y^\bullet$  are in  $\mathcal{A}'$ , by Lemma 10.10, we have  $X'^\bullet \rightarrow Y^\bullet$  is a quasi-isomorphism, with  $X'^\bullet \in \mathbf{D}^-(\mathcal{A}')$ .

4. Similarly. □

**Definition 10.12.** In the case of  $\mathcal{A}$  having enough projectives (resp., injectives), we denote by  $\mathbf{K}^{-,b}(\text{Proj } \mathcal{A})$  (resp.,  $\mathbf{K}^{+,b}(\text{Inj } \mathcal{A})$ ) the triangulated full subcategory of  $\mathbf{K}^-(\text{Proj } \mathcal{A})$  (resp.,  $\mathbf{K}^+(\text{Inj } \mathcal{A})$ ) consisting of complexes of which homologies are bounded.

**Corollary 10.13.** *The following hold.*

1. *If  $\mathcal{A}$  has enough projectives, then*

$$\mathbf{K}^{-,b}(\text{Proj } \mathcal{A}) \stackrel{t}{\cong} \mathbf{D}^b(\mathcal{A}).$$

2. *If  $\mathcal{A}$  has enough injectives, then*

$$\mathbf{K}^{+,b}(\text{Inj } \mathcal{A}) \stackrel{t}{\cong} \mathbf{D}^b(\mathcal{A}).$$

**Example 10.14.** For a coherent ring  $A$ , let  $\text{mod } A$  be the full subcategory of  $\text{Mod } A$  consisting of right coherent  $A$ -modules. Then  $\text{mod } A$  is a thick abelian full subcategory of  $\text{Mod } A$ . Therefore, we have

$$\mathbf{D}^*(\text{mod } A) \stackrel{t}{\cong} \mathbf{D}_{\text{mod } A}^*(\text{Mod } A)$$

where  $* = -, b$ . We often write  $\mathbf{D}_c^*(\text{Mod } A)$  for  $\mathbf{D}_{\text{mod } A}^*(\text{Mod } A)$ .

**Definition 10.15** (Yoneda Ext). For  $X, Y \in \mathcal{A}$  and  $n \in \mathbb{N}$ , let  $\text{Exact}_{\mathcal{A}}^n(X, Y)$  be the set of exact sequences in  $\mathcal{A}$  of the form

$$\Sigma : \mathcal{O} \rightarrow Y \rightarrow X_{n-1} \rightarrow \dots \rightarrow X_0 \rightarrow X \rightarrow \mathcal{O}.$$

For  $\Sigma_1, \Sigma_2 \in \text{Exact}_{\mathcal{A}}^n(X, Y)$ , we write  $\Sigma_1 \rightarrow \Sigma_2$  if we have a commutative diagram

$$\begin{array}{ccccccccccc} \Sigma_1 : \mathcal{O} & \longrightarrow & Y & \longrightarrow & X_{n-1} & \longrightarrow & \dots & \longrightarrow & X_0 & \longrightarrow & X & \longrightarrow & \mathcal{O} \\ \downarrow & & \parallel & & \downarrow & & \dots & & \downarrow & & \parallel & & \\ \Sigma_2 : \mathcal{O} & \longrightarrow & Y & \longrightarrow & X'_{n-1} & \longrightarrow & \dots & \longrightarrow & X'_0 & \longrightarrow & X & \longrightarrow & \mathcal{O}. \end{array}$$

And, we define  $\Sigma_1 \sim \Sigma_m$  if there are  $\Sigma_i$  ( $2 \leq i \leq m-1$ ) such that  $\Sigma_i \rightleftarrows \Sigma_{i+1}$  ( $1 \leq i \leq m-1$ ), where  $\rightleftarrows$  means  $\rightarrow$  or  $\leftarrow$ . Then  $\sim$  is an equivalent relation on  $\text{Exact}_{\mathcal{A}}^n(X, Y)$ . We denote  $\text{Exact}_{\mathcal{A}}^n(X, Y)/\sim$  by  $\text{Ext}_{\mathcal{A}}^n(X, Y)$ .

**Proposition 10.16.** For  $X, Y \in \mathcal{A}$  and  $n \in \mathbb{N}$ , We have a bifunctorial isomorphism

$$\text{Ext}_{\mathcal{A}}^n(X, Y) \xrightarrow{\sim} \text{Hom}_{\mathbf{D}(\mathcal{A})}(X, T^n Y).$$

*Proof.* Let  $\Sigma \in \text{Exact}_{\mathcal{A}}^n(X, Y)$  has the form

$$\Sigma : \mathcal{O} \rightarrow Y \rightarrow X_{n-1} \rightarrow \dots \rightarrow X_0 \rightarrow X \rightarrow \mathcal{O}.$$

Then we have a commutative diagram

$$\begin{array}{ccccccccccc} Y[n-1] : \mathcal{O} & \longrightarrow & Y & \longrightarrow & \mathcal{O} & & & & & & & & \\ \downarrow & \downarrow & \downarrow & & \downarrow & & & & & & & & \\ M^\bullet : \mathcal{O} & \longrightarrow & X_{n-1} & \longrightarrow & X_{n-2} & \longrightarrow & \dots & \longrightarrow & X_1 & \longrightarrow & X_0 & \longrightarrow & \mathcal{O} \\ \downarrow & & & & & & & & \downarrow & & \downarrow & & \downarrow \\ X : & & & & & & & & \mathcal{O} & \longrightarrow & X & \longrightarrow & \mathcal{O} \end{array}$$

Therefore, we have a triangle  $Y[n-1] \rightarrow M^\bullet \rightarrow X \xrightarrow{\phi(\Sigma)} Y[n]$ . It is easy to see that  $\phi_{X, Y} : \text{Ext}_{\mathcal{A}}^n(X, Y) \rightarrow \text{Hom}_{\mathbf{D}(\mathcal{A})}(X, Y[n])$  is a bifunctorial isomorphism (left to the reader).  $\square$

**Remark 10.17.** Assume  $\mathcal{A}$  has enough injectives. For  $X, Y \in \mathcal{A}$ , let

$$\mathcal{O} \rightarrow Y \rightarrow I^0 \rightarrow I^1 \rightarrow \dots$$

be an injective resolution, that is,  $Y \rightarrow I^\bullet$  is a quasi-isomorphism. Then by Corollary 10.9, it is easy to see that

$$\begin{aligned} \text{Ext}_{\mathcal{A}}^n(X, Y) &\cong \text{Hom}_{\mathbf{D}(\mathcal{A})}(X, T^n Y) \\ &\cong \text{Hom}_{\mathbf{D}(\mathcal{A})}(X, T^n I^\bullet) \\ &\cong \text{Hom}_{\mathbf{K}(\mathcal{A})}(X, T^n I^\bullet) \\ &\cong \mathbf{H}^n(\text{Hom}_{\mathcal{A}}(X, I^\bullet)). \end{aligned}$$

The last term is  $\text{Ext}_{\mathcal{A}}^n(X, Y)$  in the sense of standard homological algebra.

**Definition 10.18.** Let  $\mathcal{A}$  be an abelian category with enough injectives. A complex  $X^\bullet \in \mathbf{K}^*(\mathcal{A})$  is said to have *finite injective dimension* if there is  $n \in \mathbb{Z}$  such that  $\mathrm{Hom}_{\mathbf{D}^*(\mathcal{A})}(M, X^\bullet[i]) = 0$  for all  $M \in \mathcal{A}$  and  $i > n$ , where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ .

We denote by  $\mathbf{K}^*(\mathcal{A})_{\mathrm{fid}}$  the full subcategory of  $\mathbf{K}^*(\mathcal{A})$  consisting of  $X^\bullet \in \mathbf{K}^*(\mathcal{A})$  which have finite injective dimension.

Moreover, for a thick abelian full subcategory  $\mathcal{A}'$  of  $\mathcal{A}$ , we denote by  $\mathbf{K}_{\mathcal{A}'}^*(\mathcal{A})_{\mathrm{fid}}$  the full subcategory of  $\mathbf{K}_{\mathcal{A}'}^*(\mathcal{A})$  consisting of  $X^\bullet \in \mathbf{K}_{\mathcal{A}'}^*(\mathcal{A})$  which have finite injective dimension.

**Proposition 10.19.** *Let  $\mathcal{A}$  be an abelian category with enough injectives. Then  $\mathbf{K}^*(\mathcal{A})_{\mathrm{fid}}$  and  $\mathbf{K}_{\mathcal{A}'}^*(\mathcal{A})_{\mathrm{fid}}$  are quotientizing subcategories of  $\mathbf{K}^*(\mathcal{A})$ .*

*Proof.* For  $u : X^\bullet \rightarrow Y^\bullet$  in  $\mathbf{K}^*(\mathcal{A})_{\mathrm{fid}}$ , let  $X^\bullet \xrightarrow{u} Y^\bullet \rightarrow \mathbf{M}^\bullet(u) \rightarrow X^\bullet[1]$  be a triangle. For  $M \in \mathcal{A}$ , by applying  $\mathrm{Hom}_{\mathbf{K}^*(\mathcal{A})}(M, -)$  to the triangle, we have  $\mathbf{M}^\bullet(u) \in \mathbf{K}^*(\mathcal{A})_{\mathrm{fid}}$ . Therefore,  $\mathbf{K}^*(\mathcal{A})_{\mathrm{fid}}$  is a triangulated full subcategory of  $\mathbf{K}^*(\mathcal{A})$ . By Proposition 9.2,  $\mathbf{K}^{*,\phi}(\mathcal{A})_{\mathrm{fid}} = \mathbf{K}^\phi(\mathcal{A}) \cap \mathbf{K}^*(\mathcal{A})_{\mathrm{fid}}$  is an épaisse subcategory of  $\mathbf{K}^*(\mathcal{A})$ . According to Proposition 7.16,  $\mathbf{K}^*(\mathcal{A})_{\mathrm{fid}}$  is a quotientizing subcategory of  $\mathbf{K}^*(\mathcal{A})$ . In the case of  $\mathbf{K}_{\mathcal{A}'}^*(\mathcal{A})_{\mathrm{fid}}$ , similarly.  $\square$

**Definition 10.20.** For  $*$  = nothing,  $+$ ,  $-$ ,  $b$ ,  $\mathbf{D}^*(\mathcal{A})_{\mathrm{fid}} = \mathbf{K}^*(\mathcal{A})_{\mathrm{fid}} / \mathbf{K}^{*,\phi}(\mathcal{A})_{\mathrm{fid}}$  and  $\mathbf{D}_{\mathcal{A}'}^*(\mathcal{A})_{\mathrm{fid}} = \mathbf{K}_{\mathcal{A}'}^*(\mathcal{A})_{\mathrm{fid}} / \mathbf{K}^{*,\phi}(\mathcal{A})_{\mathrm{fid}}$ .

**Proposition 10.21.** *Let  $\mathcal{A}$  be an abelian category with enough injectives. Then the following are equivalent for  $X^\bullet \in \mathbf{K}^+(\mathcal{A})$ .*

1. *For any integer  $n_1 \in \mathbb{Z}$  there is  $n_2 \in \mathbb{Z}$  such that  $\mathrm{Hom}_{\mathbf{D}(\mathcal{A})}(Y^\bullet, X^\bullet[i]) = 0$  for all  $i > n_2$  and all complexes  $Y^\bullet \in \mathbf{K}^+(\mathcal{A})$  with  $\mathrm{H}^j(Y^\bullet) = 0$  for  $j < n_1$ .*
2.  *$X^\bullet \in \mathbf{K}^+(\mathcal{A})_{\mathrm{fid}}$ .*
3. *There exists  $I^\bullet \in \mathbf{K}^b(\mathrm{Inj} \mathcal{A})$  such that  $X^\bullet \cong I^\bullet$  in  $\mathbf{D}^+(\mathcal{A})$ .*

*Proof.* 1  $\Rightarrow$  2. It is trivial.

2  $\Rightarrow$  3. Let  $n$  be an integer such that  $\mathrm{Hom}_{\mathbf{D}(\mathcal{A})}(M, X^\bullet[i]) = 0$  for all  $i > n$ . We take  $I^\bullet \in \mathbf{K}^+(\mathrm{Inj} \mathcal{A})$  which has a quasi-isomorphism  $X^\bullet \rightarrow I^\bullet$  in  $\mathbf{K}^+(\mathcal{A})$ . For  $i > n$ , we have isomorphisms

$$\begin{aligned} \mathrm{Hom}_{\mathbf{D}(\mathcal{A})}(Z^i(I^\bullet)[-i], X^\bullet) &\cong \mathrm{Hom}_{\mathbf{K}(\mathcal{A})}(Z^i(I^\bullet), I^\bullet[i]) \\ &= 0. \end{aligned}$$

This means that the canonical morphisms  $I^{i-1} \rightarrow Z^i(I^\bullet)$  is split epic, and  $B^i(I^\bullet) = Z^i(I^\bullet)$ . Then  $\sigma_{\leq n} I^\bullet \rightarrow I^\bullet$  is an isomorphism in  $\mathbf{K}(\mathcal{A})$  and  $\sigma_{\leq n} I^\bullet \in \mathbf{K}^b(\mathrm{Inj} \mathcal{A})$ .

3  $\Rightarrow$  1. By Corollary 10.9, it is easy.  $\square$

**Corollary 10.22.** *Let  $\mathcal{A}$  be an abelian category with enough injectives. Then we have a triangle equivalent*

$$\mathbf{K}^b(\mathrm{Inj} \mathcal{A}) \xrightarrow{t} \mathbf{D}^+(\mathcal{A})_{\mathrm{fid}}.$$

**Proposition 10.23.** *Let  $\mathcal{B}$  be an additive full subcategory of an abelian category  $\mathcal{A}$  which is closed under direct summands, and  $\tilde{\mathbf{K}}^b(\mathcal{B})$  the triangulated full subcategory of  $\mathbf{K}^-(\mathcal{B})$  consisting of objects which are isomorphic to an object of  $\mathbf{K}^b(\mathcal{B})$  in  $\mathbf{K}^-(\mathcal{B})$ . Then  $\tilde{\mathbf{K}}^b(\mathcal{B})$  is an épaisse subcategory of  $\mathbf{K}^-(\mathcal{B})$ .*

*Proof.* Let  $X^\bullet \in \mathbf{K}^b(\mathcal{B})$ , and  $Y^\bullet$  is direct summand of  $X^\bullet$  in  $\mathbf{K}^-(\mathcal{B})$ . Then  $Y^\bullet$  is a direct summand of  $X^\bullet \oplus I^\bullet(Y^\bullet)$  in  $\mathbf{C}^-(\mathcal{B})$ . Then we have a split exact sequence in  $\mathbf{C}^-(\mathcal{B})$

$$O \rightarrow Y^\bullet \rightarrow X^\bullet \oplus I^\bullet(Y^\bullet) \rightarrow Z^\bullet \rightarrow O.$$

Since  $X^\bullet \in \mathbf{K}^b(\mathcal{B})$ , there is  $n \in \mathbb{Z}$  such that we have a split exact sequence in  $\mathbf{C}^-(\mathcal{B})$

$$O \rightarrow \tau_{\leq n} Y^\bullet \rightarrow \tau_{\leq n} I^\bullet(Y^\bullet) \rightarrow \tau_{\leq n} Z^\bullet \rightarrow O.$$

Since  $H^i(\tau_{\leq n} I^\bullet(Y^\bullet)) = O$  for  $i \neq n$  and  $B^i(\tau_{\leq n} I^\bullet(Y^\bullet)) \in \mathcal{B}$ ,  $H^i(\tau_{\leq n} Y^\bullet) = O$  for  $i \neq n$  and  $B^i(\tau_{\leq n} Y^\bullet) \in \mathcal{B}$ . Hence  $Y^\bullet \cong \sigma_{>n-2} Y^\bullet$  in  $\mathbf{K}^-(\mathcal{B})$  with  $\sigma_{>n-2} Y^\bullet \in \mathbf{K}^b(\mathcal{B})$ .  $\square$

## 11. HOMOTOPY LIMITS

Throughout this section  $\mathcal{C}$  is a triangulated category with arbitrary coproducts.

**Definition 11.1.** A triangulated full subcategory  $\mathcal{L}$  of  $\mathcal{C}$  is called *localizing* if

(L1) Every direct summand of an object in  $\mathcal{L}$  is in  $\mathcal{L}$ .

(L2) Every coproduct of objects in  $\mathcal{L}$  is in  $\mathcal{L}$ .

**Lemma 11.2.** *Let  $\mathcal{L}$  of  $\mathcal{C}$  be a localizing subcategory, then  $\mathcal{C}/\mathcal{L}$  has arbitrary coproducts, and the quotient  $\mathcal{C} \rightarrow \mathcal{C}/\mathcal{L}$  preserves coproducts.*

*Proof.* Let  $\{X_i\}_{i \in I}$  be a collection of objects of  $\mathcal{C}$ . It suffices to show

1. Any collection of morphisms  $X_i \xrightarrow{f_i} Y$  in  $\mathcal{C}/\mathcal{L}$  can be lifted to a morphism  $\coprod_i X_i \xrightarrow{f} Y$  in  $\mathcal{C}/\mathcal{L}$ .
2. a morphism  $\coprod_i X_i \xrightarrow{f} Y$  in  $\mathcal{C}/\mathcal{L}$  such that all  $X_i \xrightarrow{q_i} \coprod_i X_i \xrightarrow{f} Y = 0$  in  $\mathcal{C}/\mathcal{L}$ , then  $f = 0$ .
1. A morphism  $X_i \xrightarrow{f_i} Y$  in  $\mathcal{C}/\mathcal{L}$  is a diagram in  $\mathcal{C}$

$$\begin{array}{ccc} X'_i & & \\ \downarrow s_i & \searrow f'_i & \\ X_i & & Y \end{array}$$

where  $X_i \rightarrow X_i \rightarrow X''_i \rightarrow TX'_i$  is a triangle, with  $X''_i \in \mathcal{L}$ . Thus we get a diagram

$$\begin{array}{ccc} \coprod_i X'_i & & \\ \downarrow \coprod s_i & \searrow \Sigma f'_i & \\ \coprod_i X_i & & Y. \end{array}$$

Since  $\coprod_i X_i \rightarrow \coprod_i X_i \rightarrow \coprod_i X''_i \rightarrow T\coprod_i X'_i$  is a triangle, we have a morphism  $\coprod_i X_i \xrightarrow{f} Y$  in  $\mathcal{C}/\mathcal{L}$ .

2. Given a morphism  $\coprod_i X_i \xrightarrow{f} Y$  in  $\mathcal{C}/\mathcal{L}$ , it corresponds to a diagram

$$\begin{array}{ccc} \coprod_i X_i & & Y \\ & \searrow f' & \downarrow t \\ & & Y \end{array}$$

with  $t \in \Phi(\mathcal{L})$ . If the composite  $X_i \rightarrow \coprod_i X_i \rightarrow Y = 0$  in  $\mathcal{C}/\mathcal{L}$ , then we have a diagram in  $\mathcal{C}$

$$\begin{array}{ccc} X_i & \xrightarrow{q_i} & \coprod_i X_i & & Y \\ & & \searrow f' & & \downarrow t \\ & & & & Y' \end{array}$$

which corresponds to 0 in  $\mathcal{C}/\mathcal{L}$ . Then by Proposition 7.11 and Lemma 9.4, every  $X_i \xrightarrow{q_i} \coprod_i X_i \xrightarrow{f'} Y'$  factors through  $Z_i \in \mathcal{L}$ . Thus  $f$  factorizes as

$$\begin{array}{ccc} \coprod_i X_i & \longrightarrow & \coprod_i Z_i & & Y \\ & & \searrow & & \downarrow t \\ & & & & Y' \end{array}$$

Since  $\mathcal{L}$  is localizing,  $\coprod_i Z_i \in \mathcal{L}$  and  $f = 0$ .  $\square$

**Corollary 11.3.** *Let  $\mathcal{A}$  be an abelian category satisfying the condition Ab4. Then  $\mathbf{D}(\mathcal{A})$  has arbitrary coproducts.*

*Proof.* According to Corollary 6.15,  $\mathbf{K}(\mathcal{A})$  has arbitrary coproducts. For a collection of quasi-isomorphisms  $X_i \xrightarrow{f_i} Y_i$  ( $i \in I$ ),  $\mathbf{H}^n(\coprod_i f_i) \cong \coprod_i \mathbf{H}^n(f_i)$  is isomorphic for all  $n \in \mathbb{Z}$ . Thus  $\mathbf{K}^\phi(\mathcal{A})$  is localizing, and Lemma 11.2 can be applied.  $\square$

**Definition 11.4.** For a sequence  $\{X_i \rightarrow X_{i+1}\}_{i \in \mathbb{N}}$  (resp.,  $\{X_{i+1} \rightarrow X_i\}_{i \in \mathbb{N}}$ ) of morphisms in  $\mathcal{C}$ , the homotopy colimit (resp., limit) of the sequence is the third (resp., second) term of the triangle

$$\begin{array}{c} \coprod_i X_i \xrightarrow{1\text{-shift}} \coprod_i X_i \rightarrow \varinjlim X_i \rightarrow T \coprod_i X_i \\ \text{(resp., } T^{-1} \coprod_i X_i \rightarrow \varprojlim X_i \rightarrow \prod_i X_i \xrightarrow{1\text{-shift}} \prod_i X_i) \end{array}$$

where the above shift morphism is the coproduct (resp., product) of  $X_i \xrightarrow{f_i} X_{i+1}$  (resp.,  $X_{i+1} \xrightarrow{f_i} X_i$ ) ( $i \in \mathbb{N}$ ).

**Exercise 11.5.** In the category  $\mathfrak{Ab}$ , prove the following.

1. For a sequence of morphisms  $\{X_{i+1} \xrightarrow{f_i} X_i\}_{i \in \mathbb{N}}$ , if there is  $n \in \mathbb{N}$  such that  $f_i$  are epimorphisms for all  $i \geq n$ , then  $\prod_i X_i \xrightarrow{1\text{-shift}} \prod_i X_i$  is epic.
2. For a sequence of morphisms  $\{X_i \xrightarrow{f_i} X_{i+1}\}_{i \in \mathbb{N}}$ ,  $\prod_i X_i \xrightarrow{1\text{-shift}} \prod_i X_i$  is monic.

**Lemma 11.6.** *The following hold.*

1. Assume  $\mathcal{A}$  satisfies the condition Ab3. For a sequence of morphisms  $\{X_i \xrightarrow{f_i} X_{i+1}\}_{i \in \mathbb{N}}$ , if there is  $n \in \mathbb{N}$  such that  $f_i$  are split monomorphisms for all  $i \geq n$ , then we have a split exact sequence

$$O \rightarrow \prod_i X_i \xrightarrow{1\text{-shift}} \prod_i X_i \rightarrow \varinjlim X_i \rightarrow O.$$

2. Assume  $\mathcal{A}$  satisfies the condition  $Ab\mathcal{B}^*$ . For a sequence of morphisms  $\{X_{i+1} \xrightarrow{f_i} X_i\}_{i \in \mathbb{N}}$ , if there is  $n \in \mathbb{N}$  such that  $f_i$  are split epimorphisms for all  $i \geq n$ , then we have a split exact sequence

$$O \rightarrow \varprojlim X_i \rightarrow \prod_i X_i \xrightarrow{1\text{-shift}} \prod_i X_i \rightarrow O.$$

*Proof.* 1, For any  $M \in \mathcal{A}$ , we have a commutative diagram

$$\begin{array}{ccc} \mathrm{Hom}_{\mathcal{A}}(\prod_i X_i, M) & \xrightarrow{1\text{-shift}} & \mathrm{Hom}_{\mathcal{A}}(\prod_i X_i, M) \\ \downarrow \wr & & \downarrow \wr \\ \prod_i \mathrm{Hom}_{\mathcal{A}}(X_i, M) & \xrightarrow{1\text{-shift}} & \prod_i \mathrm{Hom}_{\mathcal{A}}(X_i, M). \end{array}$$

By Exercise 11.5, the bottom horizontal morphism is epic.

2. Similarly. □

**Proposition 11.7.** *The following hold.*

1. Assume  $\mathcal{A}$  satisfies the condition  $Ab\mathcal{B}$ . and  $X_i \rightarrow X_{i+1}$  a sequence of complexes in  $\mathcal{C}(\mathcal{A})$  satisfying that for each  $j \in \mathbb{Z}$  there is  $n \in \mathbb{N}$  such that  $X_i^j \rightarrow X_{i+1}^j$  are split monomorphisms for all  $i \geq n$ . Then we have an exact sequence in  $\mathcal{C}(\mathcal{A})$

$$O \rightarrow \prod_i X_i \xrightarrow{1\text{-shift}} \prod_i X_i \rightarrow \varinjlim X_i \rightarrow O$$

which belongs to  $\mathcal{S}_{\mathcal{C}(\mathcal{A})}$ . In particular,  $\varinjlim X_i \cong \varinjlim X_i$  in  $\mathcal{K}(\mathcal{A})$ .

2. Assume  $\mathcal{A}$  satisfies the condition  $Ab\mathcal{B}^*$ . and  $X_{i+1} \rightarrow X_i$  a sequence of complexes in  $\mathcal{C}(\mathcal{A})$  satisfying that for each  $j \in \mathbb{Z}$  there is  $n \in \mathbb{N}$  such that  $X_i^j \rightarrow X_{i+1}^j$  are split epimorphisms for all  $i \geq n$ . Then we have an exact sequence in  $\mathcal{C}(\mathcal{A})$

$$O \rightarrow \varprojlim X_i \rightarrow \prod_i X_i \xrightarrow{1\text{-shift}} \prod_i X_i \rightarrow O$$

which belongs to  $\mathcal{S}_{\mathcal{C}(\mathcal{A})}$ . In particular,  $\varprojlim X_i \cong \varprojlim X_i$  in  $\mathcal{K}(\mathcal{A})$ .

*Proof.* 1. By Lemma 11.6, for any  $j$  we have a split exact sequence

$$O \rightarrow \prod_i X_i^j \xrightarrow{1\text{-shift}} \prod_i X_i^j \rightarrow \varinjlim X_i^j \rightarrow O.$$

Then we have an exact sequence in  $\mathcal{C}(\mathcal{A})$

$$O \rightarrow \prod_i X_i \xrightarrow{1\text{-shift}} \prod_i X_i \rightarrow \varinjlim X_i \rightarrow O$$

which belongs to  $\mathcal{S}_{\mathcal{C}(\mathcal{A})}$ . The last assertion follows by Proposition 6.12.

2. Similarly. □

**Remark 11.8.** The above  $\varinjlim X_i$  and  $\varprojlim X_i$  are the filtered colimit and the filtered limit in  $\mathcal{C}(\mathcal{A})$ , but are not the filtered colimit and the filtered limit in  $\mathcal{K}(\mathcal{A})$  (see Lemma 16.17).

**Remark 11.9.** 1. If  $\mathcal{A}$  satisfies the condition  $Ab5$ , then for a sequence  $\{X_i \rightarrow X_{i+1}\}_{i \in \mathbb{N}}$  of morphisms in  $\mathcal{D}(\mathcal{A})$ , we have exact sequences

$$O \rightarrow \prod_i H^n(X_i) \rightarrow \prod_i H^n(X_i) \rightarrow H^n(\varinjlim X_i) \rightarrow O$$

for all  $n \in \mathbb{N}$ .



2. If  $\mathcal{A}$  satisfies the condition Ab5 and  $\{X_i^\bullet \rightarrow X_{i+1}^\bullet\}_{i \in \mathbb{N}}$  a sequence of morphisms in  $\mathcal{C}(\mathcal{A})$ , then by Proposition 2.25, 3 we have an exact sequence in  $\mathcal{C}(\mathcal{A})$

$$O \rightarrow \prod_i X_i^\bullet \rightarrow \prod_i X_i^\bullet \rightarrow \varinjlim X_i^\bullet \rightarrow O$$

and we have a quasi-isomorphism

$$\varinjlim X_i^\bullet \rightarrow \varinjlim X_i^\bullet.$$

3. Assume  $\mathcal{A}$  satisfies the condition Ab4\*, and let  $\{X_{i+1}^\bullet \rightarrow X_i^\bullet\}_{i \in \mathbb{N}}$  be a sequence of morphisms in  $\mathcal{D}(\mathcal{A})$  satisfying that for any  $n \in \mathbb{Z}$  there is  $k \in \mathbb{N}$  such that  $H^n(X_{i+1}^\bullet) \cong H^n(X_i^\bullet)$  for all  $i > k$ . Then we have exact sequences

$$O \rightarrow H^n(\varprojlim X_i^\bullet) \rightarrow \prod_i H^n(X_i^\bullet) \rightarrow \prod_i H^n(X_i^\bullet) \rightarrow O$$

for all  $n \in \mathbb{N}$ .

**Proposition 11.10.** *For an abelian category  $\mathcal{A}$ , the following hold.*

1. *If  $\mathcal{A}$  satisfies the condition Ab4\* with enough projectives, then every object of  $\mathcal{K}(\mathcal{A})$  is quasi-isomorphic to a complex  $P^\bullet$  of projectives with  $\text{Hom}_{\mathcal{K}(\mathcal{A})}(P^\bullet, \mathcal{K}^\phi(\mathcal{A})) = 0$ .*
2. *If  $\mathcal{A}$  satisfies the condition Ab4\* with enough injectives, then every object of  $\mathcal{K}(\mathcal{A})$  is quasi-isomorphic to a complex  $P^\bullet$  of injectives with  $\text{Hom}_{\mathcal{K}(\mathcal{A})}(\mathcal{K}^\phi(\mathcal{A}), I^\bullet) = 0$ .*

*Proof.* 1. For a complex  $X^\bullet \in \mathcal{K}(\mathcal{A})$ , we have morphisms of complexes  $\sigma_{\leq i} X^\bullet \rightarrow \sigma_{\leq i+1} X^\bullet \rightarrow X^\bullet$ . According to Lemma 10.10, there is  $P_i^\bullet \in \mathcal{K}^-(\text{Proj } \mathcal{A})$  which has a quasi-isomorphism  $P_i^\bullet \rightarrow \sigma_{\leq i} X^\bullet$ , and we have a commutative diagram in  $\mathcal{K}(\mathcal{A})$

$$\begin{array}{ccc} P_i^\bullet & \longrightarrow & P_{i+1}^\bullet \\ \downarrow & & \downarrow \\ \sigma_{\leq i} X^\bullet & \longrightarrow & \sigma_{\leq i+1} X^\bullet \end{array}$$

By Remark 11.9, we have a quasi-isomorphism

$$\varinjlim P_i^\bullet \rightarrow \varinjlim \sigma_{\leq i} X^\bullet.$$

By Proposition 11.7,  $\varinjlim \sigma_{\leq i} X^\bullet \cong \varinjlim \sigma_{\leq i} X^\bullet = X^\bullet$  in  $\mathcal{K}(\mathcal{A})$ . By the construction,  $\varinjlim P_i^\bullet$  is a complex of projectives. Since we have an exact sequence

$$\prod_i \text{Hom}_{\mathcal{K}(\mathcal{A})}(TP_i^\bullet, \mathcal{K}^\phi(\mathcal{A})) \rightarrow \text{Hom}_{\mathcal{K}(\mathcal{A})}(P^\bullet, \mathcal{K}^\phi(\mathcal{A})) \rightarrow \prod_i \text{Hom}_{\mathcal{K}(\mathcal{A})}(TP_i^\bullet, \mathcal{K}^\phi(\mathcal{A})),$$

we have  $\text{Hom}_{\mathcal{K}(\mathcal{A})}(P^\bullet, \mathcal{K}^\phi(\mathcal{A})) = 0$  by Lemma 10.8.

2. Similarly. □

**Definition 11.11.** 1. In the case that  $\mathcal{A}$  satisfies the condition Ab4 with enough projectives, we define the triangulated full subcategory  $\mathcal{K}^s(\text{Proj } \mathcal{A})$  of  $\mathcal{K}(\mathcal{A})$  consisting of complexes  $P^\bullet$  of projectives such that  $\text{Hom}_{\mathcal{K}(\mathcal{A})}(P^\bullet, \mathcal{K}^\phi(\mathcal{A})) = 0$ . Then  $(\mathcal{K}^s(\text{Proj } \mathcal{A}), \mathcal{K}^\phi(\mathcal{A}))$  is a stable  $t$ -structure in  $\mathcal{K}(\mathcal{A})$ .

2. In the case that  $\mathcal{A}$  satisfies the condition Ab4\* with enough injectives, we define the triangulated full subcategory  $\mathcal{K}^s(\text{Inj } \mathcal{A})$  of  $\mathcal{K}(\mathcal{A})$  consisting of complexes  $I^\bullet$  of injectives such that  $\text{Hom}_{\mathcal{K}(\mathcal{A})}(\mathcal{K}^\phi(\mathcal{A}), I^\bullet) = 0$ . Then  $(\mathcal{K}^\phi(\mathcal{A}), \mathcal{K}^s(\text{Inj } \mathcal{A}))$  is a stable  $t$ -structure in  $\mathcal{K}(\mathcal{A})$ .

They are often called  $\mathcal{K}$ -projective complexes (resp.,  $\mathcal{K}$ -injective complexes).

**Proposition 11.12.** *The following hold.*

1. *If  $\mathcal{A}$  satisfies the condition  $\text{Ab}4$  with enough projectives, then we have an isomorphism*

$$\text{Hom}_{\mathbf{K}(\mathcal{A})}(P^\bullet, Y^\bullet) \cong \text{Hom}_{\mathbf{D}(\mathcal{A})}(P^\bullet, Y^\bullet)$$

for  $P^\bullet \in \mathbf{K}^s(\text{Proj } \mathcal{A})$ ,  $Y^\bullet \in \mathbf{K}(\mathcal{A})$ .

2. *If  $\mathcal{A}$  satisfies the condition  $\text{Ab}4^*$  with enough injectives, then we have an isomorphism*

$$\text{Hom}_{\mathbf{K}(\mathcal{A})}(X^\bullet, I^\bullet) \cong \text{Hom}_{\mathbf{D}(\mathcal{A})}(X^\bullet, I^\bullet)$$

for  $X^\bullet \in \mathbf{K}(\mathcal{A})$ ,  $I^\bullet \in \mathbf{K}^s(\text{Inj } \mathcal{A})$ .

**Theorem 11.13.** *The following hold.*

1. *If  $\mathcal{A}$  satisfies the condition  $\text{Ab}4$  with enough projectives, then we have a triangle equivalence*

$$\mathbf{K}^s(\text{Proj } \mathcal{A}) \xrightarrow{t} \mathbf{D}(\mathcal{A}).$$

2. *If  $\mathcal{A}$  satisfies the condition  $\text{Ab}4^*$  with enough injectives, then we have a triangle equivalence*

$$\mathbf{K}^s(\text{Inj } \mathcal{A}) \xrightarrow{t} \mathbf{D}(\mathcal{A}).$$

*Proof.* 1. By Proposition 11.10,  $(\mathbf{K}^s(\text{Proj } \mathcal{A}), \mathbf{K}^\phi(\mathcal{A}))$  is a stable  $t$ -structure in  $\mathbf{K}(\mathcal{A})$ . According to Proposition 9.16, Remark 9.17, we get the statement.

2. Similarly.  $\square$

**Remark 11.14** (Set-Theoretic Remark 2). Conversely, in the case that  $\mathcal{A}$  satisfies the condition  $\text{Ab}4$  with enough projectives, we can define  $\mathbf{D}^-(\mathcal{A}) = \mathbf{K}^-(\text{Proj } \mathcal{A})$ , and  $\mathbf{D}(\mathcal{A}) = \mathbf{K}^s(\text{Proj } \mathcal{A})$ . Then we can bypass Remark 7.7.

Similarly, in the case that  $\mathcal{A}$  satisfies the condition  $\text{Ab}4^*$  with enough injectives, we can define  $\mathbf{D}^+(\mathcal{A}) = \mathbf{K}^+(\text{Inj } \mathcal{A})$ , and  $\mathbf{D}(\mathcal{A}) = \mathbf{K}^s(\text{Inj } \mathcal{A})$ .

**Proposition 11.15.** *The following hold.*

1. *Let  $\mathcal{C}$  be a triangulated category with coproducts. For a sequence  $\{X_i \xrightarrow{f_i} X_{i+1}\}_{i \in \mathbb{N}}$ , if there is  $n \in \mathbb{N}$  such that  $f_i$  are split monomorphisms for all  $i \geq n$ , then the structural morphism  $\coprod_i X_i \rightarrow \varinjlim X_i$  is a split epimorphism.*
2. *Let  $\mathcal{C}$  be a triangulated category with products. For a sequence  $\{X_{i+1} \xrightarrow{f_i} X_i\}_{i \in \mathbb{N}}$ , if there is  $n \in \mathbb{N}$  such that  $f_i$  are split epimorphisms for all  $i \geq n$ , then the structural morphism  $\varprojlim X_i \rightarrow \prod_i X_i$  is a split monomorphism.*

*Proof.* 1. For any  $M \in \mathcal{C}$  and  $n \in \mathbb{N}$ , we have a commutative diagram

$$\begin{array}{ccc} \text{Hom}_{\mathcal{C}}(\coprod_i T^n X_i, M) & \xrightarrow{1\text{-shift}} & \text{Hom}_{\mathcal{C}}(\coprod_i T^n X_i, M) \\ \downarrow \wr & & \downarrow \wr \\ \prod_i \text{Hom}_{\mathcal{C}}(T^n X_i, M) & \xrightarrow{1\text{-shift}} & \prod_i \text{Hom}_{\mathcal{C}}(T^n X_i, M). \end{array}$$

Then by Exercise 11.5.2,  $\text{Hom}_{\mathcal{C}}(\coprod_i T^n X_i, M) \xrightarrow{1\text{-shift}} \text{Hom}_{\mathcal{C}}(\prod_i T^n X_i, M)$  is epic, and then  $T^n \coprod_i X_i \xrightarrow{1\text{-shift}} T^n \prod_i X_i$  is split monic. Therefore,  $\varinjlim X_i \rightarrow T \prod_i X_i = 0$ , and hence  $\coprod_i X_i \rightarrow \varinjlim X_i$  is split epic.

2. Similarly.  $\square$

**Lemma 11.16.** *Let  $\mathcal{C}$  be a triangulated category with coproducts. For a sequence  $\{X_i \xrightarrow{f_i} X_{i+1}\}_{i \in \mathbb{N}}$  where  $X_i = X$  and  $f_i = 1_X$  for all  $i$ , we have an isomorphism in  $\mathcal{C}$*

$$\varinjlim X_i \cong X.$$

*Proof.* Let  $\coprod_i X_i \xrightarrow{p} \coprod_i X_i \xrightarrow{q} \varinjlim X_i \xrightarrow{r} \coprod_i TX_i$  be a triangle, and  $\alpha = \sum_i (1_X)_i : \coprod_i X_i \rightarrow X$ . By easy calculation, the following hold.

- (a)  $\alpha p = 0$ .
- (b) If a morphism  $\phi : \coprod_i X_i \rightarrow Y$  satisfies  $\phi p = 0$ , then there is a unique  $f : X \rightarrow Y$  such that  $\phi = f\alpha$ .

By the property of  $\varinjlim$  and the above, there exist  $h : X \rightarrow \varinjlim X_i$  and  $k : \varinjlim X_i \rightarrow X$  such that  $\alpha = kq$  and  $q = h\alpha$ . Since  $q = hkq$  and  $\alpha = kh\alpha$ , we have  $hk = 1$  and  $kh = 1$  by (b) and Proposition 11.15.  $\square$

**Proposition 11.17.** *Let  $\mathcal{C}$  be a triangulated category with coproducts. Let  $e : X \rightarrow X$  be a morphism in  $\mathcal{C}$  such that  $e^2 = e$ . Then  $e$  splits in  $\mathcal{C}$ .*

*Proof.* We consider three sequences

- (A)  $X \xrightarrow{e} X \xrightarrow{e} \dots$
- (B)  $X \xrightarrow{1-e} X \xrightarrow{1-e} \dots$
- (C)  $X \oplus X \xrightarrow{\begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}} X \oplus X \xrightarrow{\begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}} \dots$

Then we have an isomorphism  $\alpha = \begin{bmatrix} e & 1-e \\ 1-e & e \end{bmatrix} : (A) \oplus (B) \rightarrow (C)$  of sequences. Thus  $\varinjlim (C) \cong Y \oplus Z$  in  $\mathcal{C}$ , where  $Y = \varinjlim (A)$ ,  $Z = \varinjlim (B)$ . For a sequence (C), we have a commutative diagram

$$\begin{array}{ccc} \coprod_i (X \oplus X)_i & \xrightarrow{1\text{-shift}} & \coprod_i (X \oplus X)_i & \longrightarrow & \varinjlim (C) \\ \downarrow \wr & & \downarrow \wr & & \\ (\coprod_i X_i) \oplus (\coprod_i X_i) & \xrightarrow{1 \oplus (1\text{-shift})} & (\coprod_i X_i) \oplus (\coprod_i X_i) & & \end{array}$$

By Lemma 11.16, we have  $\varinjlim (C) \cong X$  in  $\mathcal{C}$ . On the other hand, we have a commutative diagram

$$\begin{array}{ccccc} (\coprod_i X_i) \oplus (\coprod_i X_i) & \xrightarrow{(1\text{-shift}) \oplus (1\text{-shift})} & (\coprod_i X_i) \oplus (\coprod_i X_i) & \xrightarrow{\beta} & Y \oplus Z \\ \downarrow \alpha & & \downarrow \alpha & & \downarrow \gamma \\ \coprod_i (X \oplus X)_i & \xrightarrow{1\text{-shift}} & \coprod_i (X \oplus X)_i & \xrightarrow{\sum_i (0 \ 1)_i} & X \end{array}$$

where  $\beta = \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}$  and  $\gamma = \begin{bmatrix} \varepsilon & \eta \end{bmatrix}$  are isomorphisms. According to Proposition 11.15,  $(0 \ 1)$  and  $\beta = \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}$  are epic, then  $a$  and  $b$  are epic. Since  $(1-e)\varepsilon b = (1-e)(0 \ 1) \begin{bmatrix} 1-e \\ e \end{bmatrix} = 0$ , we have  $(1-e)\varepsilon = 0$ . Similarly, we have  $e\eta = 0$ . Hence we have a morphism  $\delta : X \rightarrow Y$  such that  $\varepsilon\delta = e$  and  $\delta\varepsilon = 1_Y$ .  $\square$

**Corollary 11.18.** *Let  $\mathcal{L}$  be a triangulated full subcategory  $\mathcal{L}$  of  $\mathcal{C}$ . If  $\mathcal{L}$  satisfies the condition*

- (L2) every coproduct of objects in  $\mathcal{L}$  is in  $\mathcal{L}$ ,

then  $\mathcal{L}$  is a localizing subcategory of  $\mathcal{C}$ .

**Corollary 11.19.** *Let  $R$  be a commutative complete local ring,  $A$  a finite  $R$ -algebra. Then  $D^b(\text{mod } A)$  is a Krull-Schmidt category.*

*Proof.* For a complex  $Y^\bullet \in D^b(\text{mod } A)$ , we may assume that  $H^m(Y^\bullet) \neq 0$ ,  $H^n(Y^\bullet) \neq 0$ , and  $H^i(Y^\bullet) = 0$  for  $i < m$ ,  $n < i$  for some  $m < n$ . We define  $\text{TL}(Y^\bullet) = n - m$ . For complexes  $X^\bullet, Y^\bullet \in D^b(\text{mod } A)$ , by induction on the lexicographic order  $(\text{TL}(X^\bullet), \text{TL}(Y^\bullet))$ , we show that  $\text{Hom}_{D^b(\text{mod } A)}(X^\bullet, Y^\bullet)$  is a finitely generated  $R$ -module. Let  $Y_1^\bullet = \sigma_{\leq n-1}(Y^\bullet)$  and  $Y_2^\bullet = \sigma_{> n-1}(Y^\bullet)$ , then we have a triangle in  $D^b(\text{mod } A)$

$$Y_1^\bullet \rightarrow Y^\bullet \rightarrow Y_2^\bullet \rightarrow TY_1^\bullet.$$

Then we have an exact sequence

$$\text{Hom}_{D^b(\text{mod } A)}(X^\bullet, Y_1^\bullet) \rightarrow \text{Hom}_{D^b(\text{mod } A)}(X^\bullet, Y^\bullet) \rightarrow \text{Hom}_{D^b(\text{mod } A)}(X^\bullet, Y_2^\bullet).$$

By the assumption,  $\text{Hom}_{D^b(\text{mod } A)}(X^\bullet, Y_1^\bullet)$  and  $\text{Hom}_{D^b(\text{mod } A)}(X^\bullet, Y_2^\bullet)$  are finitely generated  $R$ -modules. Then  $\text{Hom}_{D^b(\text{mod } A)}(X^\bullet, Y^\bullet)$  is a finitely generated  $R$ -module. Similarly, for a triangle  $\sigma_{\leq n-1}(X^\bullet) \rightarrow X^\bullet \rightarrow \sigma_{> n-1}(X^\bullet) \rightarrow T\sigma_{\leq n-1}(X^\bullet)$ , we have the same result. In particular,  $\text{End}_{D^b(\text{mod } A)}(X^\bullet)$  is a semiperfect ring. For an idempotent  $e \in \text{End}_{D^b(\text{mod } A)}(X^\bullet)$ , by Example 10.14, we may consider an idempotent in  $D_c^b(\text{Mod } A) \subset D^b(\text{Mod } A)$ . By Proposition 11.17, there exist a complex  $Y^\bullet \in D^b(\text{Mod } A)$  and morphisms  $p : X^\bullet \rightarrow Y^\bullet$ ,  $q : Y^\bullet \rightarrow X^\bullet$  such that  $qp = e$  and  $pq = 1_{Y^\bullet}$ . Since every  $H^i(Y^\bullet)$  is a direct summand of  $H^i(X^\bullet)$ ,  $Y^\bullet \in D_c^b(\text{Mod } A)$ . According to Proposition 3.7, we complete the proof.  $\square$

## 12. DERIVED FUNCTORS

Throughout this section,  $\mathcal{A}$ ,  $\mathcal{B}$  and  $\mathcal{C}$  are abelian categories.

### 12.1. Derived Functors.

**Definition 12.1.** A triangulated full subcategory  $K^*(\mathcal{A})$  of  $\mathcal{K}(\mathcal{A})$  is called a *quotientizing subcategory* (often called *localizing subcategory*) if the canonical functor

$$K^*(\mathcal{A})/K^{*,\phi}(\mathcal{A}) \rightarrow D(\mathcal{A})$$

is fully faithful, where  $K^{*,\phi}(\mathcal{A}) = K^\phi(\mathcal{A}) \cap K^*(\mathcal{A})$ . If  $K^*(\mathcal{A})$  is a quotientizing subcategory of  $\mathcal{K}(\mathcal{A})$ , we denote by  $D^*(\mathcal{A})$  the quotient category  $K^*(\mathcal{A})/K^{*,\phi}(\mathcal{A})$  and by  $Q_{\mathcal{A}}^* : K^*(\mathcal{A}) \rightarrow D^*(\mathcal{A})$  the canonical quotient functor.

**Definition 12.2** (Right Derived Functor). Let  $K^*(\mathcal{A})$  be a quotientizing subcategory of  $\mathcal{K}(\mathcal{A})$  and  $F : K^*(\mathcal{A}) \rightarrow \mathcal{K}(\mathcal{B})$  a  $\partial$ -functor. The *right derived functor* of  $F$  is a  $\partial$ -functor

$$\mathbf{R}^*F : D^*(\mathcal{A}) \rightarrow D(\mathcal{B})$$

together with a functorial morphism of  $\partial$ -functors

$$\xi \in \partial \text{Mor}(Q_{\mathcal{B}}F, \mathbf{R}^*FQ_{\mathcal{A}}^*)$$

with the following property:

For  $G \in \partial(D^*(\mathcal{A}), D(\mathcal{B}))$  and  $\zeta \in \partial \text{Mor}(Q_{\mathcal{B}}F, GQ_{\mathcal{A}}^*)$ , there exists a unique morphism  $\eta \in \partial \text{Mor}(\mathbf{R}^*F, G)$  such that

$$\zeta = (\eta Q_{\mathcal{A}}^*)\xi.$$

In other words, we can simply write the above using functor categories. For triangulated categories  $\mathcal{C}, \mathcal{C}'$ , the  $\partial$ -functor category  $\partial(\mathcal{C}, \mathcal{C}')$  is the category (?) consisting of  $\partial$ -functors from  $\mathcal{C}$  to  $\mathcal{C}'$  as objects and  $\partial$ -functorial morphisms as morphisms. Then we have

$$\partial \operatorname{Mor}(Q_{\mathcal{B}}F, -Q_{\mathcal{A}}^*) \cong \partial \operatorname{Mor}(\mathbf{R}^*F, -)$$

as functors from  $\partial(\mathbf{D}^*(\mathcal{A}), \mathbf{D}(\mathcal{B}))$  to  $\mathfrak{Set}$  (See Lemma 1.8).

**Definition 12.3.** Let  $\mathbf{K}^*(\mathcal{A})$  be a quotientizing subcategory of  $\mathbf{K}(\mathcal{A})$  and  $F : \mathbf{K}^*(\mathcal{A}) \rightarrow \mathbf{K}(\mathcal{B})$  a  $\partial$ -functor. When  $F$  has a right derived functor  $\mathbf{R}^*F : \mathbf{D}^*(\mathcal{A}) \rightarrow \mathbf{D}(\mathcal{B})$ , we define  $\mathbf{R}^i F = \mathbf{H}^i \mathbf{R}^*F : \mathbf{D}^*(\mathcal{A}) \rightarrow \mathcal{B}$  ( $i \in \mathbb{Z}$ ).

**Proposition 12.4.** Let  $\mathbf{K}^*(\mathcal{A})$  be a quotientizing subcategory of  $\mathbf{K}(\mathcal{A})$  and  $F : \mathbf{K}^*(\mathcal{A}) \rightarrow \mathbf{K}(\mathcal{B})$  a  $\partial$ -functor. Assume  $F$  has a right derived functor  $\mathbf{R}^*F : \mathbf{D}^*(\mathcal{A}) \rightarrow \mathbf{D}(\mathcal{B})$ . Then for any exact sequence  $O \rightarrow X^\bullet \rightarrow Y^\bullet \rightarrow Z^\bullet \rightarrow O$  in  $\mathbf{C}(\mathcal{A})$  we have a long exact sequence

$$\dots \rightarrow \mathbf{R}^i F(X^\bullet) \rightarrow \mathbf{R}^i F(Y^\bullet) \rightarrow \mathbf{R}^i F(Z^\bullet) \rightarrow \mathbf{R}^{i+1} F(X^\bullet) \rightarrow \dots$$

*Proof.* By Proposition 10.4, it is easy.  $\square$

**Theorem 12.5** (Existence Theorem). Let  $\mathbf{K}^*(\mathcal{A})$  be a quotientizing subcategory of  $\mathbf{K}(\mathcal{A})$  and  $F : \mathbf{K}^*(\mathcal{A}) \rightarrow \mathbf{K}(\mathcal{B})$  a  $\partial$ -functor. Assume there exists a triangulated full subcategory  $\mathcal{L}$  of  $\mathbf{K}^*(\mathcal{A})$  such that

- (a) for any  $X^\bullet \in \mathbf{K}^*(\mathcal{A})$  there is a quasi-isomorphism  $X^\bullet \rightarrow I^\bullet$  with  $I^\bullet \in \mathcal{L}$ ,
- (b)  $Q_{\mathcal{B}}F(\mathcal{L}^\phi) = \{O\}$ ,

where  $\mathcal{L}^\phi = \mathbf{K}^\phi(\mathcal{A}) \cap \mathcal{L}$ . Then there exists the right derived functor  $(\mathbf{R}^*F, \xi)$  such that  $\xi_I : Q_{\mathcal{B}}FI^\bullet \rightarrow \mathbf{R}^*FI^\bullet$  is a quasi-isomorphism for  $I^\bullet \in \mathcal{L}$ .

*Proof.* Let  $E : \mathcal{L} \rightarrow \mathbf{K}^*(\mathcal{A})$  be the embedding functor, then by the assumption (a) and Proposition 7.16 the canonical functor  $\overline{E} : \mathcal{L}/\mathcal{L}^\phi \rightarrow \mathbf{D}^*(\mathcal{A})$  is an equivalence. Let  $J : \mathbf{D}^*(\mathcal{A}) \rightarrow \mathcal{L}/\mathcal{L}^\phi$  be a quasi-inverse of  $\overline{E}$ . By the assumption (b) and Proposition 8.7 there is a  $\partial$ -functor  $\overline{F} : \mathcal{L}/\mathcal{L}^\phi \rightarrow \mathbf{D}(\mathcal{B})$  such that  $Q_{\mathcal{B}}FE = \overline{F}Q_{\mathcal{L}}$ , where  $Q_{\mathcal{L}} : \mathcal{L} \rightarrow \mathcal{L}/\mathcal{L}^\phi$  is the canonical quotient. Put  $\mathbf{R}^*F = \overline{F}J$ . Since  $Q_{\mathcal{A}}^*E = \overline{E}Q_{\mathcal{L}}$ , we have

$$\begin{aligned} \partial \operatorname{Mor}(Q_{\mathcal{B}}FE, GQ_{\mathcal{A}}^*E) &\cong \partial \operatorname{Mor}(\overline{F}Q_{\mathcal{L}}, G\overline{E}Q_{\mathcal{L}}) \\ &\cong \partial \operatorname{Mor}(\overline{F}J\overline{E}, G\overline{E}) \\ &\cong \partial \operatorname{Mor}(\overline{F}J, G). \end{aligned}$$

It remains to show that

$$\partial \operatorname{Mor}(Q_{\mathcal{B}}F, GQ_{\mathcal{A}}^*) \cong \partial \operatorname{Mor}(Q_{\mathcal{B}}FE, GQ_{\mathcal{A}}^*E) \quad (\phi \mapsto \phi E).$$

Let  $\phi \in \partial \operatorname{Mor}(Q_{\mathcal{B}}F, GQ_{\mathcal{A}}^*)$  with  $\phi E = 0$ . For any  $X^\bullet \in \mathbf{K}^*(\mathcal{A})$  there exists  $I^\bullet \in \mathcal{L}$  which has a quasi-isomorphism  $s : X^\bullet \rightarrow I^\bullet$ . Then  $\phi_X = (GQ_{\mathcal{A}}^*s)^{-1}\phi_I Q_{\mathcal{B}}Fs = 0$ , and hence  $\phi = 0$ . Given  $\psi \in \partial \operatorname{Mor}(Q_{\mathcal{B}}FE, GQ_{\mathcal{A}}^*E)$ , for any  $X^\bullet \in \mathbf{K}^*(\mathcal{A})$ , let  $\phi_X = (GQ_{\mathcal{A}}^*s)^{-1}\psi_I Q_{\mathcal{B}}Fs$  for some quasi-isomorphism  $s : X^\bullet \rightarrow I^\bullet$ , with  $I^\bullet \in \mathcal{L}$ . For another quasi-isomorphism  $s' : X^\bullet \rightarrow I''^\bullet$ , by the assumption (a), we have a commutative diagram

$$\begin{array}{ccc} X^\bullet & \xrightarrow{s} & I^\bullet \\ s' \downarrow & & \downarrow t' \\ I'^\bullet & \xrightarrow{t} & I''^\bullet \end{array}$$

where all morphisms are quasi-isomorphisms and  $I'' \in \mathcal{L}$ . Then we have

$$\begin{aligned} (GQ_{\mathcal{A}}^*s)^{-1}\psi_I Q_{\mathcal{B}}Fs &= (GQ_{\mathcal{A}}^*t's)^{-1}\psi_{I''} Q_{\mathcal{B}}Ft's \\ &= (GQ_{\mathcal{A}}^*ts')^{-1}\psi_{I''} Q_{\mathcal{B}}Fts' \\ &= (GQ_{\mathcal{A}}^*s')^{-1}\psi_{I'} Q_{\mathcal{B}}Fs'. \end{aligned}$$

It is not hard to see that  $\phi \in \partial \text{Mor}(Q_{\mathcal{B}}F, GQ_{\mathcal{A}}^*)$ . The last assertion is easy to check.  $\square$

**Corollary 12.6.** *Assume that there exists an additive subcategory  $\mathcal{I}$  of  $\mathcal{A}$  such that*

- (a) *every  $X \in \mathcal{A}$  has a monomorphism to an object in  $\mathcal{I}$ .*
- (b) *for an exact sequence  $O \rightarrow X \rightarrow Y \rightarrow Z \rightarrow O$  in  $\mathcal{A}$  with  $X \in \mathcal{I}, Y \in \mathcal{I}$  if and only if  $Z \in \mathcal{I}$ ,*
- (c) *for an exact sequence  $O \rightarrow X \rightarrow Y \rightarrow Z \rightarrow O$  in  $\mathcal{A}$  with  $X, Y, Z \in \mathcal{I}$ , then  $O \rightarrow FX \rightarrow FY \rightarrow FZ \rightarrow O$  is exact in  $\mathcal{B}$ .*

*For any additive functor  $F : \mathcal{A} \rightarrow \mathcal{B}$ ,  $\mathbf{R}^+F : \text{D}^+(\mathcal{A}) \rightarrow \text{D}(\mathcal{B})$  exists.*

*Proof.* Let  $\mathcal{L} = \text{K}^+(\mathcal{I})$ , then it is easy to see that  $\mathcal{L}$  satisfies the conditions of Theorem 12.5.  $\square$

**Proposition 12.7.** *Let  $\text{K}^{**}(\mathcal{A}) \subset \text{K}^*(\mathcal{A})$  be quotientizing subcategories of  $\text{K}(\mathcal{A})$  and  $F : \text{K}^*(\mathcal{A}) \rightarrow \text{K}(\mathcal{B})$  a  $\partial$ -functor. Assume  $\text{K}^*(\mathcal{A})$  has a triangulated full subcategory  $\mathcal{L}$  such that*

- (a) *for any  $X^\bullet \in \text{K}^*(\mathcal{A})$ , there exists a quasi-isomorphism  $X^\bullet \rightarrow I^\bullet$  with  $I^\bullet \in \mathcal{L}$ ,*
- (b) *for any  $X^\bullet \in \text{K}^{**}(\mathcal{A})$ , there exists a quasi-isomorphism  $X^\bullet \rightarrow I^\bullet$  with  $I^\bullet \in \mathcal{L} \cap \text{K}^{**}(\mathcal{A})$ , and*
- (c)  $Q_{\mathcal{B}}F(\mathcal{L}^\phi) = \{O\}$ ,

*where  $\mathcal{L}^\phi = \text{K}^\phi(\mathcal{A}) \cap \mathcal{L}$ . Then both  $F$  and  $F|_{\text{K}^{**}(\mathcal{A})}$  have the right derived functors  $(\mathbf{R}^*F, \xi)$  and  $(\mathbf{R}^{**}(F|_{\text{K}^{**}(\mathcal{A})}), \zeta)$ , respectively, and the canonical  $\partial$ -functorial morphism*

$$\phi : \mathbf{R}^{**}(F|_{\text{K}^{**}(\mathcal{A})}) \rightarrow \mathbf{R}^*F|_{\text{K}^{**}(\mathcal{A})}$$

*is an isomorphism.*

*Proof.* By Theorem 12.5 both  $F$  and  $F|_{\text{K}^{**}(\mathcal{A})}$  have the right derived functors  $(\mathbf{R}^*F, \xi)$  and  $(\mathbf{R}^{**}(F|_{\text{K}^{**}(\mathcal{A})}), \zeta)$ , respectively, and we have a unique  $\partial$ -functorial morphism

$$\phi : \mathbf{R}^{**}(F|_{\text{K}^{**}(\mathcal{A})}) \rightarrow \mathbf{R}^*F|_{\text{K}^{**}(\mathcal{A})}$$

such that  $\xi|_{\text{K}^{**}(\mathcal{A})} = (\phi Q_{\mathcal{A}}^{**})\zeta$ . For any  $I^\bullet \in \mathcal{L} \cap \text{K}^{**}(\mathcal{A})$ , by Theorem 12.5 both  $\xi_I$  and  $\zeta_I$  are isomorphisms, so that  $\phi_{Q_I}$  is an isomorphism. Thus, since by assumption (b), the canonical functor  $Q : \mathcal{L} \cap \text{K}^{**}(\mathcal{A}) \rightarrow \text{D}^{**}(\mathcal{A})$  is dense,  $\phi$  is an isomorphism.  $\square$

**Proposition 12.8.** *Let  $\text{K}^*(\mathcal{A})$  be a quotientizing subcategory of  $\text{K}(\mathcal{A})$  and  $F : \text{K}^*(\mathcal{A}) \rightarrow \text{K}(\mathcal{B})$  a  $\partial$ -functor. Let  $\text{K}^\dagger(\mathcal{B})$  be a quotientizing subcategory of  $\text{K}(\mathcal{B})$  and  $G : \text{K}^\dagger(\mathcal{B}) \rightarrow \text{K}(\mathcal{C})$  a  $\partial$ -functor. Assume*

- (a)  *$\text{K}^*(\mathcal{A})$  has a triangulated full subcategory  $\mathcal{L}$  for which the assumptions 1, 2 of Theorem 12.5 are satisfied,*
- (b)  *$\text{K}^\dagger(\mathcal{B})$  has a triangulated full subcategory  $\mathcal{M}$  for which the assumptions 1, 2 of Theorem 12.5 are satisfied, and*
- (c)  *$F(\text{K}^*(\mathcal{A})) \subset \text{K}^\dagger(\mathcal{B})$  and  $F(\mathcal{L}) \subset \mathcal{M}$ .*

Then  $F, G$  and  $GF$  have the right derived functors  $(\mathbf{R}^*F, \xi)$ ,  $(\mathbf{R}^\dagger G, \zeta)$  and  $(\mathbf{R}^*(GF), \eta)$  with  $\mathbf{R}^*F(\mathbf{D}^*(\mathcal{A})) \subset \mathbf{C}^\dagger(\mathcal{B})$ , and the canonical homomorphism

$$\phi : \mathbf{R}^*(GF) \rightarrow \mathbf{R}^\dagger G \circ \mathbf{R}^*F$$

is an isomorphism.

*Proof.* By Theorem 12.5  $F$  and  $G$  have the right derived functors  $(\mathbf{R}^*F, \xi)$  and  $(\mathbf{R}^\dagger G, \zeta)$ , respectively. Let  $X^\bullet \in \mathcal{L}$  be acyclic. Then, since  $Q(F(X^\bullet)) = 0$ ,  $F(X^\bullet)$  is acyclic and  $Q(G(F(X^\bullet))) = 0$ . Thus, again by Theorem 12.5  $GF$  has a right derived functor  $(\mathbf{R}^*(GF), \eta)$ . Also, for any  $X^\bullet \in \mathbf{D}^*(\mathcal{A})$ , since we have a quasi-isomorphism  $X^\bullet \rightarrow I^\bullet$  with  $I^\bullet \in \mathcal{L}$ ,  $\mathbf{R}^*F(X^\bullet) \cong \mathbf{R}^*F(Q(I^\bullet)) \cong Q(F(I^\bullet)) \in \mathbf{D}^\dagger(\mathcal{B})$ . Thus by Theorem 12.5 we have a unique homomorphism of  $\partial$ -functors

$$\phi : \mathbf{R}^*(GF) \rightarrow \mathbf{R}^\dagger G \circ \mathbf{R}^*F$$

such that  $(\mathbf{R}^\dagger G\xi)(\zeta F) = (\phi Q)\eta$ . Let  $I^\bullet \in \mathcal{L}$ . Then  $\xi_I, \zeta_{FI}$  and  $\eta_I$  are isomorphisms, so that  $\phi_{QI}$  is an isomorphism. Thus  $\phi$  is an isomorphism, because  $Q : \mathcal{L} \rightarrow \mathbf{D}^*(\mathcal{A})$  is dense  $\square$

## 12.2. Way-out Functors.

**Definition 12.9** (Way-out Functor). Let  $\mathcal{A}, \mathcal{B}$  be abelian categories,  $\mathcal{A}'$  a thick abelian full subcategory of  $\mathcal{A}$ . Let  $\mathbf{K}^*(\mathcal{A})$  be a quotientizing subcategory of  $\mathbf{K}(\mathcal{A})$ . A  $\partial$ -functor  $F : \mathbf{D}_{\mathcal{A}'}^*(\mathcal{A}) \rightarrow \mathbf{D}(\mathcal{B})$  is called *way-out right* (resp., *way-out left*) provided that for any  $n_1 \in \mathbb{Z}$  there exists  $n_2 \in \mathbb{Z}$  such that if  $X^\bullet \in \mathbf{D}^*(\mathcal{A})$  is a complex with  $H^i(X^\bullet) = O$  for all  $i < n_2$  (resp.,  $i > n_2$ ), then  $H^i(FX^\bullet) = O$  for all  $i < n_1$  (resp.,  $i > n_1$ ).

Moreover,  $F$  is called *way-out in both directions* if  $F$  is way-out right and way-out left.

**Lemma 12.10.** *For a complex  $X^\bullet \in \mathbf{C}(\mathcal{A})$ , we have triangles in  $\mathbf{D}(\mathcal{A})$*

1.

$$\tau_{\geq n-1}X^\bullet \rightarrow \tau_{\geq n}X^\bullet \rightarrow X^n[-n] \rightarrow \tau_{\geq n-1}X^\bullet[1].$$

2.

$$H^n(X^\bullet)[-n] \rightarrow \sigma_{> n-1}X^\bullet \rightarrow \sigma_{> n}X^\bullet \rightarrow H^n(X^\bullet)[1-n].$$

*Proof.* By 10.6, we have an exact sequence

$$O \rightarrow Y^\bullet \rightarrow \sigma_{> n-1}X^\bullet \rightarrow \sigma_{> n}X^\bullet \rightarrow O,$$

where  $Y^\bullet : Y^{n-1} \rightarrow Y^n = \text{Im } d_X^{n-1} \rightarrow \text{Ker } d_X^n$ . Then it is easy to see  $Y^\bullet \cong H^n(X^\bullet)[-n]$ .  $\square$

**Proposition 12.11.** *Let  $\mathcal{A}, \mathcal{B}$  be abelian categories,  $\mathcal{A}'$  a thick abelian full subcategory of  $\mathcal{A}$ . Let  $F^*, G^* : \mathbf{D}_{\mathcal{A}'}^*(\mathcal{A}) \rightarrow \mathbf{D}(\mathcal{B})$  be  $\partial$ -functors, and  $\eta^* \in \partial \text{Mor}(F^*, G^*)$ , where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ . Then the following hold.*

1. *If  $\eta^b(X)$  are isomorphisms for all  $X \in \mathcal{A}'$ , then  $\eta^b$  is an isomorphism.*
2. *Assume that  $F$  and  $G$  are way-out right. If  $\eta^+(X)$  are isomorphisms for all  $X \in \mathcal{A}'$ , then  $\eta^+$  is an isomorphism.*
3. *Assume that  $F$  and  $G$  are way-out in both directions. If  $\eta(X)$  are isomorphisms for all  $X \in \mathcal{A}'$ , then  $\eta$  is an isomorphism.*

4. Let  $\mathcal{I}$  be a collection of objects of  $\mathcal{A}'$  such that every  $X \in \mathcal{A}'$  admits a monomorphism to an object of  $\mathcal{I}$ . Assume that  $F$  and  $G$  are way-out right. If  $\eta^*(I)$  are isomorphisms for all  $I \in \mathcal{I}$ , then  $\eta^*(X)$  are isomorphisms for all  $X \in \mathcal{A}'$ .

*Proof.* 1. Let  $X^\bullet \in \mathbf{D}^b(\mathcal{A})$ . For  $n \gg 0$ ,  $\sigma_{>n}X^\bullet = O$ , and then  $\eta(\sigma_{>n}X^\bullet)$  is an isomorphism. By Lemma 12.10,  $\eta(\sigma_{>n-1}X^\bullet)$  is an isomorphism. Since  $X^\bullet \in \mathbf{D}^b(\mathcal{A})$ , we get the statement.

2. Let  $X^\bullet \in \mathbf{D}^+(\mathcal{A})$ . For any  $n \in \mathbb{Z}$ , we show that  $H^n(\eta(X^\bullet))$  is an isomorphism. Put  $n_1 = n + 1$ . Then there exists  $n_2 \in \mathbb{Z}$  such that if  $Y^\bullet \in \mathbf{D}^*(\mathcal{A})$  is a complex with  $H^i(Y^\bullet) = O$  for all  $i < n_2$ , then  $H^i(FY^\bullet) = O$  and  $H^i(GY^\bullet) = O$  for all  $i < n_1$ . Since  $H^i(\sigma_{>n_2}X^\bullet) = O$  for  $i < n_1$ , we have

$$\begin{aligned} H^n(F\sigma_{>n_2}X^\bullet) &= H^{n-1}(F\sigma_{>n_2}X^\bullet) = O, \\ H^n(G\sigma_{>n_2}X^\bullet) &= H^{n-1}(G\sigma_{>n_2}X^\bullet) = O. \end{aligned}$$

Considering a triangle  $\sigma_{\leq n_2}X^\bullet \rightarrow X^\bullet \rightarrow \sigma_{>n_2}X^\bullet \rightarrow \sigma_{\leq n_2}X^\bullet[1]$ , we have a commutative diagram

$$\begin{array}{ccc} H^n(F\sigma_{\leq n_2}X^\bullet) & \xrightarrow{\sim} & H^n(FX^\bullet) \\ \wr \downarrow & & \downarrow \\ H^n(G\sigma_{\leq n_2}X^\bullet) & \xrightarrow{\sim} & H^n(GX^\bullet). \end{array}$$

where all horizontal arrows are isomorphisms. By 1, the left vertical arrow are an isomorphism, and hence  $\eta(H^n(X^\bullet))$  is an isomorphism.

3. As in 2, for any  $X^\bullet \in \mathbf{D}(\mathcal{A})$ ,  $\eta(\sigma_{>0}X^\bullet)$  is an isomorphism. Considering  $\sigma_{\leq 0}X^\bullet \rightarrow X^\bullet \rightarrow \sigma_{>0}X^\bullet \rightarrow \sigma_{\leq 0}X^\bullet[1]$ ,  $\eta(X^\bullet)$  is an isomorphism.

4. For  $X \in \mathcal{A}'$ , by the dual of Lemma 10.10, there is a resolution  $I^\bullet$  with each  $I^i \in \mathcal{I}$ . By replacing  $\sigma$  by  $\tau$  in 1 and 2, we have the statement.  $\square$

**Proposition 12.12.** *Let  $\mathcal{A}, \mathcal{B}$  be abelian categories,  $\mathcal{A}', \mathcal{B}'$  thick abelian full subcategories of  $\mathcal{A}, \mathcal{B}$ , respectively. Let  $F^* : \mathbf{D}_{\mathcal{A}'}^*(\mathcal{A}) \rightarrow \mathbf{D}(\mathcal{B})$  be a  $\partial$ -functor, where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ . Then the following hold.*

1. If  $F^b(X) \in \mathbf{D}_{\mathcal{B}'}(\mathcal{B})$  for all  $X \in \mathcal{A}'$ , then  $F^b(X) \in \mathbf{D}_{\mathcal{B}'}(\mathcal{B})$  for all  $X^\bullet \in \mathbf{D}_{\mathcal{A}'}^b(\mathcal{A})$ .
2. Assume that  $F$  and  $G$  are way-out right. If  $F^b(X) \in \mathbf{D}_{\mathcal{B}'}(\mathcal{B})$  for all  $X \in \mathcal{A}'$ , then  $F^b(X) \in \mathbf{D}_{\mathcal{B}'}(\mathcal{B})$  for all  $X^\bullet \in \mathbf{D}_{\mathcal{A}'}^+(\mathcal{A})$ .
3. Assume that  $F$  and  $G$  are way-out in both directions. If  $F^b(X) \in \mathbf{D}_{\mathcal{B}'}(\mathcal{B})$  for all  $X \in \mathcal{A}'$ , then  $F^b(X) \in \mathbf{D}_{\mathcal{B}'}(\mathcal{B})$  for all  $X^\bullet \in \mathbf{D}_{\mathcal{A}'}(\mathcal{A})$ .
4. Let  $\mathcal{I}$  be a collection of objects of  $\mathcal{A}'$  such that every  $X \in \mathcal{A}'$  admits a monomorphism to an object of  $\mathcal{I}$ . Assume that  $F$  and  $G$  are way-out right. If  $F(I) \in \mathbf{D}_{\mathcal{B}'}(\mathcal{B})$  for all  $I \in \mathcal{I}$ , then  $F(X) \in \mathbf{D}_{\mathcal{B}'}(\mathcal{B})$  for all  $X \in \mathcal{A}'$ .

*Proof.* The same as the proof of Proposition 12.12 (left to the reader).  $\square$

### 13. DOUBLE COMPLEXES

Throughout this section,  $\mathcal{A}$  is an abelian category.

**Definition 13.1** (Double Complex). A *double complex*  $C^{\bullet\bullet}$  is a bigraded object  $(C^{p,q})_{p,q \in \mathbb{Z}}$  of  $\mathcal{A}$  together with  $d_1^{p,q} : C^{p,q} \rightarrow C^{p+1,q}$  and  $d_1^{p,q} : C^{p,q} \rightarrow C^{p,q+1}$  such



that

$$\begin{aligned} C^{\bullet,q} &= (C^{p,q}, d_I^{p,q} : C^{p,q} \rightarrow C^{p+1,q}) \\ C^{p,\bullet} &= (C^{p,q}, d_{II}^{p,q} : C^{p,q} \rightarrow C^{p,q+1}) \end{aligned}$$

are complexes satisfying  $d_I^{p,q+1}d_{II}^{p,q} + d_{II}^{p+1,q}d_I^{p,q} = 0$ .

A *morphism*  $f$  of double complexes  $X^{\bullet,\bullet}$  to  $Y^{\bullet,\bullet}$  is a collection of morphisms  $f^{p,q} : X^{p,q} \rightarrow Y^{p,q}$  such that  $f^{p,q} : X^{p,q} \rightarrow Y^{p,q}$  and  $f^{p,\bullet} : X^{p,\bullet} \rightarrow Y^{p,\bullet}$  are morphisms of complexes for all  $p, q \in \mathbb{Z}$ .

We denote by  $\mathcal{C}^2(\mathcal{A})$  the categories of double complexes of  $\mathcal{A}$ . Auto-equivalences  $T_I, T_{II} : \mathcal{C}^2(\mathcal{A}) \rightarrow \mathcal{C}^2(\mathcal{A})$  are called the translations if  $(T_I X^{\bullet,\bullet})^{p,q} = X^{p+1,q}$  and  $(T_I d_{\#X})^{p,q} = -d_{\#X}^{p+1,q}$  and  $(T_{II} X^{\bullet,\bullet})^{p,q} = X^{p,q+1}$  and  $(T_{II} d_{\#X})^{p,q} = -d_{\#X}^{p,q+1}$  for any complex  $X^{\bullet,\bullet} = (X^{p,q}, d_I^{p,q}, d_{II}^{p,q})$ , where  $\# = I, II$ .

Moreover, an *r-tuple complex*  $C^{\bullet,r}$  is an  $r$ -tuple graded object  $(C^p)_{p \in \mathbb{Z}^r}$  of  $\mathcal{A}$  together with  $d_i^p : C^p \rightarrow C^{p+e_i}$  ( $1 \leq i \leq r$ ) such that

$$\begin{aligned} d_i^2 &= 0 \quad (1 \leq i \leq r), \\ d_i d_j + d_j d_i &= 0 \quad \text{for all } i, j, \end{aligned}$$

where  $e_i = (0, \dots, 0, 1, 0, \dots, 0)$ .  
ith component

**Proposition 13.2.** *Let  $\mathcal{C}_I(\mathcal{A})$  (resp.,  $\mathcal{C}_{II}(\mathcal{A})$ ) be the full subcategory of  $\mathcal{C}^2(\mathcal{A})$  consisting of complexes  $X^{\bullet,\bullet}$  such that  $X^{p,q} = 0$  for all  $q \neq 0$  (resp.,  $p \neq 0$ ). Then we have  $\mathcal{C}(\mathcal{A}) \cong \mathcal{C}_I(\mathcal{A}) \cong \mathcal{C}_{II}(\mathcal{A})$  and  $\mathcal{C}^2(\mathcal{A}) \cong \mathcal{C}(\mathcal{C}_I(\mathcal{A})) \cong \mathcal{C}(\mathcal{C}_{II}(\mathcal{A}))$ . In particular,  $\mathcal{C}^2(\mathcal{A})$  is an abelian category.*

*Proof.* We define a functor  $F_I : \mathcal{C}^2(\mathcal{A}) \rightarrow \mathcal{C}(\mathcal{C}_I(\mathcal{A}))$  as follows. For any double complex  $X^{\bullet,\bullet} = (X^{p,q}, d_I^{p,q}, d_{II}^{p,q})$ ,  $F_I(X^{p,q}, d_I^{p,q}, d_{II}^{p,q}) = (X^{\bullet,q}, d_I^{\bullet,q})$  where  $X^{\bullet,q} = (X^{p,q}, (-1)^q d_I^{p,q})$ . For a morphism  $f : X^{\bullet,\bullet} \rightarrow Y^{\bullet,\bullet}$ ,  $F_I(f)^{p,q} = f^{p,q}$ . Then it is easy to see that  $F_I$  is an equivalence.  $\square$

By the above, we can deal with  $\mathcal{C}^2(\mathcal{A})$  as  $\mathcal{C}(\mathcal{C}_I(\mathcal{A}))$  or  $\mathcal{C}(\mathcal{C}_{II}(\mathcal{A}))$ .

**Definition 13.3** (Truncations). For a double complex  $X^{\bullet,\bullet} = (X^{p,q}, d_I^{p,q}, d_{II}^{p,q})$ , we define the following truncations:

$$\begin{aligned} (\sigma_{>n}^I X^{\bullet,\bullet})^{p,q} &= \begin{cases} 0 & \text{if } p < n \\ \text{Im } d_I^{p,q} & \text{if } p = n \\ X^{p,q} & \text{if } p > n \end{cases} & (\sigma_{\leq n}^I X^{\bullet,\bullet})^{p,q} &= \begin{cases} X^{p,q} & \text{if } p < n \\ \text{Ker } d_I^{p,q} & \text{if } p = n \\ 0 & \text{if } p > n \end{cases} \\ (\sigma_{>n}^{II} X^{\bullet,\bullet})^{p,q} &= \begin{cases} 0 & \text{if } q < n \\ \text{Im } d_{II}^{p,q} & \text{if } q = n \\ X^{p,q} & \text{if } q > n \end{cases} & (\sigma_{\leq n}^{II} X^{\bullet,\bullet})^{p,q} &= \begin{cases} X^{p,q} & \text{if } q < n \\ \text{Ker } d_{II}^{p,q} & \text{if } q = n \\ 0 & \text{if } q > n \end{cases} \\ (\tau_{\leq n}^I X^{\bullet,\bullet})^{p,q} &= \begin{cases} X^{p,q} & \text{if } p \leq n \\ 0 & \text{if } p > n \end{cases} & (\tau_{\geq n}^I X^{\bullet,\bullet})^{p,q} &= \begin{cases} 0 & \text{if } p < n \\ X^{p,q} & \text{if } p \geq n \end{cases} \\ (\tau_{\leq n}^{II} X^{\bullet,\bullet})^{p,q} &= \begin{cases} X^{p,q} & \text{if } q \leq n \\ 0 & \text{if } q > n \end{cases} & (\tau_{\geq n}^{II} X^{\bullet,\bullet})^{p,q} &= \begin{cases} 0 & \text{if } q < n \\ X^{p,q} & \text{if } q \geq n \end{cases} \end{aligned}$$

**Lemma 13.4.** *For a double complex  $X^{\bullet,\bullet} = (X^{p,q}, d_I, d_{II})$ , the following hold.*

1. We have exact sequences in  $\mathcal{C}^2(\mathcal{A})$

$$O \rightarrow \sigma_{\leq n}^{\#} X^{\bullet\bullet} \rightarrow X^{\bullet\bullet} \rightarrow \sigma_{> n}^{\#} X^{\bullet\bullet} \rightarrow O$$

for all  $n \in \mathbb{Z}$  with  $\# = I, II$ .

2. We have exact sequences in  $\mathcal{C}^2(\mathcal{A})$

$$O \rightarrow \tau_{\geq n}^{\#} X^{\bullet\bullet} \rightarrow X^{\bullet\bullet} \rightarrow \tau_{\leq n-1}^{\#} X^{\bullet\bullet} \rightarrow O$$

for all  $n \in \mathbb{Z}$  with  $\# = I, II$ .

**Definition 13.5** (Total Complexes). For a double complex  $X^{\bullet\bullet} = (X^{p,q}, d_I^{p,q}, d_{II}^{p,q})$ , we define the *total complexes*

$$\begin{aligned} \text{Tot } C^{\bullet\bullet} &= (X^n, d^n), \text{ where } X^n = \prod_{p+q=n} C^{p,q}, d^n = \prod_{p+q=n} d_I^{p,q} + d_{II}^{p,q} \\ \widehat{\text{Tot}} C^{\bullet\bullet} &= (Y^n, d^n), \text{ where } Y^n = \prod_{p+q=n} C^{p,q}, d^n = \prod_{p+q=n} d_I^{p,q} + d_{II}^{p,q}. \end{aligned}$$

Moreover, for an  $r$ -tuple complex  $X^{\bullet r} = (X^{\mathbf{p}}, d_i^{\mathbf{p}})$  ( $1 \leq i \leq r$ ), we define the *total complexes*

$$\begin{aligned} \text{Tot } C^{\bullet r} &= (X^n, d^n), \text{ where } X^n = \prod_{|\mathbf{p}|=n} C^{\mathbf{p}}, d^n = \prod_{|\mathbf{p}|=n} \sum_{i=1}^r d_i^{\mathbf{p}} \\ \widehat{\text{Tot}} C^{\bullet r} &= (Y^n, d^n), \text{ where } Y^n = \prod_{|\mathbf{p}|=n} C^{\mathbf{p}}, d^n = \prod_{|\mathbf{p}|=n} \sum_{i=1}^r d_i^{\mathbf{p}}, \end{aligned}$$

where  $|\mathbf{p}| = |(p_1, \dots, p_r)| = p_1 + \dots + p_r$ .

**Lemma 13.6.** *The following hold.*

1. If  $\mathcal{A}$  satisfies the condition  $Ab4$ , then the functor  $\text{Tot} : \mathcal{C}^2(\mathcal{A}) \rightarrow \mathcal{C}(\mathcal{A})$  preserves translations, exact sequences and coproducts.
2. If  $\mathcal{A}$  satisfies the condition  $Ab4^*$ , then the functor  $\widehat{\text{Tot}} : \mathcal{C}^2(\mathcal{A}) \rightarrow \mathcal{C}(\mathcal{A})$  preserves translations, exact sequences and products.

**Lemma 13.7.** *For a double complex  $X^{\bullet\bullet} = (X^{p,q}, d_I, d_{II})$ , the following hold.*

1. If  $X^{p,q} = O$  for  $q < m, n < q$  for  $m \leq n$  and  $X^q$  are acyclic complexes in  $\mathcal{C}(\mathcal{A})$  for all  $q$ , then  $\text{Tot } X^{\bullet\bullet}$  is acyclic in  $\mathcal{C}(\mathcal{A})$ .
2. If  $X^{p,q} = O$  for  $q < m, n < q$  for  $m \leq n$  and  $X^p$  are acyclic complexes in  $\mathcal{C}(\mathcal{A})$  for all  $p$ , then  $\text{Tot } X^{\bullet\bullet}$  is acyclic in  $\mathcal{C}(\mathcal{A})$ .
3. Assume  $\mathcal{A}$  satisfies the condition  $Ab4$ . If  $X^{p,q} = O$  for  $q > n$  and  $X^q$  are acyclic complexes in  $\mathcal{C}(\mathcal{A})$  for all  $q$ , then  $\text{Tot } X^{\bullet\bullet}$  is acyclic in  $\mathcal{C}(\mathcal{A})$ .
4. Assume  $\mathcal{A}$  satisfies the condition  $Ab4^*$ . If  $X^{p,q} = O$  for  $q < n$  and  $X^q$  are acyclic complexes in  $\mathcal{C}(\mathcal{A})$  for all  $q$ , then  $\widehat{\text{Tot}} X^{\bullet\bullet}$  is acyclic in  $\mathcal{C}(\mathcal{A})$ .
5. Assume  $\mathcal{A}$  satisfies the condition  $Ab5$ . If  $X^{p,q} = O$  for  $q < n$  and  $X^p$  are acyclic complexes in  $\mathcal{C}(\mathcal{A})$  for all  $p$ , then  $\widehat{\text{Tot}} X^{\bullet\bullet}$  is acyclic in  $\mathcal{C}(\mathcal{A})$ .
6. Assume  $\mathcal{A} = \text{Mod } A$  for a ring  $A$ . If  $X^{p,q} = O$  for  $q > n$  and  $X^p$  are acyclic complexes in  $\mathcal{C}(\mathcal{A})$  for all  $p$ , then  $\widehat{\text{Tot}} X^{\bullet\bullet}$  is acyclic in  $\mathcal{C}(\mathcal{A})$ .

*Proof.* 1. Let  $n_X = n - m$ . By Lemma 13.4, we have an exact sequence in  $\mathcal{C}^2(\mathcal{A})$

$$O \rightarrow \tau_{\geq n-1}^{II} X^{\bullet\bullet} \rightarrow X^{\bullet\bullet} \rightarrow \tau_{\leq n}^{II} X^{\bullet\bullet} \rightarrow O.$$

Then by Lemma 13.6, we have the exact sequence in  $\mathcal{C}(\mathcal{A})$

$$O \rightarrow \text{Tot } \tau_{\geq n-1}^{II} X^{\bullet\bullet} \rightarrow \text{Tot } X^{\bullet\bullet} \rightarrow X^n[-n] \rightarrow O.$$

By the assumption of induction on  $n_X$ ,  $\text{Tot } \tau_{\geq n-1}^{\text{II}} X^{\bullet}$  is acyclic. Then  $\text{Tot } X^{\bullet}$  is acyclic because  $X^{\bullet}[-n]$  is acyclic.

2. Let  $n_X = n - m$ . By Lemma 13.4, we have an exact sequence in  $\mathcal{C}^2(\mathcal{A})$

$$O \rightarrow \sigma_{\leq n-1}^{\text{II}} X^{\bullet} \rightarrow X^{\bullet} \rightarrow \sigma_{> n-1}^{\text{II}} X^{\bullet} \rightarrow O.$$

Then by Lemma 13.6, we have the exact sequence in  $\mathcal{C}^2(\mathcal{A})$

$$O \rightarrow \text{Tot } \sigma_{\leq n-1}^{\text{II}} X^{\bullet} \rightarrow \text{Tot } X^{\bullet} \rightarrow \text{Tot } \sigma_{> n-1}^{\text{II}} X^{\bullet} \rightarrow O.$$

By the assumption of induction on  $n_X$ ,  $\text{Tot } \sigma_{\leq n-1}^{\text{II}} X^{\bullet}$  is acyclic. It is easy to see that  $\text{Tot } \sigma_{> n-1}^{\text{II}} X^{\bullet} \cong \text{M}^{\bullet}(1_{X,n})[-n]$  is acyclic. Then  $\text{Tot } X^{\bullet}$  is acyclic.

3. By Lemma 13.4, we have the canonical morphisms in  $\mathcal{C}^2(\mathcal{A})$

$$\tau_{\geq -r}^{\text{II}} X^{\bullet} \xrightarrow{f_r} \tau_{\geq -(r+1)}^{\text{II}} X^{\bullet},$$

which are term-split monomorphisms for all  $p, q$ . Since  $\text{Tot } f_r : \text{Tot } \tau_{\geq -r}^{\text{II}} X^{\bullet} \rightarrow \text{Tot } \tau_{\geq -(r+1)}^{\text{II}} X^{\bullet}$  is term-split monomorphisms in  $\mathcal{A}$ , by Proposition 11.7, we have

$$\varinjlim \text{Tot } \tau_{\geq -r}^{\text{II}} X^{\bullet} \cong \varinjlim \text{Tot } \tau_{\geq -r}^{\text{II}} X^{\bullet} \cong \text{Tot } X^{\bullet}.$$

By 1,  $\text{Tot } \tau_{\geq -r}^{\text{II}} X^{\bullet}$  is acyclic for all  $r$ . Then  $\varinjlim \text{Tot } \tau_{\geq -r}^{\text{II}} X^{\bullet}$  is acyclic, and hence so is  $\text{Tot } X^{\bullet}$ .

4. Dual of 3.

5. By Lemma 13.4, we have the canonical morphisms in  $\mathcal{C}^2(\mathcal{A})$

$$\sigma_{\leq r}^{\text{II}} X^{\bullet} \xrightarrow{g_r} \sigma_{\leq r+1}^{\text{II}} X^{\bullet}.$$

By Exercise 11.5, we have an exact sequence in  $\mathcal{C}(\mathcal{A})$

$$O \rightarrow \prod_r \text{Tot } \sigma_{\leq r}^{\text{II}} X^{\bullet} \rightarrow \prod_r \text{Tot } \sigma_{\leq r}^{\text{II}} X^{\bullet} \rightarrow \varinjlim \text{Tot } \sigma_{\leq r}^{\text{II}} X^{\bullet} \rightarrow O.$$

Then we have

$$\varinjlim \text{Tot } \sigma_{\leq r}^{\text{II}} X^{\bullet} \cong \varinjlim \text{Tot } \sigma_{\leq r}^{\text{II}} X^{\bullet} \cong \text{Tot } X^{\bullet}.$$

By 2,  $\text{Tot } \sigma_{\leq r}^{\text{II}} X^{\bullet}$  is acyclic for all  $r$ . Then  $\varinjlim \text{Tot } \sigma_{\leq r}^{\text{II}} X^{\bullet}$  is acyclic, and hence so is  $\text{Tot } X^{\bullet}$ .

6. Dual of 5. □

**Definition 13.8.** We define an embedding functor  $em^{\text{I}} : \mathcal{C}(\mathcal{A}) \rightarrow \mathcal{C}^2(\mathcal{A})$  as follows. For a complex  $X^{\bullet} \in \mathcal{C}(\mathcal{A})$ ,

$$em^{\text{I}}(X^{\bullet})^{p,q} = \begin{cases} X^p & \text{if } q = 0 \\ O & \text{otherwise.} \end{cases}$$

**Definition 13.9** (Proper Exact). An exact sequence  $O \rightarrow X^{\bullet} \xrightarrow{f} Y^{\bullet} \xrightarrow{g} Z^{\bullet} \rightarrow O$  in  $\mathcal{C}(\mathcal{A})$  is called *proper exact* if the induced sequence  $O \rightarrow Z^{\bullet}(X^{\bullet}) \rightarrow Z^{\bullet}(Y^{\bullet}) \rightarrow Z^{\bullet}(Z^{\bullet}) \rightarrow O$  is also exact. In this case,  $f$  (resp.,  $g$ ) is called a *proper monomorphism* (resp., a *proper epimorphism*).

A complex  $X^{\bullet} \in \mathcal{C}(\mathcal{A})$  is called a *proper projective complex* (resp., a *proper injective complex*) if

$$X^{\bullet} \cong \bigoplus_{n \in \mathbb{Z}} P^n[-n] \oplus \bigoplus_{n \in \mathbb{Z}} \text{M}^{\bullet}(1_{Q^n})[-n-1]$$

where  $P^n, Q^n$  are projective (resp., injective) objects of  $\mathcal{A}$ .

**Lemma 13.10.** *For a complex  $Z^\bullet \in \mathbf{C}(\mathcal{A})$ , the following hold.*

1.  $M^\bullet$  is proper projective if and only if for every proper epimorphism  $g : X^\bullet \rightarrow Y^\bullet$  in  $\mathbf{C}(\mathcal{A})$ ,  $\mathrm{Hom}_{\mathbf{C}(\mathcal{A})}(M^\bullet, g)$  is surjective.
2.  $M^\bullet$  is proper injective if and only if for every proper monomorphism  $f : X^\bullet \rightarrow Y^\bullet$  in  $\mathbf{C}(\mathcal{A})$ ,  $\mathrm{Hom}_{\mathbf{C}(\mathcal{A})}(f, M^\bullet)$  is surjective.

*Proof.* 1. If  $M^\bullet$  is proper projective, then it suffices to check the case that  $M^\bullet = P[-n]$  or  $M^\bullet(1_P)[-n]$ , where  $P$  is a projective object of  $\mathcal{A}$ ,  $n \in \mathbb{Z}$ . For any proper epimorphism  $g : X^\bullet \rightarrow Y^\bullet$ , we have commutative diagrams

$$\begin{array}{ccc} \mathrm{Hom}_{\mathbf{C}(\mathcal{A})}(P[-n], X^\bullet) & \xrightarrow{\mathrm{Hom}_{\mathbf{C}(\mathcal{A})}(P[-n], g)} & \mathrm{Hom}_{\mathbf{C}(\mathcal{A})}(P[-n], Y^\bullet) \\ \downarrow \wr & & \downarrow \wr \\ \mathrm{Hom}_{\mathcal{A}}(P, Z^n(X^\bullet)) & \xrightarrow{\mathrm{Hom}_{\mathcal{A}}(P, Z^n(g))} & \mathrm{Hom}_{\mathcal{A}}(P, Z^n(Y^\bullet)), \\ \\ \mathrm{Hom}_{\mathbf{C}(\mathcal{A})}(M^\bullet(1_P)[-n], X^\bullet) & \xrightarrow{\mathrm{Hom}_{\mathbf{C}(\mathcal{A})}(M^\bullet(1_P)[-n], g)} & \mathrm{Hom}_{\mathbf{C}(\mathcal{A})}(M^\bullet(1_P)[-n], Y^\bullet) \\ \downarrow \wr & & \downarrow \wr \\ \mathrm{Hom}_{\mathcal{A}}(P, X^{n-1}) & \xrightarrow{\mathrm{Hom}_{\mathcal{A}}(P, g^{n-1})} & \mathrm{Hom}_{\mathcal{A}}(P, Y^{n-1}). \end{array}$$

Since  $P$  is projective, the bottom arrows of the above diagrams are surjective. Then the top arrows are also surjective. Conversely, let  $M^\bullet$  be a complex satisfying the surjective condition. For any epimorphism  $g : X \rightarrow Y$  in  $\mathcal{A}$ , we have a commutative diagram

$$\begin{array}{ccc} \mathrm{Hom}_{\mathbf{C}(\mathcal{A})}(M^\bullet, X[-n]) & \xrightarrow{\mathrm{Hom}_{\mathbf{C}(\mathcal{A})}(M^\bullet, g[-n])} & \mathrm{Hom}_{\mathbf{C}(\mathcal{A})}(M^\bullet, Y[-n]) \\ \downarrow \wr & & \downarrow \wr \\ \mathrm{Hom}_{\mathcal{A}}(C^n(M^\bullet), X) & \xrightarrow{\mathrm{Hom}_{\mathcal{A}}(C^n(M^\bullet), g)} & \mathrm{Hom}_{\mathcal{A}}(C^n(M^\bullet), Y). \end{array}$$

Since  $g[-n] : X[-n] \rightarrow Y[-n]$  is proper epic, the top arrow is surjective. Then  $C^n(M^\bullet)$  are projective objects of  $\mathcal{A}$ . It is easy to see that

$$M^\bullet \cong \bigoplus_{n \in \mathbb{Z}} M^\bullet(p^n)[-n-1],$$

where  $p^n : C^n(M^\bullet) \rightarrow B^n(M^\bullet)$  are the canonical epimorphisms. We have a commutative diagram

$$\begin{array}{ccc} \mathrm{Hom}_{\mathbf{C}(\mathcal{A})}(M^\bullet(p^n), M^\bullet(1_X)) & \xrightarrow{\mathrm{Hom}_{\mathbf{C}(\mathcal{A})}(M^\bullet(p^n), M^\bullet(g))} & \mathrm{Hom}_{\mathbf{C}(\mathcal{A})}(M^\bullet(p^n), M^\bullet(1_X)) \\ \downarrow \wr & & \downarrow \wr \\ \mathrm{Hom}_{\mathcal{A}}(B^n(M^\bullet), X) & \xrightarrow{\mathrm{Hom}_{\mathcal{A}}(B^n(M^\bullet), g)} & \mathrm{Hom}_{\mathcal{A}}(B^n(M^\bullet), Y). \end{array}$$

Since  $M^\bullet(p^n)$  is proper projective, the top arrow is surjective, and then the bottom arrow is surjective. Therefore  $B^n(M^\bullet)$  is a projective object of  $\mathcal{A}$ . Hence  $M^\bullet$  is proper projective.  $\square$

2. Similarly.  $\square$

**Lemma 13.11** (Proper Resolutions). *Assume that  $\mathcal{A}$  has enough projectives (resp. injectives). Given a complex  $M^\bullet \in \mathbf{C}(\mathcal{A})$ , there are proper projective complexes*

(resp., proper injective complexes)  $X^\bullet$  ( $n \geq 0$ ) which has a proper projective resolution (resp., a proper injective resolution) in  $\mathcal{C}(\mathcal{A})$

$$\begin{aligned} & \dots \rightarrow X^{\bullet-1} \rightarrow X^{\bullet 0} \rightarrow M^\bullet \rightarrow O \\ (\text{resp.}, & O \rightarrow M^\bullet \rightarrow X^{\bullet 0} \rightarrow X^{\bullet 1} \rightarrow \dots). \end{aligned}$$

*Proof.* For a complex  $M^\bullet \in \mathcal{C}(\mathcal{A})$ , we have exact sequences in  $\mathcal{C}(\mathcal{A})$

$$\begin{aligned} O & \rightarrow Z^\bullet(M^\bullet) \rightarrow M^\bullet \rightarrow B^\bullet(M^\bullet)[1] \rightarrow O \\ O & \rightarrow B^\bullet(M^\bullet) \rightarrow Z^\bullet(M^\bullet) \rightarrow H^\bullet(M^\bullet) \rightarrow O. \end{aligned}$$

For each  $B^n(M^\bullet)$ , there is a projective object  $Q^n$  which has an epimorphism  $Q^n \rightarrow B^n(M^\bullet)$ . Then we have an epimorphism  $\bigoplus_{n \in \mathbb{Z}} M^\bullet(1_{Q^n})[-n] \rightarrow B^\bullet(M^\bullet)[1]$  and its lift  $\bigoplus_{n \in \mathbb{Z}} M^\bullet(1_{Q^n})[-n] \rightarrow M^\bullet$ . For each  $H^n(M^\bullet)$ , there is a projective object  $P^n$  which has an epimorphism  $P^n \rightarrow H^n(M^\bullet)$ . Then we have a morphism  $\bigoplus_{n \in \mathbb{Z}} P^n[-n] \rightarrow B^\bullet(M^\bullet)$  and its lift  $\bigoplus_{n \in \mathbb{Z}} P^n[-n] \rightarrow Z^\bullet(M^\bullet) \rightarrow M^\bullet$ . Then it is easy to see that  $\bigoplus_{n \in \mathbb{Z}} P^n[-n] \oplus \bigoplus_{n \in \mathbb{Z}} M^\bullet(1_{Q^n})[-n] \rightarrow M^\bullet$  is proper epimorphism. Then by induction we complete the proof.  $\square$

The above proper resolution  $\dots \rightarrow X^{\bullet-1} \rightarrow X^{\bullet 0}$  (resp.,  $X^{\bullet 0} \rightarrow X^{\bullet 1} \rightarrow \dots$ ) is called a proper projective (resp., injective) resolution of  $M^\bullet$  (they are often called Cartan-Eilenberg resolutions).

**Proposition 13.12.** *The following hold.*

1. Assume that  $\mathcal{A}$  satisfies the condition  $Ab_4$  with enough projectives. Given a complex  $M^\bullet \in \mathcal{C}(\mathcal{A})$ , let  $\pi : P^\bullet \rightarrow M^\bullet$  be a proper projective resolution. Then

$$\text{Tot } \pi : \text{Tot } P^\bullet \rightarrow M^\bullet$$

is a quasi-isomorphism in  $\mathcal{K}(\mathcal{A})$ , and  $\text{Tot } P^\bullet \in \mathcal{K}^s(\text{Proj } \mathcal{A})$ .

2. Assume that  $\mathcal{A}$  satisfies the condition  $Ab_4^*$  with enough injectives. Given a complex  $M^\bullet \in \mathcal{C}(\mathcal{A})$ , let  $\mu : M^\bullet \rightarrow I^\bullet$  be a proper injective resolution. Then

$$\widehat{\text{Tot}} \mu : M^\bullet \rightarrow \widehat{\text{Tot}} I^\bullet$$

is a quasi-isomorphism in  $\mathcal{K}(\mathcal{A})$ , and  $\widehat{\text{Tot}} I^\bullet \in \mathcal{K}^s(\text{Inj } \mathcal{A})$ .

*Proof.* 1. We can consider  $\pi : P^\bullet \rightarrow M^\bullet$  as  $\pi : P^\bullet \rightarrow em^1 M^\bullet$  in  $\mathcal{C}^2(\mathcal{A})$ . Then we have a commutative diagram

$$\begin{array}{ccc} \sigma_{\leq n}^I P^\bullet & \xrightarrow{\sigma_{\leq n}^I \pi} & \sigma_{\leq n}^I em^1 M^\bullet \\ \alpha_n \downarrow & & \downarrow \beta_n \\ \sigma_{\leq n+1}^I P^\bullet & \xrightarrow{\sigma_{\leq n+1}^I \pi} & \sigma_{\leq n+1}^I em^1 M^\bullet \end{array}$$

where  $\alpha_n, \beta_n$  are term-split monomorphisms. Therefore we have a commutative diagram

$$\begin{array}{ccc} \text{Tot } \sigma_{\leq n}^I P^\bullet & \xrightarrow{\sigma_{\leq n}^I \pi} & \sigma_{\leq n} M^\bullet \\ \text{Tot } \alpha_n \downarrow & & \downarrow \beta_n \\ \text{Tot } \sigma_{\leq n+1}^I P^\bullet & \xrightarrow{\sigma_{\leq n+1}^I \pi} & \sigma_{\leq n+1} M^\bullet \end{array}$$

where  $\text{Tot } \alpha_n, \beta_n$  are term-split monomorphisms, and all horizontal morphisms are quasi-isomorphisms, because  $\text{Tot}(\sigma_{\leq n}^I P^\bullet \xrightarrow{\sigma_{\leq n}^I \pi} \sigma_{\leq n}^I \text{em}^I M^\bullet)$  is acyclic by Lemma 13.7. According to Proposition 11.7, we have isomorphisms in  $\mathcal{D}(\mathcal{A})$

$$\begin{aligned} \text{Tot } P^\bullet &= \varinjlim \text{Tot } \sigma_{\leq n}^I P^\bullet \\ &\cong \varinjlim \text{Tot } \sigma_{\leq n}^I P^\bullet \\ &\cong \varinjlim \sigma_{\leq n} M^\bullet \\ &\cong \varinjlim \sigma_{\leq n} M^\bullet \\ &= M^\bullet. \end{aligned}$$

On the other hand, since  $\text{Tot } \sigma_{\leq n}^I P^\bullet \in \mathcal{K}^-(\text{Proj } \mathcal{A})$ ,  $\text{Tot } P^\bullet \cong \varinjlim \text{Tot } \sigma_{\leq n}^I P^\bullet \in \mathcal{K}^s(\text{Proj } \mathcal{A})$ .

2. Similarly  $\square$

**Definition 13.13.** For a morphism  $u : X^\bullet \rightarrow Y^\bullet$  of double complexes, we define the complexes  $M_I^\bullet(u)$ ,  $M_{II}^\bullet(u)$  as follows.

$$\begin{aligned} M_I^\bullet(u)^{p,q} &= X^{p+1,q} \oplus Y^{p,q} \\ d_{I M_I^\bullet(u)}^{p,q} &= \begin{bmatrix} -d_{IX}^{p+1,q} & 0 \\ u^{p+1,q} & d_{IY}^{p,q} \end{bmatrix}, \\ d_{II M_I^\bullet(u)}^{p,q} &= \begin{bmatrix} -d_{II X}^{p+1,q} & 0 \\ 0 & d_{II Y}^{p,q} \end{bmatrix}, \\ \\ M_{II}^\bullet(u)^{p,q} &= X^{p,q+1} \oplus Y^{p,q} \\ d_{I M_{II}^\bullet(u)}^{p,q} &= \begin{bmatrix} -d_{IX}^{p,q+1} & 0 \\ 0 & d_{IY}^{p,q} \end{bmatrix}, \\ d_{II M_{II}^\bullet(u)}^{p,q} &= \begin{bmatrix} -d_{II X}^{p,q+1} & 0 \\ u^{p+1,q} & d_{II Y}^{p,q} \end{bmatrix}. \end{aligned}$$

**Proposition 13.14.** For a morphism  $u : X^\bullet \rightarrow Y^\bullet$  of double complexes, the following hold.

1.  $\text{Tot } M_I^\bullet(u) = M^\bullet(\text{Tot } u)$ .
2.  $\text{Tot } M_{II}^\bullet(u) = M^\bullet(\text{Tot } u)$ .

#### 14. DERIVED FUNCTORS OF BI- $\partial$ -FUNCTORS

**Definition 14.1** (Bi- $\partial$ -functor). For triangulated categories  $\mathcal{C}_1, \mathcal{C}_2$  and  $\mathcal{D}$ , a bi- $\partial$ -functor  $(F, \theta_1, \theta_2) : \mathcal{C}_1 \times \mathcal{C}_2 \rightarrow \mathcal{D}$  is a bifunctor  $F : \mathcal{C}_1 \times \mathcal{C}_2 \rightarrow \mathcal{D}$  together with bifunctorial isomorphisms  $\theta_1 : F(T_{\mathcal{C}_1} -, ?) \xrightarrow{\sim} T_{\mathcal{D}} F(-, ?)$ ,  $\theta_2 : F(-, T_{\mathcal{C}_2} ?) \xrightarrow{\sim} T_{\mathcal{D}} F(-, ?)$  such that

- (a) For each object  $X_1 \in \mathcal{C}_1$ ,  $F(X_1, -) = (F(X_1, -), \theta_{2(X_1, -)}) : \mathcal{C}_2 \rightarrow \mathcal{D}$  is a  $\partial$ -functor.
- (b) For each object  $X_2 \in \mathcal{C}_2$ ,  $F(-, X_2) = (F(-, X_2), \theta_{1(-, X_2)}) : \mathcal{C}_1 \rightarrow \mathcal{D}$  is a  $\partial$ -functor.

For bi- $\partial$ -functors  $(F, \theta_1, \theta_2), (G, \eta_1, \eta_2) : \mathcal{C}_1 \times \mathcal{C}_2 \rightarrow \mathcal{D}$ , a bifunctorial morphism  $\phi : F \rightarrow G$  is called a bi- $\partial$ -functorial morphism if  $(T_{\mathcal{D}} \phi) \theta_1 = \eta_1 \phi(T_{\mathcal{C}_1} \times \mathbf{1}_{\mathcal{C}_2})$ ,  $(T_{\mathcal{D}} \phi) \theta_2 = \eta_2 \phi(\mathbf{1}_{\mathcal{C}_1} \times T_{\mathcal{C}_2})$ .

We denote by  $\partial^2(\mathcal{C}_1 \times \mathcal{C}_2, \mathcal{D})$  the collection of all bi- $\partial$ -functors from  $\mathcal{C}_1 \times \mathcal{C}_2$  to  $\mathcal{D}$ , and denote by  $\partial^2 \text{Mor}(F, G)$  the collection of all bi- $\partial$ -functorial morphisms from  $F$  to  $G$ .

**Proposition 14.2.** *Let  $\mathcal{C}_1, \mathcal{C}_2$  and  $\mathcal{C}_3$  be triangulated categories,  $\mathcal{U}_i$  épaisse subcategories of  $\mathcal{C}_i$ , and  $Q_i : \mathcal{U}_i \rightarrow \mathcal{C}_i/\mathcal{U}_i$  the canonical quotients ( $i = 1, 2$ ). Assume a bi- $\partial$ -functor  $F = (F, \theta_1, \theta_2) : \mathcal{C}_1 \times \mathcal{C}_2 \rightarrow \mathcal{C}_3$  satisfies that*

- (a)  $F(\mathcal{U}_1, \mathcal{C}_2) = \{O\}$ .
- (b)  $F(\mathcal{C}_1, \mathcal{U}_2) = \{O\}$ .

*Then there exists a unique bi- $\partial$ -functor  $\overline{F} = (\overline{F}, \overline{\theta}_1, \overline{\theta}_2) : \mathcal{C}_1/\mathcal{U}_1 \times \mathcal{C}_2/\mathcal{U}_2 \rightarrow \mathcal{C}_3$  such that  $F = \overline{F}(Q_1 \times Q_2)$  and  $\theta_i = \overline{\theta}_i(Q_1 \times Q_2)$  ( $i = 1, 2$ ).*

$$\begin{array}{ccc} \mathcal{C}_1 \times \mathcal{C}_2 & & \\ Q_1 \times Q_2 \downarrow & \searrow F & \\ \mathcal{C}_1/\mathcal{U}_1 \times \mathcal{C}_2/\mathcal{U}_2 & \xrightarrow{\overline{F}} & \mathcal{C}_3 \end{array}$$

*Sketch.* We define the functor  $\overline{F} : \mathcal{C}_1/\mathcal{U}_1 \times \mathcal{C}_2/\mathcal{U}_2 \rightarrow \mathcal{C}_3$  as follows. For  $X_i \in \mathcal{C}_i$ , let  $\overline{F}(Q_1 X_1, Q_2 X_2) = F(X_1, X_2)$ . For  $[(f_i, s_i)] : X_i \rightarrow Y_i$  in  $\mathcal{C}_i/\mathcal{U}_i$ , let  $\overline{F}([(f_1, s_1)], Q_2 X_2) = F(s_1, X_2)^{-1} F(f_1, X_2)$ ,  $\overline{F}(Q_1 X_1, [(f_2, s_2)]) = F(X_1, s_2)^{-1} F(X_1, f_2)$ . Let  $T_i, \overline{T}_i$  are translations of  $\mathcal{C}_i, \mathcal{C}_i/\mathcal{U}_i$ , respectively ( $i = 1, 2, 3$ ). Then we define

$$\begin{array}{ccc} \overline{F}(\overline{T}_1 Q_1 X_1, Q_2 X_2) & \equiv & \overline{F}(Q_1 T_1 X_1, Q_2 X_2) \equiv F(T_1 X_1, X_2) \\ & \searrow \overline{\theta}_1(Q_1 X_1, Q_2 X_2) & \downarrow \theta_1(X_1, X_2) \\ & & T_3 \overline{F}(Q_1 X_1, Q_2 X_2) \equiv T_3 F(X_1, X_2) \\ \\ \overline{F}(Q_1 X_1, \overline{T}_2 Q_2 X_2) & \equiv & \overline{F}(Q_1 X_1, Q_2 T_2 X_2) \equiv F(X_1, T_2 X_2) \\ & \searrow \overline{\theta}_2(Q_1 X_1, Q_2 X_2) & \downarrow \theta_2(X_1, X_2) \\ & & T_3 \overline{F}(Q_1 X_1, Q_2 X_2) \equiv T_3 F(X_1, X_2) \end{array}$$

Then it is not hard to see that  $\overline{F}$  satisfies the assertions (left to the reader).  $\square$

**Proposition 14.3.** *Let  $\mathcal{C}_1, \mathcal{C}_2$  and  $\mathcal{C}_3$  be triangulated categories,  $\mathcal{U}_i$  épaisse subcategories of  $\mathcal{C}_i$ , and  $Q_i : \mathcal{U}_i \rightarrow \mathcal{C}_i/\mathcal{U}_i$  the canonical quotients ( $i = 1, 2$ ). For bi- $\partial$ -functors  $F = (F, \theta_1, \theta_2), G = (G, \eta_1, \eta_2) : \mathcal{C}_1/\mathcal{U}_1 \times \mathcal{C}_2/\mathcal{U}_2 \rightarrow \mathcal{C}_3$ , we have a bijective correspondence*

$$\partial^2 \text{Mor}(F, G) \xrightarrow{\sim} \partial^2 \text{Mor}(F(Q_1 \times Q_2), G(Q_1 \times Q_2)), \quad (\zeta \mapsto \zeta(Q_1 \times Q_2)).$$

**Definition 14.4** (Right Derived Functor). Let  $\mathcal{A}_i$  be abelian categories, and let  $\mathbf{K}^{*i}(\mathcal{A}_i)$  be a quotientizing subcategory of  $\mathbf{K}(\mathcal{A}_i)$  and  $F : \mathbf{K}^{*1}(\mathcal{A}_1) \times \mathbf{K}^{*2}(\mathcal{A}_2) \rightarrow \mathbf{K}(\mathcal{A}_3)$  a bi- $\partial$ -functor ( $i = 1, 2, 3$ ). The *right derived functor* of  $F$  is a bi- $\partial$ -functor

$$\mathbf{R}^{*1, *2} F : \mathbf{D}^{*1}(\mathcal{A}_1) \times \mathbf{D}^{*2}(\mathcal{A}_2) \rightarrow \mathbf{D}(\mathcal{A}_3)$$

together with a functorial morphism of bi- $\partial$ -functors

$$\xi \in \partial^2 \text{Mor}(Q_{\mathcal{A}_3} F, \mathbf{R}^{*1, *2} F(Q_{\mathcal{A}_1}^* \times Q_{\mathcal{A}_2}^*))$$

with the following property:

For  $G \in \partial^2(\mathbf{D}^{*1}(\mathcal{A}_1) \times \mathbf{D}^{*2}(\mathcal{A}_2), \mathbf{D}(\mathcal{A}_3))$  and  $\zeta \in \partial^2 \text{Mor}(Q_{\mathcal{A}_3} F, G(Q_{\mathcal{A}_1}^* \times Q_{\mathcal{A}_2}^*))$ , there exists a unique morphism  $\eta \in \partial^2 \text{Mor}(\mathbf{R}^{*1, *2} F, G)$  such that

$$\zeta = (\eta(Q_{\mathcal{A}_1}^* \times Q_{\mathcal{A}_2}^*))\xi.$$

In other words,

$$\partial^2 \text{Mor}(Q_{\mathcal{A}_3}F, -(Q_{\mathcal{A}_1}^{*1} \times Q_{\mathcal{A}_2}^{*2})) \cong \partial^2 \text{Mor}(\mathbf{R}^{*1,*2}F, -)$$

as functors from  $\partial^2(\mathbf{D}^{*1}(\mathcal{A}_1) \times \mathbf{D}^{*2}(\mathcal{A}_2), \mathbf{D}(\mathcal{A}_3))$  to  $\mathfrak{Set}$  (See Lemma 1.8).

**Theorem 14.5** (Existence Theorem). *Let  $\mathcal{A}_i$  be abelian categories ( $i = 1, 2, 3$ ), and let  $\mathbf{K}^{*i}(\mathcal{A}_i)$  be a quotientizing subcategory of  $\mathbf{K}(\mathcal{A}_i)$  and  $F : \mathbf{K}^{*1}(\mathcal{A}_1) \times \mathbf{K}^{*2}(\mathcal{A}_2) \rightarrow \mathbf{K}(\mathcal{A}_3)$  a bi- $\partial$ -functor. Assume there exist triangulated full subcategories  $\mathcal{L}_i$  of  $\mathbf{K}^{*i}(\mathcal{A}_i)$  ( $i = 1, 2$ ) such that*

- (a) *for any  $X_i \in \mathbf{K}^{*i}(\mathcal{A}_i)$  there is a quasi-isomorphism  $X_i \rightarrow I_i$  with  $I_i \in \mathcal{L}_i$ ,*
- (b)  $Q_{\mathcal{A}_3}F(\mathcal{L}_1^\phi, \mathcal{L}_2) = \{O\}$ ,
- (c)  $Q_{\mathcal{A}_3}F(\mathcal{L}_1, \mathcal{L}_2^\phi) = \{O\}$ ,

where  $\mathcal{L}_i^\phi = \mathbf{K}^\phi(\mathcal{A}_i) \cap \mathcal{L}_i$  ( $i = 1, 2$ ). Then there exists the right derived functor  $(\mathbf{R}^{*1,*2}F, \xi)$  such that  $\xi_{(I_1, I_2)} : Q_{\mathcal{A}_3}F(I_1, I_2) \rightarrow \mathbf{R}^*F(I_1, I_2)$  is a quasi-isomorphism for  $(I_1, I_2) \in \mathcal{L}_1 \times \mathcal{L}_2$ .

*Proof.* Let  $Q_i : \mathbf{K}^{*i}(\mathcal{A}_i) \rightarrow \mathbf{D}^{*i}(\mathcal{A}_i)$  be the canonical quotients, and let  $E_i : \mathcal{L}_i \rightarrow \mathbf{K}^{*i}(\mathcal{A}_i)$  be the embedding functors, then by the assumption 1 and Proposition 7.16 the canonical functor  $\overline{E}_i : \mathcal{L}_i/\mathcal{L}_i^\phi \rightarrow \mathbf{D}^{*i}(\mathcal{A}_i)$  are equivalences ( $i = 1, 2$ ). Let  $J_i : \mathbf{D}^{*i}(\mathcal{A}_i) \rightarrow \mathcal{L}_i/\mathcal{L}_i^\phi$  be quasi-inverses of  $\overline{E}_i$  ( $i = 1, 2$ ). By the assumption 2, 3 and Proposition 14.2 there is a bi- $\partial$ -functor

$$\overline{F} : \mathcal{L}_1/\mathcal{L}_1^\phi \times \mathcal{L}_2/\mathcal{L}_2^\phi \rightarrow \mathbf{D}(\mathcal{A}_3)$$

such that  $Q_3F(E_1 \times E_2) = \overline{F}(Q'_1 \times Q'_2)$ , where  $Q'_i : \mathcal{L}_i \rightarrow \mathcal{L}_i/\mathcal{L}_i^\phi$  are the canonical quotients. Put  $\mathbf{R}^{*1,*2}F = \overline{F}(J_1 \times J_2)$ . Since  $(Q_1E_1 \times Q_2E_2) = (\overline{E}_1Q'_1 \times \overline{E}_2Q'_2)$ , we have

$$\begin{aligned} & \partial^2 \text{Mor}(Q_3F(E_1 \times E_2), G(Q_1E_1 \times Q_2E_2)) \\ & \cong \partial^2 \text{Mor}(\overline{F}(Q'_1 \times Q'_2), G(\overline{E}_1Q'_1 \times \overline{E}_2Q'_2)) \\ & \cong \partial^2 \text{Mor}(\overline{F}(J_1E_1 \times J_2E_2), G(\overline{E}_1 \times \overline{E}_2)) \\ & \cong \partial^2 \text{Mor}(\overline{F}(J_1 \times J_2), G) \\ & = \partial^2 \text{Mor}(\mathbf{R}^{*1,*2}F, G) \end{aligned}$$

It remains to show that

$$\begin{aligned} \partial^2 \text{Mor}(Q_3F, G(Q_1 \times Q_2)) & \xrightarrow{\sim} \partial^2 \text{Mor}(Q_3F(E_1 \times E_2), G(Q_1E_1 \times Q_2E_2)), \\ & (\phi \mapsto \phi(E_1 \times E_2)). \end{aligned}$$

Let  $\phi \in \partial^2 \text{Mor}(Q_3F, G(Q_1 \times Q_2))$  with  $\phi(E_1 \times E_2) = 0$ . For any  $X_i \in \mathbf{K}^{*i}(\mathcal{A}_i)$  there exists  $I_i \in \mathcal{L}_i$  which has a quasi-isomorphism  $s_i : X_i \rightarrow I_i$  ( $i = 1, 2$ ). Then

$$\begin{aligned} \phi_{(X_1, X_2)} & = G(Q_1s_1, Q_2s_2)^{-1} \phi_{(I_1, I_2)} Q_3F(s_1, s_2) \\ & = 0, \end{aligned}$$

and hence  $\phi = 0$ . Given  $\psi \in \partial^2 \text{Mor}(Q_3F(E_1 \times E_2), G(Q_1E_1 \times Q_2E_2))$ , for any  $X_i \in \mathbf{K}^{*i}(\mathcal{A}_i)$ , let

$$\phi_{(X_1, X_2)} = (G(Q_1s_1, Q_2s_2))^{-1} \psi_{(I_1, I_2)} Q_3F(s_1, s_2)$$

for some quasi-isomorphism  $s_i : X_i \rightarrow I_i$ , with  $I_i \in \mathcal{L}_i$  ( $i = 1, 2$ ). For another quasi-isomorphism  $s'_i : X_i \rightarrow I'_i$ , by the assumptions 1, we have commutative



diagrams

$$\begin{array}{ccc} X_i & \xrightarrow{s_i} & I_i \\ s'_i \downarrow & & \downarrow t'_i \\ I'_i & \xrightarrow{t_i} & I''_i \end{array}$$

where all morphisms are quasi-isomorphisms and  $I''_i \in \mathcal{L}_i$  ( $i = 1, 2$ ). Then we have

$$\begin{aligned} & (G(Q_1 s_1, Q_2 s_2))^{-1} \psi_{(I_1, I_2)} Q_3 F(s_1, s_2) \\ &= (G(Q_1 t'_1 s_1, Q_2 t'_2 s_2))^{-1} \psi_{(I''_1, I''_2)} Q_3 F(t'_1 s_1, t'_2 s_2) \\ &= (G(Q_1 t_1 s'_1, Q_2 t_2 s'_2))^{-1} \psi_{(I''_1, I''_2)} Q_3 F(t_1 s'_1, t_2 s'_2) \\ &= (G(Q_1 s'_1, Q_2 s'_2))^{-1} \psi_{(I'_1, I'_2)} Q_3 F(s'_1, s'_2) \end{aligned}$$

It is not hard to see that  $\phi \in \partial^2 \text{Mor}(Q_3 F, G(Q_1 \times Q_2))$ . The last assertion is easy to check.  $\square$

**Proposition 14.6.** *Let  $\mathcal{A}_i$  be abelian categories ( $i = 1, 2, 3$ ), and let  $\mathcal{K}^{*i}(\mathcal{A}_i)$  be a quotientizing subcategory of  $\mathcal{K}(\mathcal{A}_i)$  and  $F : \mathcal{K}^{*1}(\mathcal{A}_1) \times \mathcal{K}^{*2}(\mathcal{A}_2) \rightarrow \mathcal{K}(\mathcal{A}_3)$  a bi- $\partial$ -functor. Assume there exists a triangulated full subcategories  $\mathcal{L}_i$  of  $\mathcal{K}^{*i}(\mathcal{A}_i)$  ( $i = 1, 2$ ) such that*

- (a) for any  $X_i \in \mathcal{K}^{*i}(\mathcal{A}_i)$  there is a quasi-isomorphism  $X_i \rightarrow I_i$  with  $I_i \in \mathcal{L}_i$ ,
- (b)  $Q_{\mathcal{A}_3} F(\mathcal{L}_1^\phi, \mathcal{K}^{*2}(\mathcal{A}_2)) = \{O\}$ ,
- (c)  $Q_{\mathcal{A}_3} F(\mathcal{L}_1, \mathcal{L}_2^\phi) = \{O\}$ ,

where  $\mathcal{L}_i^\phi = \mathcal{K}^\phi(\mathcal{A}_i) \cap \mathcal{L}_i$  ( $i = 1, 2$ ). Then we have

1. There is a bi- $\partial$ -functor  $\mathbf{R}_I^{*1, *2} F : \mathcal{D}^{*1}(\mathcal{A}_1) \times \mathcal{K}^{*2}(\mathcal{A}_2) \rightarrow \mathcal{D}(\mathcal{A}_3)$  such that

$$\partial^2 \text{Mor}(Q_{\mathcal{A}_3} F, -(Q_{\mathcal{A}_1}^{*1} \times \mathbf{1}_{\mathcal{K}^{*2}(\mathcal{A}_2)})) \cong \partial^2 \text{Mor}(\mathbf{R}_I^{*1, *2} F, -),$$

and  $\mathbf{R}_I^{*1, *2} F(-, X_2)$  is the right derived functor of  $F(-, X_2)$  for any  $X_2 \in \mathcal{K}^{*2}(\mathcal{A}_2)$ .

2. There is a bi- $\partial$ -functor  $\mathbf{R}_{II}^{*1, *2} \mathbf{R}_I^{*1, *2} F : \mathcal{D}^{*1}(\mathcal{A}_1) \times \mathcal{D}^{*2}(\mathcal{A}_2) \rightarrow \mathcal{D}(\mathcal{A}_3)$  such that

$$\partial^2 \text{Mor}(\mathbf{R}_I^{*1, *2} F, -(\mathbf{1}_{\mathcal{D}^{*1}(\mathcal{A}_1)} \times Q_{\mathcal{A}_2}^{*2})) \cong \partial^2 \text{Mor}(\mathbf{R}_{II}^{*1, *2} \mathbf{R}_I^{*1, *2} F, -),$$

and

$$\partial \text{Mor}(\mathbf{R}_I^{*1, *2} F(X_1, ?), -Q_{\mathcal{A}_2}^{*2}) \cong \partial \text{Mor}(\mathbf{R}_{II}^{*1, *2} \mathbf{R}_I^{*1, *2} F(X_1, ?), -)$$

for any  $X_1 \in \mathcal{K}^{*1}(\mathcal{A}_1)$ .

3. We have an isomorphism

$$\partial^2 \text{Mor}(Q_{\mathcal{A}_3} F, -(Q_{\mathcal{A}_1}^{*1} \times Q_{\mathcal{A}_2}^{*2})) \cong \partial^2 \text{Mor}(\mathbf{R}_{II}^{*1, *2} \mathbf{R}_I^{*1, *2} F, -).$$

In particular,  $\mathbf{R}_{II}^{*1, *2} \mathbf{R}_I^{*1, *2} F \cong \mathbf{R}^{*1, *2} F$ .

*Proof.* According to the construction of the right derived functor of a bi- $\partial$ -functor in the proof of Theorems 14.5, 12.5, it is easy (left to the reader).  $\square$

**Corollary 14.7.** *Let  $\mathcal{A}_i$  be abelian categories ( $i = 1, 2, 3$ ), and let  $\mathcal{K}^{*i}(\mathcal{A}_i)$  be a quotientizing subcategory of  $\mathcal{K}(\mathcal{A}_i)$  and  $F : \mathcal{K}^{*1}(\mathcal{A}_1) \times \mathcal{K}^{*2}(\mathcal{A}_2) \rightarrow \mathcal{K}(\mathcal{A}_3)$  a bi- $\partial$ -functor. Assume there exists a triangulated full subcategories  $\mathcal{L}_i$  of  $\mathcal{K}^{*i}(\mathcal{A}_i)$  ( $i = 1, 2$ ) such that*

- (a) for any  $X_i \in \mathcal{K}^{*i}(\mathcal{A}_i)$  there is a quasi-isomorphism  $X_i \rightarrow I_i$  with  $I_i \in \mathcal{L}_i$ ,

$$(b) Q_{\mathcal{A}_3} F(\mathcal{L}_1^\phi, \mathbf{K}^{*2}(\mathcal{A}_2)) = \{O\},$$

$$(c) Q_{\mathcal{A}_3} F(\mathbf{K}^{*1}(\mathcal{A}_1), \mathcal{L}_2^\phi) = \{O\},$$

where  $\mathcal{L}_i^\phi = \mathbf{K}^\phi(\mathcal{A}_i) \cap \mathcal{L}_i$  ( $i = 1, 2$ ). Then we have

1. There is a bi- $\partial$ -functor  $\mathbf{R}_I^{*1,*2} F : \mathbf{D}^{*1}(\mathcal{A}_1) \times \mathbf{K}^{*2}(\mathcal{A}_2) \rightarrow \mathbf{D}(\mathcal{A}_3)$  such that

$$\partial^2 \text{Mor}(Q_{\mathcal{A}_3} F, -(Q_{\mathcal{A}_1}^{*1} \times \mathbf{1}_{\mathbf{K}^{*2}(\mathcal{A}_2)})) \cong \partial^2 \text{Mor}(\mathbf{R}_I^{*1,*2} F, -),$$

and  $\mathbf{R}_I^{*1,*2} F(-, X_2)$  is the right derived functor of  $F(-, X_2)$  for any  $X_2 \in \mathbf{K}^{*2}(\mathcal{A}_2)$ .

2. There is a bi- $\partial$ -functor  $\mathbf{R}_{II}^{*1,*2} F : \mathbf{K}^{*1}(\mathcal{A}_1) \times \mathbf{D}^{*2}(\mathcal{A}_2) \rightarrow \mathbf{D}(\mathcal{A}_3)$  such that

$$\partial^2 \text{Mor}(Q_{\mathcal{A}_3} F, -(\mathbf{1}_{\mathbf{K}^{*1}(\mathcal{A}_1)} \times Q_{\mathcal{A}_2}^{*2})) \cong \partial^2 \text{Mor}(\mathbf{R}_{II}^{*1,*2} F, -),$$

and  $\mathbf{R}_{II}^{*1,*2} F(X_1, -)$  is the right derived functor of  $F(X_1, -)$  for any  $X_1 \in \mathbf{K}^{*1}(\mathcal{A}_1)$ .

3. We have an isomorphism

$$\mathbf{R}^{*1,*2} F \cong \mathbf{R}_{II}^{*1,*2} \mathbf{R}_I^{*1,*2} F \cong \mathbf{R}_I^{*1,*2} \mathbf{R}_{II}^{*1,*2} F.$$

**Definition 14.8** ( $\text{Hom}_{\mathcal{A}}^\bullet$ ). For a complexes  $X^\bullet, Y^\bullet \in \mathbf{C}(\mathcal{A})$ , we define the double complex  $\text{Hom}_{\mathcal{A}}^\bullet(X^\bullet, Y^\bullet)$  by

$$\begin{aligned} \text{Hom}_{\mathcal{A}}^{p,q}(X^\bullet, Y^\bullet) &= \text{Hom}_{\mathcal{A}}(X^{-p}, Y^q) \\ d_I^{p,q}{}_{\text{Hom}_{\mathcal{A}}^\bullet(X^\bullet, Y^\bullet)} &= \text{Hom}_{\mathcal{A}}^{p,q}(d_X^{-p-1}, Y^q) \\ d_{II}^{p,q}{}_{\text{Hom}_{\mathcal{A}}^\bullet(X^\bullet, Y^\bullet)} &= (-1)^{p+q+1} \text{Hom}_{\mathcal{A}}^{p,q}(X^{-p}, d_Y^q), \end{aligned}$$

and define the complex  $\text{Hom}_{\mathcal{A}}^\bullet(X^\bullet, Y^\bullet)$  by

$$\widehat{\text{Tot Hom}_{\mathcal{A}}^\bullet(X^\bullet, Y^\bullet)}.$$

Then it is easy to see that

$$\text{Hom}_{\mathcal{A}}^\bullet : \mathbf{C}(\mathcal{A})^{\text{op}} \times \mathbf{C}(\mathcal{A}) \rightarrow \mathbf{C}(\mathfrak{Ab})$$

is a bifunctor.

**Lemma 14.9.** For complexes  $X^\bullet, Y^\bullet \in \mathbf{C}(\mathcal{A})$ , we have an isomorphism

$$\mathbf{H}^n \text{Hom}_{\mathcal{A}}^\bullet(X^\bullet, Y^\bullet) \cong \text{Hom}_{\mathbf{K}(\mathcal{A})}(X^\bullet, T^n Y^\bullet).$$

*Proof.* By the definition, for  $(u^{p,q})_{p+q=r} \in \text{Hom}_{\mathcal{A}}^r(X^\bullet, Y^\bullet)$  we have

$$\begin{aligned} d_{\text{Hom}_{\mathcal{A}}^\bullet(X, Y)}^r((u^{p,q})_{p+q=r}) \\ = (u^{p-1,q} d_X^{-p} + (-1)^{p+q+1} d_Y^q u^{p,q-1})_{p+q=r} \in \text{Hom}_{\mathcal{A}}^{r+1}(X^\bullet, Y^\bullet). \end{aligned}$$

Put  $u = f, i = -p, r = n$ , then  $f^{-i, i+n} : X^i \rightarrow Y^{i+n}$  for all  $i$  and we have

$$\begin{aligned} d_{\text{Hom}_{\mathcal{A}}^\bullet(X, Y)}^n((f^{-i, i+n})_{i \in \mathbb{Z}}) \\ = (f^{-i-1, i+1+n} d_X^i - (-1)^n d_Y^{i+n} f^{-i, i+n})_{i \in \mathbb{Z}}. \end{aligned}$$

Then it is easy to see that  $\text{Ker } d_{\text{Hom}_{\mathcal{A}}^\bullet(X, Y)}^n = \text{Hom}_{\mathbf{C}(\mathcal{A})}(X^\bullet, T^n Y^\bullet)$ . Put  $u = h, i = -p, r = n-1$ , then  $h^{-i, i+n-1} : X^i \rightarrow Y^{i+n-1}$  for all  $i$  and we have

$$\begin{aligned} d_{\text{Hom}_{\mathcal{A}}^\bullet(X, Y)}^{n-1}((h^{-i, i+n-1})_{i \in \mathbb{Z}}) \\ = (h^{-i-1, i+n} d_X^i + (-1)^n d_Y^{i+n} h^{-i, i+n-1})_{i \in \mathbb{Z}}. \end{aligned}$$

Then this means  $\text{Im } d_{\text{Hom}_{\mathcal{A}}^\bullet(X, Y)}^{n-1} = \text{Htp}_{\mathbf{C}(\mathcal{A})}(X^\bullet, T^n Y^\bullet)$ .  $\square$

**Lemma 14.10.** For a complexes  $X^\bullet, Y^\bullet \in \mathbf{C}(\mathcal{A})$ , the following hold.

1.  $d_{\text{Hom}_{\mathcal{A}}(X^{\bullet}, TY^{\bullet})} = -Td_{\text{Hom}_{\mathcal{A}}(X^{\bullet}, Y^{\bullet})}$
2.  $d_{\text{Hom}_{\mathcal{A}}(T^{-1}X^{\bullet}, Y^{\bullet})} = Td_{\text{Hom}_{\mathcal{A}}(X^{\bullet}, Y^{\bullet})}$
3. Define  $\theta_1^{p,q} : \text{Hom}_{\mathcal{A}}^{p,q}(T^{-1}X^{\bullet}, Y^{\bullet}) \rightarrow \text{Hom}_{\mathcal{A}}^{p-1,q}(X^{\bullet}, Y^{\bullet})$  by the identities, then we have an isomorphism

$$\theta_1 : \text{Hom}_{\mathcal{A}} \circ (T^{-1} \times \mathbf{1}_{\mathcal{C}(\mathcal{A})}) \xrightarrow{\sim} T \circ \text{Hom}_{\mathcal{A}}.$$

4. Define  $\theta_2^{p,q} : \text{Hom}_{\mathcal{A}}^{p,q}(X^{\bullet}, TY^{\bullet}) \rightarrow \text{Hom}_{\mathcal{A}}^{p,q+1}(X^{\bullet}, Y^{\bullet})$  by  $(-1)^{p+q}$ , then we have an isomorphism

$$\theta_2 : \text{Hom}_{\mathcal{A}} \circ (\mathbf{1}_{\mathcal{C}(\mathcal{A})} \times T) \xrightarrow{\sim} T \circ \text{Hom}_{\mathcal{A}}.$$

**Lemma 14.11.** For a morphism  $u : X^{\bullet} \rightarrow Y^{\bullet}$  in  $\mathcal{C}(\mathcal{A})$  and  $N^{\bullet} \in \mathcal{C}(\mathcal{A})$ , the following hold.

1.  $\text{Hom}_{\mathcal{A}}(N^{\bullet}, M^{\bullet}(u)) \cong M^{\bullet}(\text{Hom}_{\mathcal{A}}(N^{\bullet}, u))$ .
2.  $\text{Hom}_{\mathcal{A}}(M^{\bullet}(u), N^{\bullet}) \cong T^{-1} M^{\bullet}(\text{Hom}_{\mathcal{A}}(u, N^{\bullet}))$ .

*Proof.* 1. The double complex  $\text{Hom}_{\mathcal{A}}^{\bullet}(N^{\bullet}, M^{\bullet}(u))$  has the following form

$$\begin{aligned} \text{Hom}_{\mathcal{A}}^{p,q}(N^{\bullet}, M^{\bullet}(u)) &= \text{Hom}_{\mathcal{A}}(M^{-p}, X^{q+1} \oplus Y^q) \\ d_{\text{I Hom}_{\mathcal{A}}^{\bullet}(N^{\bullet}, M^{\bullet}(u))}^{p,q} &= \begin{bmatrix} \text{Hom}(d_M^{-(p+1)}, X) & 0 \\ 0 & \text{Hom}(d_M^{-(p+1)}, Y) \end{bmatrix} \\ d_{\text{II Hom}_{\mathcal{A}}^{\bullet}(N^{\bullet}, M^{\bullet}(u))}^{p,q} &= \begin{bmatrix} (-1)^{p+q+2} \text{Hom}(M, d_X^{q+1}) & 0 \\ (-1)^{p+q+1} \text{Hom}(M, u^q) & (-1)^{p+q+1} \text{Hom}(M, d_Y^q) \end{bmatrix} \end{aligned}$$

On the other hand, the double complex  $M_{\text{II}}^{\bullet}(\text{Hom}_{\mathcal{A}}^{\bullet}(N^{\bullet}, u))$  has the following form

$$\begin{aligned} M_{\text{II}}^{p,q}(\text{Hom}_{\mathcal{A}}^{\bullet}(N^{\bullet}, u)) &= \text{Hom}_{\mathcal{A}}(M^{-p}, X^{q+1} \oplus Y^q) \\ d_{\text{I } M_{\text{II}}^{\bullet}(\text{Hom}_{\mathcal{A}}^{\bullet}(N^{\bullet}, u))}^{p,q} &= \begin{bmatrix} -\text{Hom}(d_M^{-(p+1)}, X) & 0 \\ 0 & \text{Hom}(d_M^{-(p+1)}, Y) \end{bmatrix} \\ d_{\text{II } M_{\text{II}}^{\bullet}(\text{Hom}_{\mathcal{A}}^{\bullet}(N^{\bullet}, u))}^{p,q} &= \begin{bmatrix} (-1)^{p+q+1} \text{Hom}(M, d_X^{q+1}) & 0 \\ \text{Hom}(M, u^q) & (-1)^{p+q+1} \text{Hom}(M, d_Y^q) \end{bmatrix} \end{aligned}$$

Then it is easy to see that morphisms  $\begin{bmatrix} (-1)^{p+q} & 0 \\ 0 & 1 \end{bmatrix} : M_{\text{II}}^{p,q}(\text{Hom}_{\mathcal{A}}^{\bullet}(N^{\bullet}, u)) \rightarrow$

$\text{Hom}_{\mathcal{A}}^{p,q}(N^{\bullet}, M^{\bullet}(u))$  induce an isomorphism between them in  $\mathcal{C}^2(\mathcal{A})$ . By Proposition 13.14, we get the statement.

2. The double complex  $\text{Hom}_{\mathcal{A}}^{\bullet}(M^{\bullet}(u), N^{\bullet})$  has the following form

$$\begin{aligned} \text{Hom}_{\mathcal{A}}^{p,q}(M^{\bullet}(u), N^{\bullet}) &= \text{Hom}_{\mathcal{A}}(X^{-p+1} \oplus Y^{-p}, M^q) \\ d_{\text{I Hom}_{\mathcal{A}}^{\bullet}(M^{\bullet}(u), N^{\bullet})}^{p,q} &= \begin{bmatrix} -\text{Hom}(d_X^{-p}, M) & 0 \\ \text{Hom}(u^p, M) & \text{Hom}(d_Y^{-p-1}, M) \end{bmatrix} \\ d_{\text{II Hom}_{\mathcal{A}}^{\bullet}(M^{\bullet}(u), N^{\bullet})}^{p,q} &= \begin{bmatrix} (-1)^{p+q+1} \text{Hom}(X, d_M^q) & 0 \\ 0 & (-1)^{p+q+1} \text{Hom}(X, d_M^q) \end{bmatrix} \end{aligned}$$

On the other hand, the double complex  $T_I^{-1} M_I^{\bullet}(\text{Hom}_{\mathcal{A}}^{\bullet}(u, N^{\bullet}))$  has the following form

$$\begin{aligned} (T_I^{-1} M_I^{\bullet}(\text{Hom}_{\mathcal{A}}^{\bullet}(u, N^{\bullet})))^{p,q} &= \text{Hom}_{\mathcal{A}}(X^{-p+1} \oplus Y^{-p}, M^q) \\ d_{\text{I } T_I^{-1} M_I^{\bullet}(\text{Hom}_{\mathcal{A}}^{\bullet}(u, N^{\bullet}))}^{p,q} &= \begin{bmatrix} \text{Hom}(d_X^{-p}, M) & 0 \\ -\text{Hom}(u^p, M) & -\text{Hom}(d_Y^{-p-1}, M) \end{bmatrix} \\ d_{\text{II } T_I^{-1} M_I^{\bullet}(\text{Hom}_{\mathcal{A}}^{\bullet}(u, N^{\bullet}))}^{p,q} &= \begin{bmatrix} (-1)^{p+q+1} \text{Hom}(X, d_M^q) & 0 \\ 0 & (-1)^{p+q+1} \text{Hom}(X, d_M^q) \end{bmatrix} \end{aligned}$$

Then it is easy to see that morphisms  $\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} : (T_I^{-1} M_I^{\bullet}(\text{Hom}_{\mathcal{A}}^{\bullet}(u, N^{\bullet})))^{p,q} \rightarrow$

$\text{Hom}_{\mathcal{A}}^{p,q}(M^{\bullet}(u), N^{\bullet})$  induce an isomorphism between them in  $\mathcal{C}^2(\mathcal{A})$ . By Lemma 13.6 and Proposition 13.14, we get the statement.  $\square$

**Proposition 14.12.** *The bi-functor  $\mathrm{Hom}_{\mathcal{A}}^{\bullet} : \mathcal{C}(\mathcal{A})^{\mathrm{op}} \times \mathcal{C}(\mathcal{A}) \rightarrow \mathcal{C}(\mathfrak{Ab})$  induces the bi- $\partial$ -functor*

$$\mathrm{Hom}_{\mathcal{A}}^{\bullet} : \mathcal{K}(\mathcal{A})^{\mathrm{op}} \times \mathcal{K}(\mathcal{A}) \rightarrow \mathcal{K}(\mathfrak{Ab}).$$

*Proof.* By Lemmas 14.10, 14.11 and Corollary 6.16, it is easy.  $\square$

**Proposition 14.13.** *Let  $F : \mathcal{A} \rightarrow \mathcal{A}'$ ,  $G : \mathcal{A}' \rightarrow \mathcal{A}$  be additive functors between abelian categories such that  $G \dashv F$ . Then we have a functorial isomorphism*

$$\mathrm{Hom}_{\mathcal{A}}^{\bullet}(GX^{\bullet}, Y^{\bullet}) \cong \mathrm{Hom}_{\mathcal{A}'}^{\bullet}(X^{\bullet}, FY^{\bullet}).$$

**Theorem 14.14.** *The bi- $\partial$ -functor  $\mathrm{Hom}_{\mathcal{A}}^{\bullet} : \mathcal{K}^{*1}(\mathcal{A})^{\mathrm{op}} \times \mathcal{K}^{*2}(\mathcal{A}) \rightarrow \mathcal{K}(\mathfrak{Ab})$  has the right derived functor  $\mathbf{R}^{*1,*2} \mathrm{Hom}_{\mathcal{A}}^{\bullet} : \mathrm{Hom}_{\mathcal{A}}^{\bullet} : \mathcal{D}^{*1}(\mathcal{A})^{\mathrm{op}} \times \mathcal{D}^{*2}(\mathcal{A}) \rightarrow \mathcal{D}(\mathfrak{Ab})$  if it satisfies the following.*

1. *If  $\mathcal{A}$  has enough projectives, then  $\mathbf{R}^{-,\infty} \mathrm{Hom}_{\mathcal{A}}^{\bullet}$  exists and  $\mathbf{R}^{-,\infty} \mathrm{Hom}_{\mathcal{A}}^{\bullet} \cong \mathbf{R}_{\mathrm{II}}^{-,\infty} \mathbf{R}_{\mathrm{I}}^{-,\infty} \mathrm{Hom}_{\mathcal{A}}^{\bullet}$*
2. *If  $\mathcal{A}$  has enough injectives, then  $\mathbf{R}^{\infty,+} \mathrm{Hom}_{\mathcal{A}}^{\bullet}$  exists and  $\mathbf{R}^{\infty,+} \mathrm{Hom}_{\mathcal{A}}^{\bullet} \cong \mathbf{R}_{\mathrm{I}}^{\infty,+} \mathbf{R}_{\mathrm{II}}^{\infty,+} \mathrm{Hom}_{\mathcal{A}}^{\bullet}$*
3. *If  $\mathcal{A}$  satisfies the condition  $\mathrm{Ab}_4$  with enough projectives, then  $\mathbf{R} \mathrm{Hom}_{\mathcal{A}}^{\bullet}$  exists and  $\mathbf{R} \mathrm{Hom}_{\mathcal{A}}^{\bullet} \cong \mathbf{R}_{\mathrm{II}} \mathbf{R}_{\mathrm{I}} \mathrm{Hom}_{\mathcal{A}}^{\bullet}$*
4. *If  $\mathcal{A}$  satisfies the condition  $\mathrm{Ab}_4^*$  with enough injectives, then  $\mathbf{R} \mathrm{Hom}_{\mathcal{A}}^{\bullet}$  exists and  $\mathbf{R} \mathrm{Hom}_{\mathcal{A}}^{\bullet} \cong \mathbf{R}_{\mathrm{I}} \mathbf{R}_{\mathrm{II}} \mathrm{Hom}_{\mathcal{A}}^{\bullet}$*
5. *If  $\mathcal{A}$  satisfies the conditions  $\mathrm{Ab}_4$  and  $\mathrm{Ab}_4^*$  with enough projectives and with enough injectives, then  $\mathbf{R} \mathrm{Hom}_{\mathcal{A}}^{\bullet} \cong \mathbf{R}_{\mathrm{II}} \mathbf{R}_{\mathrm{I}} \mathrm{Hom}_{\mathcal{A}}^{\bullet} \cong \mathbf{R}_{\mathrm{I}} \mathbf{R}_{\mathrm{II}} \mathrm{Hom}_{\mathcal{A}}^{\bullet}$*

Here  $\infty$  means “nothing”.

*Proof.* By Lemma 14.9, Corollary 10.9, Propositions 14.6, 14.7 can be applied.  $\square$

**Remark 14.15.** In the above 5, for complexes  $X^{\bullet}, Y^{\bullet} \in \mathcal{K}(\mathcal{A})$ , we take  $P^{\bullet} \in \mathcal{K}^s(\mathrm{Proj} \mathcal{A})$ ,  $I^{\bullet} \in \mathcal{K}^s(\mathrm{Inj} \mathcal{A})$  which have quasi-isomorphisms  $P^{\bullet} \rightarrow X^{\bullet}$ ,  $Y^{\bullet} \rightarrow I^{\bullet}$ . Then we have an isomorphism

$$\mathbf{R} \mathrm{Hom}_{\mathcal{A}}^{\bullet}(X^{\bullet}, Y^{\bullet}) \cong \mathrm{Hom}_{\mathcal{A}}^{\bullet}(P^{\bullet}, Y^{\bullet}) \cong \mathrm{Hom}_{\mathcal{A}}^{\bullet}(X^{\bullet}, I^{\bullet}) \cong \mathrm{Hom}_{\mathcal{A}}^{\bullet}(P^{\bullet}, I^{\bullet}).$$

**Corollary 14.16.** *Assume that  $\mathcal{A}$  satisfies one of the conditions of Theorem 14.14. For  $X^{\bullet} \in \mathcal{D}^{*1}(\mathcal{A})$ ,  $Y^{\bullet} \in \mathcal{D}^{*2}(\mathcal{A})$  and  $n \in \mathbb{Z}$ , we have an isomorphism*

$$\mathrm{H}^n \mathbf{R}^{*1,*2} \mathrm{Hom}_{\mathcal{A}}^{\bullet}(X^{\bullet}, Y^{\bullet}) \cong \mathrm{Hom}_{\mathcal{D}(\mathcal{A})}(X^{\bullet}, Y^{\bullet}[n]).$$

*Proof.* By Proposition 11.10 and Lemma 10.10, for  $X^{\bullet} \in \mathcal{D}^{*1}(\mathcal{A})$ ,  $Y^{\bullet} \in \mathcal{D}^{*2}(\mathcal{A})$ , we have either a quasi-isomorphism  $P^{\bullet} \rightarrow X^{\bullet}$  or a quasi-isomorphism  $Y^{\bullet} \rightarrow I^{\bullet}$  with  $P^{\bullet} \in \mathcal{K}^{*1}(\mathrm{Proj} \mathcal{A})$ ,  $I^{\bullet} \in \mathcal{K}^{*2}(\mathrm{Proj} \mathcal{A})$ . According to Corollary 10.9 and Proposition 11.12, we have one of isomorphisms

$$\begin{aligned} \mathrm{H}^n \mathbf{R}^{*1,*2} \mathrm{Hom}_{\mathcal{A}}^{\bullet}(X^{\bullet}, Y^{\bullet}) &\cong \mathrm{H}^n \mathrm{Hom}_{\mathcal{A}}^{\bullet}(P^{\bullet}, Y^{\bullet}) \\ &\cong \mathrm{Hom}_{\mathcal{K}(\mathcal{A})}(P^{\bullet}, Y^{\bullet}[n]) \\ &\cong \mathrm{Hom}_{\mathcal{D}(\mathcal{A})}(X^{\bullet}, Y^{\bullet}[n]), \end{aligned}$$

$$\begin{aligned} \mathrm{H}^n \mathbf{R}^{*1,*2} \mathrm{Hom}_{\mathcal{A}}^{\bullet}(X^{\bullet}, Y^{\bullet}) &\cong \mathrm{H}^n \mathrm{Hom}_{\mathcal{A}}^{\bullet}(X^{\bullet}, I^{\bullet}) \\ &\cong \mathrm{Hom}_{\mathcal{K}(\mathcal{A})}(X^{\bullet}, I^{\bullet}[n]) \\ &\cong \mathrm{Hom}_{\mathcal{D}(\mathcal{A})}(X^{\bullet}, Y^{\bullet}[n]). \end{aligned}$$

$\square$

**Definition 14.17.** For a complex  $X^\bullet$  of right  $A$ -modules and a complex  $Y^\bullet$  of left  $A$ -modules we define the double complex  $X^\bullet \overset{\circ}{\otimes}_A Y^\bullet$  by

$$\begin{aligned} X^\bullet \overset{p,q}{\otimes}_A Y^\bullet &= X^p \otimes_A Y^q \\ d_{\overset{p,q}{\otimes}_A Y^\bullet}^{p,q} &= d_X^p \otimes_A Y^q \\ d_{\overset{p,q}{\otimes}_A Y^\bullet}^{p,q} &= (-1)^{p+q} X^p \otimes_A d_Y^q \end{aligned}$$

and define the complex  $X^\bullet \overset{\circ}{\otimes}_A Y^\bullet$  by

$$\text{Tot} X^\bullet \overset{\circ}{\otimes}_A Y^\bullet.$$

Then it is easy to see that

$$\overset{\circ}{\otimes}_A : \mathbf{C}(\text{Mod } A) \times \mathbf{C}(\text{Mod } A^{\text{op}}) \rightarrow \mathbf{C}(\mathfrak{A}b)$$

is a bifunctor.

**Lemma 14.18.** For a complexes  $X^\bullet \in \mathbf{C}(\text{Mod } A), Y^\bullet \in \mathbf{C}(\text{Mod } A^{\text{op}})$ , the following hold.

1.  $d_{(TX^\bullet) \overset{\circ}{\otimes}_A Y^\bullet} = -Td_{X^\bullet \overset{\circ}{\otimes}_A Y^\bullet}$ .
2.  $d_{X^\bullet \overset{\circ}{\otimes}_A TY^\bullet} = Td_{X^\bullet \overset{\circ}{\otimes}_A Y^\bullet}$ .
3. Define  $\theta_1^{p,q} : (TX^\bullet) \overset{p,q}{\otimes}_A Y^\bullet \rightarrow X^\bullet \overset{p+1,q}{\otimes}_A Y^\bullet$  by the identities, then we have an isomorphism

$$\theta_1 : \overset{\circ}{\otimes}_A \circ (T \times \mathbf{1}_{\mathbf{C}(\text{Mod } A)}) \xrightarrow{\sim} T \circ \overset{\circ}{\otimes}_A.$$

4. Define  $\theta_2^{p,q} : X^\bullet \overset{p,q}{\otimes}_A TY^\bullet \rightarrow X^\bullet \overset{p,q+1}{\otimes}_A Y^\bullet$  by the  $(-1)^{p+q}$ , then we have an isomorphism

$$\theta_2 : \overset{\circ}{\otimes}_A \circ (\mathbf{1}_{\mathbf{C}(\text{Mod } A^{\text{op}})} \times T) \xrightarrow{\sim} T \circ \overset{\circ}{\otimes}_A.$$

**Lemma 14.19.** The following hold.

1. For a morphism  $u : X^\bullet \rightarrow Y^\bullet$  in  $\mathbf{C}(\text{Mod } A^{\text{op}})$  and  $N^\bullet \in \mathbf{C}(\text{Mod } A)$  we have  $N^\bullet \overset{\circ}{\otimes}_A M^\bullet(u) \cong M^\bullet(N^\bullet \overset{\circ}{\otimes}_A u)$ .
2. For a morphism  $u : X^\bullet \rightarrow Y^\bullet$  in  $\mathbf{C}(\text{Mod } A)$  and  $N^\bullet \in \mathbf{C}(\text{Mod } A^{\text{op}})$  we have  $M^\bullet(u) \overset{\circ}{\otimes}_A N^\bullet \cong M^\bullet(u \overset{\circ}{\otimes}_A N^\bullet)$ .

**Proposition 14.20.** The bi-functor  $\overset{\circ}{\otimes}_A : \mathbf{C}(\text{Mod } A) \times \mathbf{C}(\text{Mod } A^{\text{op}}) \rightarrow \mathbf{C}(\mathfrak{A}b)$  induces the bi- $\partial$ -functor

$$\overset{\circ}{\otimes}_A : \mathbf{K}(\text{Mod } A) \times \mathbf{K}(\text{Mod } A^{\text{op}}) \rightarrow \mathbf{K}(\mathfrak{A}b).$$

**Lemma 14.21.** Let  $D_{\mathbb{Z}} = \text{Hom}_{\mathbb{Z}}(-, \mathbb{Q}/\mathbb{Z}) : \text{Mod } A \rightarrow \text{Mod } A^{\text{op}}$  (resp.,  $D_{\mathbb{Z}} = \text{Hom}_{\mathbb{Z}}(-, \mathbb{Q}/\mathbb{Z}) : \text{Mod } A^{\text{op}} \rightarrow \text{Mod } A$ ). Then the following hold.

1. For an sequence  $X \rightarrow Y \rightarrow Z$  of  $A$ -modules,  $X \rightarrow Y \rightarrow Z$  is exact if and only if  $D_{\mathbb{Z}}Z \rightarrow D_{\mathbb{Z}}Y \rightarrow D_{\mathbb{Z}}X$  is exact.
2. For an  $A$ -module  $M$ ,  $M$  is a flat  $A$ -module if and only if  $D_{\mathbb{Z}}M$  is an injective  $A$ -module.

**Theorem 14.22.** *The bi- $\partial$ -functor  $\dot{\otimes}_A : \mathbf{K}(\text{Mod } A) \times \mathbf{K}(\text{Mod } A^{\text{op}}) \rightarrow \mathbf{K}(\mathfrak{Ab})$  has the left derived functor*

$$\dot{\otimes}_A^L : \mathbf{D}(\text{Mod } A) \times \mathbf{D}(\text{Mod } A^{\text{op}}) \rightarrow \mathbf{D}(\mathfrak{Ab}).$$

*Proof.* For  $X^\bullet \in \mathbf{K}(\text{Mod } A)$ ,  $Y^\bullet \in \mathbf{K}(\text{Mod } A^{\text{op}})$ , we have isomorphisms (see Proposition 15.4)

$$\begin{aligned} D_{\mathbb{Z}}(X^\bullet \dot{\otimes}_A Y^\bullet) &= D_{\mathbb{Z}}(\text{Tot } X^\bullet \ddot{\otimes}_A Y^\bullet) \\ &\cong \hat{\text{Tot}} D_{\mathbb{Z}}(X^\bullet \ddot{\otimes}_A Y^\bullet) \\ &\cong \hat{\text{Tot}} \text{Hom}_{A^{\text{op}}}^\ddot{\otimes}(X^\bullet, D_{\mathbb{Z}} Y^\bullet) \\ &\cong \text{Hom}_{A^{\text{op}}}^\ddot{\otimes}(X^\bullet, D_{\mathbb{Z}} Y^\bullet). \end{aligned}$$

By Lemma 14.9, we can apply Proposition 11.12 to the above isomorphism. Then by Lemma 14.21, for  $P^\bullet \in \mathbf{K}^s(\text{Proj } A)$  and  $Y^\bullet \in \mathbf{K}(\text{Mod } A^{\text{op}})$ ,  $P^\bullet \dot{\otimes}_A Y^\bullet$  are acyclic either if  $P^\bullet$  is acyclic or if  $Y^\bullet$  is acyclic. According to the left derived version of Theorem 12.5, we complete the proof.  $\square$

**Remark 14.23.** In the above, for complexes  $X^\bullet \in \mathbf{K}(\text{Mod } A)$ ,  $Y^\bullet \in \mathbf{K}(\text{Mod } A^{\text{op}})$ , we take  $P^\bullet \in \mathbf{K}^s(\text{Proj } A)$ ,  $Q^\bullet \in \mathbf{K}^s(\text{Proj } A^{\text{op}})$  which have quasi-isomorphisms  $P^\bullet \rightarrow X^\bullet$ ,  $Q^\bullet \rightarrow Y^\bullet$ . Then we have an isomorphisms

$$X^\bullet \dot{\otimes}_A^L Y^\bullet \cong P^\bullet \dot{\otimes}_A Y^\bullet \cong X^\bullet \dot{\otimes}_A Q^\bullet \cong P^\bullet \dot{\otimes}_A Q^\bullet.$$

For  $X \in \text{Mod } A$  and  $Y \in \text{Mod } A^{\text{op}}$ , we denote  $\text{Tor}_A^i(X, Y) = \mathbf{H}^i(X \dot{\otimes}_A^L Y)$ .

## 15. BIMODULE COMPLEXES

Throughout this section,  $k$  is a commutative ring,  $A, B, C$  are  $k$ -algebras,  ${}_A U_B$  an  $A$ - $B$ -bimodule,  ${}_B V_C$  an  $B$ - $C$ -bimodule,  ${}_A W_C$  an  $A$ - $C$ -bimodule and  ${}_C S_A$  a  $C$ - $A$ -bimodule.

**Proposition 15.1.** *The following hold.*

1.  $\text{Hom}_{A^{\text{op}} \otimes_k B}({}_A U_B, \text{Hom}_C({}_B V_C, {}_A W_C)) \cong \text{Hom}_{A^{\text{op}} \otimes_k C}({}_A U \otimes_B V_C, {}_A W_C)$ .
2.  $\text{Hom}_{A^{\text{op}} \otimes_k B}({}_A U_B, \text{Hom}_C({}_B V_C, {}_A W_C)) \cong \text{Hom}_{B \otimes_k C^{\text{op}}}({}_B V_C, \text{Hom}_A({}_A U_B, {}_A W_C))$ .
3.  $({}_A U \otimes_B V_C) \otimes_{A^{\text{op}} \otimes_k C} ({}_C S_A) \cong ({}_A U_B) \otimes_{A^{\text{op}} \otimes_k B} ({}_B V \otimes_C S_A)$ .
4. *If  ${}_A U_B$  is  $A^{\text{op}} \otimes_k B$ -projective,  $V_C$  is  $C$ -projective, then  ${}_A U \otimes_B V_C$  is  $A^{\text{op}} \otimes_k C$ -projective.*
5. *If  ${}_B V$  is  $B$ -flat,  ${}_A W_C$  is  $A^{\text{op}} \otimes_k C$ -injective, then  $\text{Hom}_C({}_B V_C, {}_A W_C)$  is  $A^{\text{op}} \otimes_k B$ -injective.*
6. *If  ${}_B V_C$  is  $B^{\text{op}} \otimes_k C$ -projective,  ${}_A W$  is  $A$ -injective, then  $\text{Hom}_C({}_B V_C, {}_A W_C)$  is  $A^{\text{op}} \otimes_k B$ -injective.*
7. *If  ${}_A U_B$  is  $A^{\text{op}} \otimes_k B$ -flat,  $V_C$  is  $C$ -flat, then  ${}_A U \otimes_B V_C$  is  $A^{\text{op}} \otimes_k C$ -flat.*

**Corollary 15.2.** *The following hold.*

1.  ${}_A U$  is  $A$ -projective,  $V_C$  is  $C$ -projective, then  ${}_A U \otimes_k V_C$  is  $A^{\text{op}} \otimes_k C$ -projective.
2.  ${}_B V$  is  $B$ -flat,  ${}_A W$  is  $A$ -injective, then  $\text{Hom}_k({}_B V_k, {}_A W_k)$  is  $A^{\text{op}} \otimes_k B$ -injective.
3.  ${}_A U$  is  $A$ -flat,  $V_C$  is  $C$ -flat, then  ${}_A U \otimes_k V_C$  is  $A^{\text{op}} \otimes_k C$ -flat.

**Proposition 15.3.** *Let  ${}_A M$  be an  $A$ -module,  ${}_B N$  a  $B$ -module, then the following hold.*

1.  $\text{Hom}_{A^{\text{op}} \otimes_k B}({}_A U_B, \text{Hom}_k({}_B B_k, {}_A V_k)) \cong \text{Hom}_A({}_A U, {}_A V)$ .

2.  $\text{Hom}_A({}_A M, {}_A W) \cong \text{Hom}_{A^{\text{op}} \otimes_k C}({}_A M \otimes_k C_C, {}_A W_C)$ .
3.  $U \otimes_B N \cong ({}_A U_B) \otimes_{A^{\text{op}} \otimes_k B} ({}_B N \otimes_k A_A)$ .
4. If  $B$  is  $k$ -projective,  ${}_A U_B$  is  $A^{\text{op}} \otimes_k B$ -projective, then  ${}_A U$  is  $A$ -projective.
5.  $C$  is  $k$ -flat,  ${}_A W_C$  is  $A^{\text{op}} \otimes_k C$ -injective, then  ${}_A W$  is  $A$ -injective.
6.  $B$  is  $k$ -flat,  ${}_A U_B$  is  $A^{\text{op}} \otimes_k B$ -flat, then  ${}_A U$  is  $A$ -flat.

**Proposition 15.4.** For  $U^\bullet \in \mathbf{K}(\text{Mod } A^{\text{op}} \otimes_k B)$ ,  $V^\bullet \in \mathbf{K}(\text{Mod } B \otimes_k C^{\text{op}})$ ,  $W^\bullet \in \mathbf{K}(\text{Mod } A^{\text{op}} \otimes_k C)$ ,  $S^\bullet \in \mathbf{K}(\text{Mod } C \otimes_k A^{\text{op}})$ , the following hold.

1. We have an isomorphism

$$\text{Hom}_{A^{\text{op}} \otimes_k B}({}_A U_B^\bullet, \text{Hom}_{C^\bullet}({}_B V_C^\bullet, {}_A W_C^\bullet)) \cong \text{Hom}_{A^{\text{op}} \otimes_k C}({}_A U^\bullet \dot{\otimes}_B V_C^\bullet, {}_A W_C^\bullet).$$

2. We have an isomorphism

$$\text{Hom}_{A^{\text{op}} \otimes_k B}({}_A U_B^\bullet, \text{Hom}_{C^\bullet}({}_B V_C^\bullet, {}_A W_C^\bullet)) \cong \text{Hom}_{B \otimes_k C^{\text{op}}}({}_B V_C^\bullet, \text{Hom}_A({}_A U_B^\bullet, {}_A W_C^\bullet)).$$

3. We have an isomorphism

$$({}_A U^\bullet \dot{\otimes}_B V_C^\bullet) \dot{\otimes}_{A^{\text{op}} \otimes_k C} ({}_C S_A^\bullet) \cong ({}_A U_B^\bullet) \dot{\otimes}_{A^{\text{op}} \otimes_k B} ({}_B V_C^\bullet \dot{\otimes}_C S_A^\bullet).$$

*Proof.* 1. Let  $\alpha$  be the trifunctorial isomorphism in 1 of Proposition 15.1. For every  $(p, q, r) \in \mathbb{Z}^3$ , define

$$\phi_{p,q,r} = (-1)^{\frac{r(2q+r+1)}{2}} \alpha : \text{Hom}_{A^{\text{op}} \otimes_k B}({}_A U_B^{-p}, \text{Hom}_C({}_B V_C^{-q}, {}_A W_C^r)) \rightarrow \text{Hom}_{A^{\text{op}} \otimes_k C}({}_A U^{-p} \otimes_B V_C^{-q}, {}_A W_C^r),$$

then  $(\phi_{p,q,r})$  induces the isomorphism between triple complexes. By taking  $\hat{\text{Tot}}$ , we have the assertion.

2. Let  $\beta$  be the trifunctorial isomorphism in 2 of Proposition 15.1. For every  $(p, q, r) \in \mathbb{Z}^3$ , define

$$\phi'_{p,q,r} = (-1)^{\frac{(p+q)(p+q+2r+1)}{2}} \beta : \text{Hom}_{A^{\text{op}} \otimes_k B}({}_A U_B^{-p}, \text{Hom}_C({}_B V_C^{-q}, {}_A W_C^r)) \rightarrow \text{Hom}_{B^{\text{op}} \otimes_k C}({}_B V_C^{-q}, \text{Hom}_A({}_A U_B^{-p}, {}_A W_C^r)),$$

then  $(\phi'_{p,q,r})$  induces the isomorphism between triple complexes. By taking  $\hat{\text{Tot}}$ , we have the assertion.

3. Let  $\gamma$  be the trifunctorial isomorphism in 3 of Proposition 15.1. For every  $(p, q, r) \in \mathbb{Z}^3$ , define

$$\psi_{p,q,r} = (-1)^{\frac{r(2q+r-1)}{2}} \gamma : ({}_A U^p \otimes_B V_C^q) \otimes_{A^{\text{op}} \otimes_k C} ({}_C S_A^r) \rightarrow ({}_A U_B^p) \otimes_{A^{\text{op}} \otimes_k B} ({}_B V_C^q \otimes_C S_A^r),$$

then  $(\psi_{p,q,r})$  induces the isomorphism between triple complexes. By taking  $\text{Tot}$ , we have the assertion.  $\square$

**Proposition 15.5.** The following hold.

1. For a functor  $-\dot{\otimes}_A U_B^\bullet : \mathbf{K}(\text{Mod } A) \rightarrow \mathbf{K}(\text{Mod } B)$  and its right adjoint  $\text{Hom}_{B^\bullet}({}_A U_B^\bullet, -) : \mathbf{K}(\text{Mod } B) \rightarrow \mathbf{K}(\text{Mod } A)$ , there exist the left derived functor  $-\dot{\otimes}_A^L U_B^\bullet : \mathbf{D}(\text{Mod } A) \rightarrow \mathbf{D}(\text{Mod } B)$  and the right derived functor  $\mathbf{R}\text{Hom}_{B^\bullet}({}_A U_B^\bullet, -) : \mathbf{D}(\text{Mod } B) \rightarrow \mathbf{D}(\text{Mod } A)$  such that

$$\mathbf{R}\text{Hom}_{B^\bullet}(-\dot{\otimes}_A^L U_B^\bullet, ?) \cong \mathbf{R}\text{Hom}_{A^\bullet}(-, \mathbf{R}\text{Hom}_{B^\bullet}({}_A U_B^\bullet, ?)).$$

- In particular, we have  $-\dot{\otimes}_A^L U_B \dashv \mathbf{R}\mathrm{Hom}_B(AU_B, ?)$ .
2. For a right adjoint pair of functors  $\mathrm{Hom}_A(-, {}_A U_B) : \mathbf{K}(\mathrm{Mod} A^{\mathrm{op}}) \rightarrow \mathbf{K}(\mathrm{Mod} B)$ ,  $\mathrm{Hom}_B(-, {}_A U_B) : \mathbf{K}(\mathrm{Mod} B) \rightarrow \mathbf{K}(\mathrm{Mod} A^{\mathrm{op}})$ , there exist the right derived functors  $\mathbf{R}\mathrm{Hom}_A(-, {}_A U_B) : \mathbf{D}(\mathrm{Mod} A^{\mathrm{op}}) \rightarrow \mathbf{D}(\mathrm{Mod} B)$ ,  $\mathbf{R}\mathrm{Hom}_B(-, {}_A U_B) : \mathbf{D}(\mathrm{Mod} B) \rightarrow \mathbf{D}(\mathrm{Mod} A^{\mathrm{op}})$  such that

$$\mathbf{R}\mathrm{Hom}_B(-, \mathbf{R}\mathrm{Hom}_A(?, {}_A U_B)) \cong \mathbf{R}\mathrm{Hom}_A(?, \mathbf{R}\mathrm{Hom}_B(-, {}_A U_B)).$$

In particular,  $(\mathbf{R}\mathrm{Hom}_A(?, {}_A U_B), \mathbf{R}\mathrm{Hom}_B(-, {}_A U_B))$  is a right adjoint pair.

*Proof.* 1. Let  $X^\bullet \in \mathbf{K}(\mathrm{Mod} A^{\mathrm{op}})$ ,  $Y^\bullet \in \mathbf{K}(\mathrm{Mod} B)$ . According to Theorems 14.14, 14.22 and Remark 14.15, we may assume  $X^\bullet \in \mathbf{K}^s(\mathrm{Proj} A)$ ,  $Y^\bullet \in \mathbf{K}^s(\mathrm{Inj} B)$ . It is clear for the existence of the derived functor. By Proposition 15.4 we have isomorphisms

$$\begin{aligned} \mathbf{R}\mathrm{Hom}_B(X^\bullet \dot{\otimes}_A^L U_B, Y^\bullet) &\cong \mathrm{Hom}_B(X^\bullet \dot{\otimes}_A U_B, Y^\bullet) \\ &\cong \mathrm{Hom}_A(X^\bullet, \mathrm{Hom}_B({}_A U_B, Y^\bullet)) \\ &\cong \mathbf{R}\mathrm{Hom}_A(X^\bullet, \mathbf{R}\mathrm{Hom}_B({}_A U_B, Y^\bullet)). \end{aligned}$$

We get the last assertion by taking cohomologies of the above isomorphisms.

2. Let  $X^\bullet \in \mathbf{K}(\mathrm{Mod} A^{\mathrm{op}})$ ,  $Y^\bullet \in \mathbf{K}(\mathrm{Mod} B)$ . According to Theorem 14.14 and Remark 14.15, we may assume  $X^\bullet \in \mathbf{K}^s(\mathrm{Proj} A^{\mathrm{op}})$ ,  $Y^\bullet \in \mathbf{K}^s(\mathrm{Proj} B)$ . It is clear for the existence of the derived functor. By Proposition 15.4 we have isomorphisms

$$\begin{aligned} \mathbf{R}\mathrm{Hom}_B(Y^\bullet, \mathbf{R}\mathrm{Hom}_A(X^\bullet, {}_A U_B)) &\cong \mathrm{Hom}_B(Y^\bullet, \mathrm{Hom}_A(X^\bullet, {}_A U_B)) \\ &\cong \mathrm{Hom}_A(X^\bullet, \mathbf{R}\mathrm{Hom}_B(Y^\bullet, {}_A U_B)) \\ &\cong \mathbf{R}\mathrm{Hom}_A(X^\bullet, \mathbf{R}\mathrm{Hom}_B(Y^\bullet, {}_A U_B)). \end{aligned}$$

We get the last assertion by taking cohomologies of the above isomorphisms.  $\square$

**Remark 15.6.** The above derived functors  $-\dot{\otimes}_A^L U_B : \mathbf{D}(\mathrm{Mod} A) \rightarrow \mathbf{D}(\mathrm{Mod} B)$  and  $\mathbf{R}\mathrm{Hom}_B({}_A U_B, -) : \mathbf{D}(\mathrm{Mod} B) \rightarrow \mathbf{D}(\mathrm{Mod} A)$  are the derived functors of  $\partial$ -functors  $-\dot{\otimes}_A U_B$  and  $\mathrm{Hom}_B({}_A U_B, -)$ , respectively. But they are not the derived functors of bi- $\partial$ -functors in general!

We denote by  $\mathrm{Res}_A : \mathrm{Mod} A^{\mathrm{op}} \otimes_k B \rightarrow \mathrm{Mod} A$  the forgetful functor, and use the same symbol  $\mathrm{Res}_A : \mathbf{K}(\mathrm{Mod} A^{\mathrm{op}} \otimes_k B) \rightarrow \mathbf{K}(\mathrm{Mod} A)$  for the induced  $\partial$ -functor.

**Proposition 15.7.** *If  $B$  is  $k$ -projective or  $C$  is  $k$ -flat, then*

$$\mathbf{R}\mathrm{Hom}_A : \mathbf{D}(\mathrm{Mod} B^{\mathrm{op}} \otimes_k A)^{\mathrm{op}} \times \mathbf{D}(\mathrm{Mod} C^{\mathrm{op}} \otimes_k A) \rightarrow \mathbf{D}(\mathrm{Mod} C^{\mathrm{op}} \otimes_k B)$$

*exists, and we have a commutative diagram*

$$\begin{array}{ccc} \mathbf{D}(\mathrm{Mod} B^{\mathrm{op}} \otimes_k A)^{\mathrm{op}} \times \mathbf{D}(\mathrm{Mod} C^{\mathrm{op}} \otimes_k A) & \xrightarrow{\mathrm{Res}_A^{\mathrm{op}} \times \mathrm{Res}_A} & \mathbf{D}(\mathrm{Mod} A)^{\mathrm{op}} \times \mathbf{D}(\mathrm{Mod} A) \\ \mathbf{R}\mathrm{Hom}_A \downarrow & & \downarrow \mathbf{R}\mathrm{Hom}_A \\ \mathbf{D}(\mathrm{Mod} C^{\mathrm{op}} \otimes_k B) & \xrightarrow{\mathrm{Res}_k} & \mathbf{D}(\mathrm{Mod} k) \end{array}$$

*Proof.* Assume  $B$  is  $k$ -projective. According to Proposition 15.4, for  ${}_B X_A^\bullet \in \mathbf{K}(\mathrm{Mod} B \otimes_k A)$ ,  $Y_A^\bullet \in \mathbf{K}(\mathrm{Mod} A)$ , we have

$$\mathrm{Hom}_{B^{\mathrm{op}} \otimes_k A}({}_B X_A^\bullet, \mathrm{Hom}_k({}_k B_B, {}_k Y_A^\bullet)) \cong \mathrm{Hom}_A(X_A^\bullet, Y_A^\bullet).$$

If  ${}_B X_A^\bullet \in \mathbf{K}^s(\mathrm{Proj} B^{\mathrm{op}} \otimes_k A)$ , then by the above isomorphism, we have  $\mathrm{Res}_A X^\bullet \in \mathbf{K}^s(\mathrm{Proj} A)$ . Therefore we get the assertion by Theorem 14.5.



Assume  $C$  is  $k$ -flat. For  ${}_C Y_A^\bullet \in \mathbf{K}(\text{Mod } C^{\text{op}} \otimes_k A)$ ,  $X_A^\bullet \in \mathbf{K}(\text{Mod } A)$ , we have

$$\text{Hom}_{C^{\text{op}} \otimes_k A}({}_C C \otimes_k X_A^\bullet, {}_C Y_A^\bullet) \cong \text{Hom}_A(X_A^\bullet, Y_A^\bullet).$$

If  ${}_C Y_A^\bullet \in \mathbf{K}^s(\text{Inj } C^{\text{op}} \otimes_k A)$ , then by the above isomorphism, we have  $\text{Res}_A Y^\bullet \in \mathbf{K}^s(\text{Inj } A)$ . By the same reason as the above.  $\square$

**Proposition 15.8.** *If either  $A$  or  $C$  is  $k$ -flat, then*

$$\dot{\otimes}_A^L : \mathbf{D}(\text{Mod } A^{\text{op}} \otimes_k B) \times \mathbf{D}(\text{Mod } B^{\text{op}} \otimes_k C) \rightarrow \mathbf{D}(\text{Mod } A^{\text{op}} \otimes_k C)$$

exists, and we have a commutative diagram

$$\begin{array}{ccc} \mathbf{D}(\text{Mod } A^{\text{op}} \otimes_k B) \times \mathbf{D}(\text{Mod } B^{\text{op}} \otimes_k C) & \xrightarrow{\text{Res}_B \times \text{Res}_{B^{\text{op}}}} & \mathbf{D}(\text{Mod } B) \times \mathbf{D}(\text{Mod } B^{\text{op}}) \\ \dot{\otimes}_B^L \downarrow & & \downarrow \dot{\otimes}_B^L \\ \mathbf{D}(\text{Mod } A^{\text{op}} \otimes_k C) & \xrightarrow{\text{Res}_k} & \mathbf{D}(\text{Mod } k) \end{array}$$

*Proof.* Assume  $A$  is  $k$ -flat. For  $X^\bullet \in \mathbf{K}(\text{Mod } A^{\text{op}} \otimes_k B)$ ,  $Y^\bullet \in \mathbf{K}(\text{Mod } B^{\text{op}})$ , by Proposition 15.4, we have an isomorphism

$$({}_A X_B^\bullet) \dot{\otimes}_{A^{\text{op}} \otimes B} ({}_B Y^\bullet \otimes_k A_A) \cong X^\bullet \dot{\otimes}_B Y^\bullet.$$

If  ${}_A X_B^\bullet \in \mathbf{K}^s(\text{Proj } A^{\text{op}} \otimes_k B)$ , then by the proof of Theorem 14.22,  $X^\bullet \dot{\otimes}_B Y^\bullet$  is acyclic if either  $X^\bullet$  or  $Y^\bullet$  is acyclic. Therefore we get the assertion by Theorem 14.5. In case of  $C$  being  $k$ -flat, similarly.  $\square$

**Example 15.9.** Let  $F$  be a field,  $k = A = F[[x]]$ ,  $B = C = F[[x]]/(x^2)$ . Let  ${}_A M_B = F[[x]]/(x^2)$ ,  ${}_A N_C = F$ ,  $\mathbf{R}' \text{Hom}_A$  the right derived functor of

$$\text{Hom}_A : \mathbf{K}^-(\text{Mod } B^{\text{op}} \otimes_k A)^{\text{op}} \times \mathbf{K}^+(\text{Mod } C^{\text{op}} \otimes_k A) \rightarrow \mathbf{K}(\text{Mod } C^{\text{op}} \otimes_k B).$$

Then we have

$$\mathbf{R}' \text{Hom}_A(M, N) \cong \text{Hom}_A(F[[x]]/(x^2), F).$$

Let  $\mathbf{R} \text{Hom}_A$  be the right derived functor of  $\text{Hom}_A : \mathbf{K}^-(\text{Mod } A)^{\text{op}} \times \mathbf{K}^+(\text{Mod } A) \rightarrow \mathbf{K}(\text{Mod } k)$ . Then we have

$$\mathbf{R} \text{Hom}_A(M, N) \cong X^0 \rightarrow X^1$$

where  $X^0 \rightarrow X^1 = \text{Hom}_A(F[[x]], F) \xrightarrow{\text{Hom}_A(x^2, F)} \text{Hom}_A(F[[x]], F)$ . Then

$$\mathbf{R}' \text{Hom}_A(M, N) \not\cong \mathbf{R} \text{Hom}_A(M, N)$$

in  $\mathbf{D}(\text{Mod } k)$ .

## 16. TILTING COMPLEXES

Throughout this section,  $A, B, C$  are rings. We recall that  $\mathbf{mod} A$  is the category of finitely presented right  $A$ -modules, and that  $\mathbf{proj} A$  is the full subcategory of  $\mathbf{mod} A$  consisting of finitely generated projective right  $A$ -modules.

**Definition 16.1** (Perfect Complex). A complex  $X^\bullet \in \mathbf{D}(\mathbf{Mod} A)$  is called a *perfect complex* if  $X^\bullet$  is isomorphic to a complex of  $\mathbf{K}^b(\mathbf{proj} A)$  in  $\mathbf{D}(\mathbf{Mod} A)$ . We denote by  $\mathbf{D}(\mathbf{Mod} A)_{\text{per}}^f$  the triangulated full subcategory of  $\mathbf{D}(\mathbf{Mod} A)$  consisting of perfect complexes.

**Lemma 16.2.** *For  $X^\bullet \in \mathbf{K}^b(\mathbf{Proj} A)$ , the following are equivalent.*

1.  $X^\bullet$  is a compact object in  $\mathbf{K}^b(\mathbf{Proj} A)$ .
2.  $X^\bullet$  is isomorphic to an object of  $\mathbf{K}^b(\mathbf{proj} A)$ .

*Proof.* 2  $\Rightarrow$  1. By Lemma 16.3.

1  $\Rightarrow$  2. Let  $X^\bullet = X^0 \xrightarrow{d^0} X^1 \rightarrow \dots \rightarrow X^n$ , with  $X^i \in \mathbf{Proj} A$ . By adding  $P \xrightarrow{1} P$  to  $X^\bullet$ , we may assume that  $X^0$  is a free  $A$ -module  $A^{(I)}$ . If  $I$  is a finite set, then by 2  $\Rightarrow$  1  $X^0$  is also compact, and hence  $\tau_{\geq 1} X^\bullet$  is compact. by induction on  $n$ , we get the assertion. Otherwise, Since we have  $\text{Hom}_{\mathbf{K}(\mathbf{Mod} A)}(X^\bullet, A^{(I)}) \cong \text{Hom}_{\mathbf{K}(\mathbf{Mod} A)}(X^\bullet, A)^{(I)}$ , the canonical morphism  $X^\bullet \rightarrow A^{(I)}$  factors through a direct summand  $\mu : A^m \hookrightarrow A^{(I)}$  for some  $m \in \mathbb{N}$ . Then there is a homotopy morphism  $h : X^1 \rightarrow A^{(I)}$  such that  $1_{A^{(I)}} - \mu g = h d^0$  with some  $g : A^{(I)} \rightarrow A^m$ . Let  $A^{(I)} = A^m \oplus A^{(J)}$  be the canonical decomposition, then  $A^{(J)} \xrightarrow{d^0|_{A^{(J)}}} X^1 \xrightarrow{ph} A^{(J)} = 1_{A^{(J)}}$ , where  $p : A^{(I)} \rightarrow A^{(J)}$  is the canonical projection. Therefore  $X^\bullet \cong \mathbf{M}^\bullet(1_{A^{(J)}})[-1] \oplus X'^\bullet$ , where  $X'^\bullet : A^m \rightarrow X^1 \rightarrow \dots \rightarrow X^n$  with  $X^1$  being a direct summand of  $X^1$ . Then we reduce the case of  $X^0$  being a finitely generated free  $A$ -module.  $\square$

**Lemma 16.3.** *For a complex  $X^\bullet \in \mathbf{K}(\mathbf{Proj} A)$ , the following hold.*

1.  $X^\bullet$  is a compact object in  $\mathbf{K}(\mathbf{Mod} A)$ .
2. There is a complex  $P^\bullet \in \mathbf{K}^b(\mathbf{proj} A)$  such that  $X^\bullet \cong P^\bullet$  in  $\mathbf{K}(\mathbf{Mod} A)$ .

*Proof.* 2  $\Rightarrow$  1. We may assume  $X^\bullet \in \mathbf{K}^b(\mathbf{proj} A)$ . Let  $\{Y_i^\bullet\}_{i \in I}$  be a collection of complexes of  $\mathbf{K}(\mathbf{Mod} A)$ . Since a finitely generated  $A$ -module is a compact object in  $\mathbf{Mod} A$  (see Exercise 2.8), we have isomorphisms

$$\begin{aligned} \text{Hom}_A^*(X^\bullet, \coprod_{i \in I} Y_i^\bullet) &\cong \text{Tot Hom}_A^*(X^\bullet, \coprod_{i \in I} Y_i^\bullet) \\ &\cong \coprod_{i \in I} \text{Tot Hom}_A^*(X^\bullet, Y_i^\bullet) \\ &\cong \coprod_{i \in I} \text{Hom}_A^*(X^\bullet, Y_i^\bullet). \end{aligned}$$

By taking cohomology, we have an isomorphism

$$\text{Hom}_{\mathbf{K}(\mathbf{Mod} A)}(X^\bullet, \coprod_{i \in I} Y_i^\bullet) \cong \coprod_{i \in I} \text{Hom}_{\mathbf{K}(\mathbf{Mod} A)}(X^\bullet, Y_i^\bullet).$$

1  $\Rightarrow$  2. Since  $\mathbf{C}^\bullet(X^\bullet) = \bigoplus_{i \in \mathbb{Z}} \mathbf{C}^i(X^\bullet)[-i]$ , we have isomorphisms in  $\mathfrak{Ab}$

$$\begin{aligned} \coprod_{i \in \mathbb{Z}} \text{Hom}_{\mathbf{K}(\mathbf{Proj} A)}(X^\bullet, \mathbf{C}^i(X^\bullet)[-i]) &\cong \text{Hom}_{\mathbf{K}(\mathbf{Proj} A)}(X^\bullet, \bigoplus_{i \in \mathbb{Z}} \mathbf{C}^i(X^\bullet)[-i]) \\ &\cong \coprod_{i \in \mathbb{Z}} \text{Hom}_{\mathbf{K}(\mathbf{Proj} A)}(X^\bullet, \mathbf{C}^i(X^\bullet)[-i]). \end{aligned}$$

Then it is easy to see  $\text{Hom}_{\mathbf{K}(\text{Proj } A)}(X^\bullet, C^i(X^\bullet)[-i]) = 0$  for all but finitely many  $i \in \mathbb{Z}$ . Therefore for all but finitely many  $i \in \mathbb{Z}$ , we have exact sequences

$$\text{Hom}_A(X^{i+1}, C^i(X^\bullet)) \rightarrow \text{Hom}_A(C^i(X^\bullet), C^i(X^\bullet)) \rightarrow 0.$$

This means that the canonical morphisms  $C^i(X^\bullet) \rightarrow X^{i+1}$  are split monomorphisms. Then there are  $m \leq n$  such that  $X^\bullet \cong \sigma'_{\geq m} \sigma_{\leq n} X^\bullet$ .  $\sigma'_{\geq m} \sigma_{\leq n} X^\bullet \in \mathbf{K}(\text{Proj } A)$ . Then we may assume  $X^\bullet : X^0 \rightarrow X^1 \rightarrow \dots \rightarrow X^n$  with  $H^0(X^\bullet) \neq 0$ ,  $H^n(X^\bullet) \neq 0$ . By the proof of Lemma 16.2, we get the statement.  $\square$

**Proposition 16.4.** *Let  $X^\bullet$  be a complex of  $\mathbf{D}^*(\text{Mod } A)$ , where  $*$  = nothing,  $-$ . Then the following are equivalent.*

1.  $X^\bullet$  is a perfect complex.
2.  $X^\bullet$  is a compact object in  $\mathbf{D}^*(\text{Mod } A)$ .

*Proof.* Since  $\mathbf{K}^s(\text{Proj } A) \stackrel{t}{\cong} \mathbf{D}(\text{Mod } A)$ , it is trivial by Lemma 16.3.  $\square$

**Lemma 16.5.** *Let  $T^\bullet \in \mathbf{K}^b(\text{proj } A)$  with  $\text{Hom}_{\mathbf{K}(\text{Mod } A)}(T^\bullet, T^\bullet[i]) = 0$  for  $i \neq 0$ , and  $B = \text{End}_{\mathbf{K}(\text{Mod } A)}(T)$ . Then there exists a fully faithful  $\partial$ -functor  $F : \mathbf{K}^-(\text{Proj } B) \rightarrow \mathbf{K}^-(\text{Proj } A)$  such that*

1.  $FB \cong T^\bullet$ .
2.  $F$  preserves coproducts.
3.  $F$  has a right adjoint  $G : \mathbf{K}^-(\text{Proj } A) \rightarrow \mathbf{K}^-(\text{Proj } B)$ .

*Skip.* This lemma is important. But the proof is out of the methods of derived categories.  $\square$

**Lemma 16.6.** *If  $T^\bullet$  satisfies the condition (G), then  $F : \mathbf{K}^-(\text{Proj } B) \rightarrow \mathbf{K}^-(\text{Proj } A)$  is an equivalence.*

(G) *For  $X^\bullet \in \mathbf{K}^-(\text{Proj } A)$ ,  $X^\bullet = 0$  whenever  $\text{Hom}_{\mathbf{K}^-(\text{Proj } A)}(T^\bullet, X^\bullet[i]) = 0$  for all  $i$ .*

*Proof.* Let  $X^\bullet \in \mathbf{K}^-(\text{Proj } A)$  such that  $GX^\bullet = 0$ . Then  $\text{Hom}_{\mathbf{K}^-(\text{Proj } A)}(T^\bullet, X^\bullet[i]) \cong \text{Hom}_{\mathbf{K}^-(\text{Proj } B)}(B, GX^\bullet[i]) = 0$  for all  $i$ . Therefore  $\text{Ker } G = \{0\}$ . By the left version of Proposition 9.13,  $G$  and  $F$  are equivalences.  $\square$

**Definition 16.7.** Let  $\mathcal{C}$  be a triangulated category. A subcategory  $\mathcal{B}$  of  $\mathcal{C}$  is said to *generates*  $\mathcal{C}$  as a triangulated category if  $\mathcal{C}$  is the smallest triangulated full subcategory which is closed under isomorphisms and contains  $\mathcal{B}$ .

**Remark 16.8.** Let  $\mathcal{C}$  be a triangulated category. For a subcategory  $\mathcal{B}$  of  $\mathcal{C}$ , we can construct the smallest triangulated full subcategory  $\mathcal{E}\mathcal{B}$  which is closed under isomorphisms and contains  $\mathcal{B}$  as follows.

Let  $\mathcal{E}^0\mathcal{B} = \mathcal{B}$ . For  $n > 0$ , let  $\mathcal{E}^n\mathcal{B}$  be the full subcategory of  $\mathcal{C}$  consisting of objects  $X$  there exist  $U, V \in \mathcal{E}^{n-1}\mathcal{B}$  satisfying that either of  $(X, U, V, *, *, *)$  or  $(U, V, X, *, *, *)$  is a triangle in  $\mathcal{C}$ . Then it is easy to see that  $\mathcal{E}\mathcal{B} = \bigcup_{n \geq 0} \mathcal{E}^n\mathcal{B}$  is the smallest triangulated full subcategory which is closed under isomorphisms and contains  $\mathcal{B}$ .

**Theorem 16.9.** *Let  $T^\bullet$  be a complex of  $\mathbf{K}^b(\text{proj } A)$  such that*

- (a)  $\text{Hom}_{\mathbf{K}(\text{Mod } A)}(T^\bullet, T^\bullet[i]) = 0$  for  $i \neq 0$ ,
- (b)  $\text{add } T_A^\bullet$  generates  $\mathbf{K}^b(\text{proj } A)$ .

*Then  $F : \mathbf{K}^-(\text{Proj } B) \rightarrow \mathbf{K}^-(\text{Proj } A)$  is an equivalence.*

*Proof.* It suffices to show that  $T^\bullet$  satisfies the condition of Lemma 16.6. Since  $\text{add } T_A^\bullet$  generates  $\mathbf{K}^b(\text{proj } A)$ , if  $\text{Hom}_{\mathbf{K}^-(\text{Proj } A)}(T^\bullet, X^\bullet[i]) = 0$  for all  $i$ , then  $\text{Hom}_{\mathbf{K}^-(\text{Proj } A)}(A, X^\bullet[i]) = 0$  for all  $i$ . Thus  $X^\bullet = O$ .  $\square$

**Lemma 16.10.** *For  $X^\bullet \in \mathbf{D}^-(\text{Mod } A)$ , the following are equivalent.*

1.  $X^\bullet \in \mathbf{D}^b(\text{Mod } A)$ .
2. For any  $Y^\bullet \in \mathbf{D}^-(\text{Mod } A)$ , there is  $n$  such that  $\text{Hom}_{\mathbf{D}(\text{Mod } A)}(Y^\bullet, X^\bullet[i]) = 0$  for all  $i < n$ .

*Proof.*  $1 \Rightarrow 2$ . We may assume  $X^\bullet \in \mathbf{C}^b(\text{Mod } A)$ ,  $Y^\bullet \in \mathbf{K}^-(\text{Proj } A)$ . Then  $\text{Hom}_{\mathbf{D}(\text{Mod } A)}(Y^\bullet, X^\bullet[i]) \cong \text{Hom}_{\mathbf{K}(\text{Mod } A)}(Y^\bullet, X^\bullet[i])$ .

$2 \Rightarrow 1$ . Since  $\text{Hom}_{\mathbf{D}(\text{Mod } A^b)}(A, X^\bullet[i]) \cong H^i(X^\bullet)$ , it is easy.  $\square$

For an additive category  $\mathcal{B}$  and  $m \leq n$ , we write  $\mathbf{K}^{[m, n]}(\mathcal{B})$  for the full subcategory of  $\mathbf{K}(\mathcal{B})$  consisting of complexes  $X^\bullet$  with  $X^i = O$  for  $i < m$ ,  $n < i$ .

**Lemma 16.11.** *For  $X^\bullet \in \mathbf{D}^b(\text{Mod } A)$ , the following are equivalent.*

1.  $X^\bullet$  is isomorphic to an object of  $\mathbf{K}^b(\text{Proj } A)$ .
2. For any  $Y^\bullet \in \mathbf{D}^b(\text{Mod } A)$ , there is  $n$  such that  $\text{Hom}_{\mathbf{D}(\text{Mod } A)}(X^\bullet, Y^\bullet[i]) = 0$  for all  $i > n$ .

*Proof.*  $1 \Rightarrow 2$ . It is trivial.

$2 \Rightarrow 1$ . We may assume  $X^\bullet \in \mathbf{K}^-(\text{Proj } A)$ . Let  $M = \prod_{i \in \mathbb{Z}} C^i(X^\bullet)$ . By the same reason as the proof of Lemma 16.3,  $\text{Hom}_{\mathbf{K}^-(\text{Mod } A)}(X^\bullet, M[i]) = 0$  for all  $i > n$  if and only if  $X^\bullet$  is isomorphic to an object in  $\mathbf{K}^{[-n, \infty)}(\text{Proj } A)$ .  $\square$

**Theorem 16.12.** *Let  $A, B$  be rings. The following are equivalent.*

1.  $\mathbf{D}^-(\text{Mod } A) \xrightarrow{t} \mathbf{D}^-(\text{Mod } B)$ .
2.  $\mathbf{D}^b(\text{Mod } A) \xrightarrow{t} \mathbf{D}^b(\text{Mod } B)$ .
3.  $\mathbf{K}^b(\text{Proj } A) \xrightarrow{t} \mathbf{K}^b(\text{Proj } B)$ .
4.  $\mathbf{K}^b(\text{proj } A) \xrightarrow{t} \mathbf{K}^b(\text{proj } B)$ .
5. There exists  $T^\bullet \in \mathbf{K}^b(\text{proj } A)$  with  $B \cong \text{Hom}_{\mathbf{K}^b(\text{proj } A)}(T^\bullet)$  such that
  - (a)  $\text{Hom}_{\mathbf{K}(\text{Mod } A)}(T^\bullet, T^\bullet[i]) = 0$  for  $i \neq 0$ ,
  - (b)  $\text{add } T_A^\bullet$  generates  $\mathbf{K}^b(\text{proj } A)$ .
6. There exists  $T^\bullet \in \mathbf{K}^b(\text{proj } A)$  with  $B \cong \text{Hom}_{\mathbf{K}^b(\text{proj } A)}(T^\bullet)$  such that
  - (a)  $\text{Hom}_{\mathbf{K}(\text{Mod } A)}(T^\bullet, T^\bullet[i]) = 0$  for  $i \neq 0$ ,
  - (b) For  $X^\bullet \in \mathbf{K}^-(\text{Proj } A)$ ,  $X^\bullet = O$  whenever  $\text{Hom}_{\mathbf{K}^-(\text{Proj } A)}(T^\bullet, X^\bullet[i]) = 0$  for all  $i$ .

*Proof.* By Theorem 16.9, Lemmas 16.6, 16.10, 16.11 and 16.2.  $\square$

**Remark 16.13.** Since the functors  $\text{Hom}_A(-, A) : \mathbf{K}^b(\text{proj } A) \rightarrow \mathbf{K}^b(\text{proj } A^{\text{op}})$  and  $\text{Hom}_A(-, A) : \mathbf{K}^b(\text{proj } A^{\text{op}}) \rightarrow \mathbf{K}^b(\text{proj } A)$  induce a duality between them, the condition 5 of Theorem 16.12 induces the left version of the condition 5. Therefore,  $\mathbf{D}^-(\text{Mod } A) \xrightarrow{t} \mathbf{D}^-(\text{Mod } B)$  if and only if  $\mathbf{D}^-(\text{Mod } A^{\text{op}}) \xrightarrow{t} \mathbf{D}^-(\text{Mod } B^{\text{op}})$ .

**Definition 16.14.** A complex  $T_A^\bullet \in \mathbf{K}^b(\text{proj } A)$  is called a *tilting complex* for  $A$  provided that

1.  $\text{Hom}_{\mathbf{K}(\text{Mod } A)}(T^\bullet, T^\bullet[i]) = 0$  for  $i \neq 0$ .

2.  $\text{add } T_A^\bullet$  generates  $\mathbf{K}^b(\text{proj } A)$ .

We say that  $B$  is *derived equivalent* to  $A$  if there is a tilting complex  $T_A^\bullet$  such that  $B \cong \text{End}_{\mathbf{K}(\text{Mod } A)}(T^\bullet)$ .

**Lemma 16.15.** *Let  $\mathcal{U}$  be a collection of objects  $\mathbf{K}^{[r,s]}(\text{proj } A)$  for some  $r \leq s$ . Consider a sequence of triangles*

$$\begin{array}{ccccccc} U_1^\bullet & \rightarrow & U_0^\bullet & \rightarrow & X_1^\bullet & \rightarrow & \\ U_2^\bullet[1] & \rightarrow & X_1^\bullet & \rightarrow & X_2^\bullet & \rightarrow & \\ & & & & & \cdots & \\ U_n^\bullet[n-1] & \rightarrow & X_{n-1}^\bullet & \rightarrow & X_n^\bullet & \rightarrow & \\ & & & & & \cdots & \end{array}$$

with all  $U_i^\bullet \in \mathcal{U}$ . Then  $\varinjlim X_n^\bullet \in \tilde{\mathbf{K}}^-(\text{proj } A)$ .

**Proposition 16.16.** *For rings  $A, B$ , the following are equivalent.*

1.  $B$  is derived equivalent to  $A$ .
2.  $\mathbf{K}^-(\text{proj } A) \stackrel{t}{\cong} \mathbf{K}^-(\text{proj } B)$ .

In this case, we have  $\mathbf{K}^{-,b}(\text{proj } A) \stackrel{t}{\cong} \mathbf{K}^{-,b}(\text{proj } B)$ .

*Proof.*  $1 \Rightarrow 2$ . According to Theorem 16.12, we have  $\mathbf{K}^b(\text{proj } A) \stackrel{t}{\cong} \mathbf{K}^b(\text{proj } B)$ . By Lemma 16.15, it is easy to see that  $\mathbf{K}^-(\text{proj } A) \stackrel{t}{\cong} \mathbf{K}^-(\text{proj } B)$ .

$2 \Rightarrow 1$ . Let  $X^\bullet \in \mathbf{K}^-(\text{proj } A)$ . Since  $\bigoplus_{n \in \mathbb{N}} \tau_{\leq -n} X^\bullet$  exists in  $\mathbf{K}^-(\text{proj } A)$ , if  $X^\bullet$  is a compact object in  $\mathbf{K}^-(\text{proj } A)$ , then

$$\text{Hom}_{\mathbf{K}(\text{Mod } A)}(X^\bullet, \tau_{\leq -n} X^\bullet) = 0$$

for all but finitely many  $n$ . If  $\text{Hom}_{\mathbf{K}(\text{Mod } A)}(X^\bullet, \tau_{\leq -n} X^\bullet) = 0$ , then by Proposition 4.8,  $X^\bullet \cong \tau_{\geq -n+1} X^\bullet \oplus \tau_{\leq -n} X^\bullet[-1]$ . According to Proposition 10.23  $X^\bullet \in \tilde{\mathbf{K}}^b(\text{proj } A)$ . As a consequence,  $X^\bullet$  is a compact object in  $\mathbf{K}^-(\text{proj } A)$  if and only if  $X^\bullet$  is isomorphic to an object in  $\mathbf{K}^b(\text{proj } A)$ . Since compactness is a categorical property, we have  $\mathbf{K}^b(\text{proj } A) \stackrel{t}{\cong} \mathbf{K}^b(\text{proj } B)$ . By Theorem 16.12, we get the statement.

The last assertion is trivial by Lemma 16.10.  $\square$

**Lemma 16.17.** *For  $P^\bullet \in C^{-,b}(\text{Proj } A)$ , we have isomorphisms in  $\mathbf{K}^{-,b}(\text{Proj } A)$*

$$\begin{aligned} \varinjlim \tau_{\geq -i} P^\bullet &\cong \varinjlim_{C^{-,b}(\text{Proj } A)} \tau_{\geq -i} P^\bullet \\ &\cong \varinjlim_{\mathbf{K}^{-,b}(\text{Proj } A)} \tau_{\geq -i} P^\bullet. \end{aligned}$$

*Proof.* According to Proposition 11.7, we have the first isomorphism. For  $Y^\bullet \in C^{-,b}(\text{Proj } A)$ , there is  $n \in \mathbb{Z}$  such that  $H^i(Y^\bullet) = 0$  for all  $i \leq n$ . Applying  $\text{Hom}_{\mathbf{K}(\text{Mod } A)}(-, Y^\bullet[j])$  to a triangle  $\tau_{\geq -i+1} P^\bullet \rightarrow \tau_{\geq -i} P^\bullet \rightarrow P^{-i}[i] \rightarrow \tau_{\geq -i+1} P^\bullet[1]$ , we have an exact sequence

$$\begin{aligned} \text{Hom}_{\mathbf{K}(\text{Mod } A)}(P^{-i}[i], Y^\bullet[j]) &\rightarrow \text{Hom}_{\mathbf{K}(\text{Mod } A)}(\tau_{\geq -i} P^\bullet, Y^\bullet[j]) \xrightarrow{\mu_i} \\ \text{Hom}_{\mathbf{K}(\text{Mod } A)}(\tau_{\geq -i+1} P^\bullet, Y^\bullet[j]) &\rightarrow \text{Hom}_{\mathbf{K}(\text{Mod } A)}(P^{-i}[i], Y^\bullet[j+1]). \end{aligned}$$

By Exercise 6.22, we have

$$\text{Hom}_{\mathbf{K}(\text{Mod } A)}(P^{-i}, Y^\bullet[j-i+1]) \cong \text{Hom}_A(P^{-i}, H^{j-i+1}(Y^\bullet)) = 0$$

for  $i \geq j - n + 1$ , and then  $\mu_i$  are epic for  $i \geq j - n + 1$ . By Exercise 11.5, we have an exact sequence

$$0 \rightarrow \mathrm{Hom}_{\mathbb{K}(\mathrm{Mod} A)}(\varinjlim_{\mathbb{C}^{-,b}(\mathrm{Proj} A)} \tau_{\geq -i} P^\bullet, Y^\bullet) \rightarrow \prod_i \mathrm{Hom}_{\mathbb{K}(\mathrm{Mod} A)}(\tau_{\geq -i} P^\bullet, Y^\bullet) \rightarrow \prod_i \mathrm{Hom}_{\mathbb{K}(\mathrm{Mod} A)}(\tau_{\geq -i} P^\bullet, Y^\bullet) \rightarrow 0.$$

Hence

$$\varinjlim_{\mathbb{C}^{-,b}(\mathrm{Proj} A)} \tau_{\geq -i} P^\bullet \cong \varinjlim_{\mathbb{K}^{-,b}(\mathrm{Proj} A)} \tau_{\geq -i} P^\bullet.$$

□

**Proposition 16.18.** *Let  $A, B$  be coherent rings. The following are equivalent.*

1.  $B$  is derived equivalent to  $A$ .
2.  $\mathrm{D}^-(\mathrm{mod} A) \stackrel{t}{\cong} \mathrm{D}^-(\mathrm{mod} B)$ .
3.  $\mathrm{D}^b(\mathrm{mod} A) \stackrel{t}{\cong} \mathrm{D}^b(\mathrm{mod} B)$ .

*Proof.* 1  $\Rightarrow$  2, 3. By Proposition 16.16.

3  $\Rightarrow$  1. By Corollary 10.13,  $\mathrm{D}^b(\mathrm{mod} A)$  and  $\mathrm{D}^b(\mathrm{mod} B)$  are triangle equivalent to  $\mathbb{K}^{-,b}(\mathrm{proj} A)$  and  $\mathbb{K}^{-,b}(\mathrm{proj} B)$ , respectively. Then we have an equivalence  $F : \mathbb{K}^{-,b}(\mathrm{proj} A) \rightarrow \mathbb{K}^{-,b}(\mathrm{proj} B)$  and its quasi-inverse  $G : \mathbb{K}^{-,b}(\mathrm{proj} B) \rightarrow \mathbb{K}^{-,b}(\mathrm{proj} A)$ . We may assume that  $G(B) = Q^\bullet : \dots \rightarrow Q^{-1} \rightarrow Q^0$  and  $F(A) = P^\bullet : \dots \rightarrow P^{n-1} \rightarrow P^n$ . By Proposition 11.7,  $\tau_{\leq -1} P^\bullet \cong \varinjlim \tau_{\geq -i} \tau_{\leq -1} P^\bullet$ . Since  $G$  is an equivalence, by Lemma 16.17  $G\tau_{\leq -1} P^\bullet \cong \varinjlim_{\mathbb{K}^{-,b}(\mathrm{proj} A)} G\tau_{\geq -i} \tau_{\leq -1} P^\bullet$  in  $\mathbb{K}^{-,b}(\mathrm{proj} B)$ . Since  $G\tau_{\leq -1} P^\bullet \in \mathbb{K}^{-,b}(\mathrm{proj} B)$ , there exists  $k \in \mathbb{Z}$  such that  $\mathrm{H}^k(G\tau_{\leq -1} P^\bullet) \neq 0$  and  $\mathrm{H}^j(G\tau_{\leq -1} P^\bullet) = 0$  for all  $j > k$ . Let  $C_k^\bullet = C^k(G\tau_{\leq -1} P^\bullet)$ , then  $C_k^\bullet = C^k(G\tau_{\leq -1} P^\bullet) \in \mathbb{K}^{-,b}(\mathrm{proj} A)$  and  $\mathrm{Hom}_{\mathbb{K}(\mathrm{proj} A)}(G\tau_{\leq -1} P^\bullet, C_k^\bullet[-k]) \neq 0$ , because  $A$  is coherent. Therefore, there is  $m \geq 1$  such that  $\mathrm{Hom}_{\mathbb{K}(\mathrm{proj} A)}(G\tau_{\geq -m} \tau_{\leq -1} P^\bullet, C_k^\bullet[-k]) \neq 0$ . Then we have  $m < 0$ , because  $Q^\bullet \in \mathbb{K}^{[-\infty, 0]}(\mathrm{proj} A)$ . Since

$$\mathrm{Hom}_{\mathbb{K}(\mathrm{proj} A)}(P^\bullet, \tau_{\leq -1} P^\bullet) \cong \mathrm{Hom}_{\mathbb{K}(\mathrm{proj} A)}(A, G\tau_{\leq -1} P^\bullet) \cong \mathrm{H}^0(G\tau_{\leq -1} P^\bullet) = 0,$$

by Proposition 4.8  $\tau_{\geq 0} P^\bullet \cong P^\bullet \oplus \tau_{\leq -1} P^\bullet[-1]$ . According to Proposition 10.23,  $P^\bullet \in \tilde{\mathbb{K}}^b(\mathrm{proj} A)$ , and hence  $P_A^\bullet$  is a tilting complex. □

## 17. TWO-SIDED TILTING COMPLEXES

**17.1. The Case of Flat  $k$ -algebras.** Throughout this subsection,  $k$  is a commutative ring,  $A, B, C$  are  $k$ -algebras which are  $k$ -flat modules. See Propositions 15.7, 15.8.

**Theorem 17.1.** *Let  $A_i$  be an  $k$ -algebra with a tilting complex  $T_i^\bullet$  whose endomorphism is isomorphic to  $B_i$  ( $i = 1, 2$ ). Then  $T_1^\bullet \otimes_k T_2^\bullet$  is a tilting complex for  $A_1 \otimes_k A_2$  whose endomorphism is isomorphic to  $B_1 \otimes_k B_2$ .*

*Proof.* Since  $T_i^j$  is  $A_i$ -projective, by Proposition 15.1 we have isomorphisms for all  $i, j, k, l$

$$\begin{aligned} \mathrm{Hom}_{A_1 \otimes_k A_2}(T_1^i \otimes_k T_2^j, T_1^k \otimes_k T_2^l) &\cong \mathrm{Hom}_{A_1}(T_1^i, \mathrm{Hom}_{A_1}(T_2^j, T_1^k \otimes_k T_2^l)) \\ &\cong \mathrm{Hom}_{A_1}(T_1^i, A_1) \otimes_{A_1^{\mathrm{op}}} T_1^k \otimes_k T_2^l \otimes_{A_2} \mathrm{Hom}_{A_2}(T_2^j, A_2) \\ &\cong \mathrm{Hom}_{A_1}(T_1^i, T_1^k) \otimes_k \mathrm{Hom}_{A_2}(T_2^j, T_2^l). \end{aligned}$$

This induces an isomorphism between quadruple complexes. Since  $T_i^\bullet$  are bounded complexes, we have an isomorphism between complexes

$$\mathrm{Hom}_{A_1 \otimes_k A_2}^\bullet(T_1^\bullet \dot{\otimes}_k T_2^\bullet, T_1^\bullet \dot{\otimes}_k T_2^\bullet) \xrightarrow{\sim} \mathrm{Hom}_{A_1}^\bullet(T_1^\bullet, T_1^\bullet) \dot{\otimes}_k \mathrm{Hom}_{A_2}^\bullet(T_2^\bullet, T_2^\bullet).$$

Since  $A_i, B_i$  are  $k$ -flat, we have isomorphisms in  $\mathrm{D}(\mathrm{Mod} k)$

$$\begin{aligned} \mathrm{Hom}_{A_1}^\bullet(T_1^\bullet, T_1^\bullet) \dot{\otimes}_k \mathrm{Hom}_{A_2}^\bullet(T_2^\bullet, T_2^\bullet) &\cong \mathrm{Hom}_{A_1}^\bullet(T_1^\bullet, T_1^\bullet) \dot{\otimes}_k^L \mathrm{Hom}_{A_2}^\bullet(T_2^\bullet, T_2^\bullet) \\ &\cong \mathrm{End}_{\mathcal{K}(\mathrm{Mod} A_1)}^\bullet(T_1^\bullet) \dot{\otimes}_k^L \mathrm{End}_{\mathcal{K}(\mathrm{Mod} A_2)}^\bullet(T_2^\bullet) \\ &\cong B_1 \dot{\otimes}_k^L B_2 \\ &\cong B_1 \otimes_k B_2. \end{aligned}$$

Thus  $\mathrm{Hom}_{\mathcal{K}(\mathrm{Mod} A_1 \otimes_k A_2)}^\bullet(T_1^\bullet \dot{\otimes}_k T_2^\bullet, T_1^\bullet \dot{\otimes}_k T_2^\bullet[i]) = 0$  for  $i \neq 0$ . It is easy to see that  $\mathrm{End}_{\mathcal{K}(\mathrm{Mod} A_1 \otimes_k A_2)}^\bullet(T_1^\bullet \dot{\otimes}_k T_2^\bullet) \cong B_1 \otimes_k B_2$  as  $k$ -algebras.

Let  $F = A_1 \otimes_k - : \mathcal{K}^b(\mathrm{proj} A_2) \rightarrow \mathcal{K}^b(\mathrm{proj} A_1 \otimes_k A_2)$ . Since  $\mathrm{add} T_2^\bullet$  generates  $\mathcal{K}^b(\mathrm{proj} A_2)$ ,  $\mathrm{add} A_1 \otimes_k T_2^\bullet$  generates  $\mathcal{K}^b(\mathrm{proj} A_1 \otimes_k A_2)$ . Let  $G = - \dot{\otimes}_k T_2^\bullet : \mathcal{K}^b(\mathrm{proj} A_1) \rightarrow \mathcal{K}^b(\mathrm{proj} A_1 \otimes_k A_2)$ . Since  $\mathrm{add} T_1^\bullet$  generates  $\mathcal{K}^b(\mathrm{proj} A_1)$ , the triangulated full subcategory generated by  $\mathrm{add} T_1 \otimes_k T_2^\bullet$ , contains  $\mathrm{add} A_1 \otimes_k T_2^\bullet$ . Therefore  $\mathrm{add} T_1 \otimes_k T_2^\bullet$  generates  $\mathcal{K}^b(\mathrm{proj} A_1 \otimes_k A_2)$ .  $\square$

**Proposition 17.2.** *Let  $A$  be a  $k$ -algebra which is derived equivalent to a  $k$ -algebra  $B$ . Then we have isomorphisms*

$$\begin{aligned} \mathrm{HH}_k^i(A, A) &= \mathrm{Ext}_{A^{\mathrm{op}} \otimes_k A}^\bullet(A, A) \\ &\cong \mathrm{Ext}_{B^{\mathrm{op}} \otimes_k B}^\bullet(B, B) \\ &= \mathrm{HH}_k^i(B, B). \end{aligned}$$

Here  $\mathrm{HH}_k^i$  means Hochschild homology.

*Proof.* Let  $T^\bullet$  be a tilting complex for  $A$  whose endomorphism ring is isomorphic to  $B$ . By Theorem 17.1,  $T^\bullet \otimes_k T^{\bullet*}$  is a tilting complex for  $A^{\mathrm{op}} \otimes_k A$  whose endomorphism ring is isomorphic to  $B^{\mathrm{op}} \otimes_k B$ , where  $T^{\bullet*} = \mathrm{Hom}_A(T^\bullet, A)$ . According to Lemma 16.5, there is a equivalence  $F : \mathrm{D}^b(\mathrm{Mod} B^{\mathrm{op}} \otimes_k B) \rightarrow \mathrm{D}^b(\mathrm{Mod} A^{\mathrm{op}} \otimes_k A)$  which sends  $B^{\mathrm{op}} \otimes_k B$  to  $T^\bullet \otimes_k T^{\bullet*}$ . Let  $X^\bullet \in \mathrm{D}^b(\mathrm{Mod} B^{\mathrm{op}} \otimes_k B)$  such that  $FX^\bullet \cong A$  in  $\mathrm{D}^b(\mathrm{Mod} A^{\mathrm{op}} \otimes_k A)$ . Then we have

$$\begin{aligned} \mathrm{H}^n(X^\bullet) &\cong \mathrm{Hom}_{\mathrm{D}^b(\mathrm{Mod} B^{\mathrm{op}} \otimes_k B)}(B^{\mathrm{op}} \otimes_k B, X^\bullet[n]) \\ &\cong \mathrm{Hom}_{\mathrm{D}^b(\mathrm{Mod} A^{\mathrm{op}} \otimes_k A)}(T^\bullet \otimes_k T^{\bullet*}, A[n]) \\ &\cong \mathrm{Hom}_{\mathrm{D}^b(\mathrm{Mod} A)}(T^\bullet, T^{\bullet*}[n]) \\ &\cong \mathrm{Hom}_{\mathrm{D}^b(\mathrm{Mod} A)}(T^\bullet, T^\bullet[n]). \end{aligned}$$

Hence  $X^\bullet \cong B$  in  $\mathrm{D}^b(\mathrm{Mod} B^{\mathrm{op}} \otimes_k B)$ .  $\square$

**Definition 17.3.** Let  $A$  be a  $k$ -algebra which is derived equivalent to a  $k$ -algebra  $B$ , and  $P^\bullet$  a tilting complex for  $A$  whose endomorphism ring is isomorphic to  $B$ . Then we have a triangle equivalence  $F : \mathrm{D}^b(\mathrm{Mod} B) \rightarrow \mathrm{D}^b(\mathrm{Mod} A)$  which sends  $B$  to  $P^\bullet$ . We take a complex  $Q^\bullet$  of  $\mathcal{K}^b(\mathrm{proj} B)$  which is isomorphic to  $F^{-1}A$  in  $\mathcal{K}^b(\mathrm{Mod} B)$ , and  $P^{\bullet*} = \mathrm{Hom}_A(P^\bullet, A)$ ,  $Q^{\bullet*} = \mathrm{Hom}_B(Q^\bullet, B)$ . Then a tilting complex  $B \otimes_k P^\bullet$  induces the triangle equivalence

$$\mathrm{D}^b(\mathrm{Mod} B^{\mathrm{op}} \otimes_k B) \rightarrow \mathrm{D}^b(\mathrm{Mod} B^{\mathrm{op}} \otimes_k A).$$

$D^b(\text{Mod } A^{\text{op}} \otimes_k A)$	$D^b(\text{Mod } B^{\text{op}} \otimes_k A)$	$D^b(\text{Mod } A^{\text{op}} \otimes_k B)$	$D^b(\text{Mod } B^{\text{op}} \otimes_k B)$
$A$	$T^\bullet$	$T^{\vee\bullet}$	$B$

Let  $T^\bullet$  be the image of  $B$  of  $D^b(\text{Mod } B^{\text{op}} \otimes_k B)$ . Also, a tilting complex  $A \otimes_k Q^{\bullet\star}$  induces the triangle equivalence

$$D^b(\text{Mod } A^{\text{op}} \otimes_k A) \rightarrow D^b(\text{Mod } A^{\text{op}} \otimes_k B).$$

Let  $T^{\vee\bullet}$  be the image of  $A$  of  $D^b(\text{Mod } A^{\text{op}} \otimes_k A)$ .

**Proposition 17.4.** *The following hold.*

1.  $\text{Res}_A T^\bullet \cong P^\bullet$  in  $D^b(\text{Mod } A)$ .
2.  $\text{Res}_{B^{\text{op}}} T^\bullet \cong Q^{\bullet\star}$  in  $D^b(\text{Mod } B^{\text{op}})$ .
3.  $\text{Res}_B T^{\vee\bullet} \cong Q^\bullet$  in  $D^b(\text{Mod } B)$ .
4.  $\text{Res}_{A^{\text{op}}} T^{\vee\bullet} \cong P^{\bullet\star}$  in  $D^b(\text{Mod } A^{\text{op}})$ .

*Proof.* 1. We have isomorphisms of functors  $K^-(\text{proj } A) \rightarrow \text{Mod } k$

$$\begin{aligned} \text{Hom}_{D(\text{Mod } A)}(-, \text{Res}_A T^\bullet) &\cong \text{Hom}_{D(\text{Mod } B^{\text{op}} \otimes_k A)}(B^{\text{op}} \otimes_k -, T^\bullet) \\ &\cong \text{Hom}_{D(\text{Mod } A^{\text{op}} \otimes_k A)}(P^{\bullet\star} \otimes_k -, A) \\ &\cong \text{Hom}_{D(\text{Mod } A)}(-, P^{\bullet\star\star}) \\ &\cong \text{Hom}_{D(\text{Mod } A)}(-, P^\bullet). \end{aligned}$$

2. We have isomorphisms of functors  $K^-(\text{proj } A) \rightarrow \text{Mod } k$

$$\begin{aligned} \text{Hom}_{D(\text{Mod } B^{\text{op}})}(-, \text{Res}_{B^{\text{op}}} T^\bullet) &\cong \text{Hom}_{D(\text{Mod } B^{\text{op}} \otimes_k A)}(- \otimes_k A, T^\bullet) \\ &\cong \text{Hom}_{D(\text{Mod } B^{\text{op}} \otimes_k B)}(- \otimes_k Q^\bullet, B) \\ &\cong \text{Hom}_{D(\text{Mod } B^{\text{op}})}(-, Q^{\bullet\star}). \end{aligned}$$

- 3, 4. Similarly. □

**Lemma 17.5.** *There is an isomorphism  $\phi : P^\bullet \rightarrow \text{Res}_A T^\bullet$  in  $D(\text{Mod } A)$  such that  $\phi f = \lambda(f)\phi$  for all  $f \in \text{End}_{D(\text{Mod } A)}(P^\bullet)$ , where  $\lambda : B \rightarrow \text{End}_{D(\text{Mod } A)}(\text{Res}_A T^\bullet)$  is the left multiplication morphism.*

*Proof.* For  $f \in \text{End}_{D(\text{Mod } A)}(P^\bullet)$ , by the above isomorphisms, we have a commutative diagram

$$\begin{array}{ccccc} \text{Hom}_{D(\text{Mod } A)}(-, \text{Res}_A T^\bullet) & \xrightarrow{\sim} & \text{Hom}_{D(\text{Mod } B^{\text{op}} \otimes_k A)}(B^{\text{op}} \otimes_k -, T^\bullet) & \xrightarrow{\sim} & \text{Hom}_{D(\text{Mod } A)}(-, P^\bullet) \\ \text{Hom}(-, \lambda(f)) \downarrow & & \downarrow \text{Hom}(f^{\text{op}} \otimes_k -, A) & & \downarrow \text{Hom}(-, f) \\ \text{Hom}_{D(\text{Mod } A)}(-, \text{Res}_A T^\bullet) & \xrightarrow{\sim} & \text{Hom}_{D(\text{Mod } B^{\text{op}} \otimes_k A)}(B^{\text{op}} \otimes_k -, T^\bullet) & \xrightarrow{\sim} & \text{Hom}_{D(\text{Mod } A)}(-, P^\bullet). \end{array}$$

□

**Theorem 17.6.** *For  $\ast = \text{nothing}, +, -, b$ , the  $\partial$ -functor*

$$- \overset{\bullet}{\otimes}_B L^\ast T^\bullet : D^\ast(\text{Mod } B) \rightarrow D^\ast(\text{Mod } A)$$

*is an triangle equivalence, and its quasi-inverse is*

$$R^\ast \text{Hom}_A(T^\bullet, -) \cong - \overset{\bullet}{\otimes}_A L^\ast T^{\vee\bullet} : D^\ast(\text{Mod } A) \rightarrow D^\ast(\text{Mod } B).$$



*Proof.* For a complex  $X^\bullet \in \mathbf{D}^*(\text{Mod } B)$ ,  $X^\bullet \overset{\cdot}{\otimes}_B L^* T^\bullet \cong X^\bullet \overset{\cdot}{\otimes}_B Q^\bullet$  in  $\mathbf{D}(\text{Mod } k)$ . Then  $-\overset{\cdot}{\otimes}_B L^* T^\bullet$  is a way-out in both directions. Similarly,  $\mathbf{R}^* \text{Hom}_A(T^\bullet, -)$ ,  $-\overset{\cdot}{\otimes}_A L^* T^{\vee\bullet}$  are way-out in both directions. Therefore we have the above functors between the above derived categories. By Proposition 17.4, We have

$$\begin{aligned} \text{Res}_A T^\bullet \overset{\cdot}{\otimes}_A L^* \text{Res}_{A^{\text{op}}} T^{\vee\bullet} &\cong P^\bullet \overset{\cdot}{\otimes}_A L^* P^{\bullet*} \\ &\cong \text{End}_{\mathbf{D}^*(\text{Mod } A)}(P^\bullet) \\ &= B \end{aligned}$$

By Lemma 17.5, we have  $T^\bullet \overset{\cdot}{\otimes}_A L^* T^{\vee\bullet} \cong B$  in  $\mathbf{D}(\text{Mod } B^{\text{op}} \otimes_k B)$ . Similarly we have  $T^{\vee\bullet} \overset{\cdot}{\otimes}_B L^* T^\bullet \cong A$  in  $\mathbf{D}(\text{Mod } A^{\text{op}} \otimes_k A)$ . Then  $-\overset{\cdot}{\otimes}_B L^* T^\bullet$  is an equivalence. By adjointness, we have  $\mathbf{R}^* \text{Hom}_A(T^\bullet, -) \cong -\overset{\cdot}{\otimes}_A L^* T^{\vee\bullet}$ .  $\square$

**Definition 17.7** (Biprfect Complex). A complex  $X^\bullet \in \mathbf{D}(\text{Mod } A^{\text{op}} \otimes_k B)$  is called a *biprfect complex* if  $\text{Res}_A X^\bullet \in \mathbf{D}(\text{Mod } A)_{\text{perf}}$  and  $\text{Res}_{B^{\text{op}}} X^\bullet \in \mathbf{D}(\text{Mod } B^{\text{op}})_{\text{perf}}$ . We denote by  $\mathbf{D}(\text{Mod } A^{\text{op}} \otimes_k B)_{\text{biprfect}}$  the triangulated full subcategory of  $\mathbf{D}(\text{Mod } A^{\text{op}} \otimes_k B)$  consisting of biprfect complexes.

**Definition 17.8.** A bimodule complex  ${}_B T_A^\bullet \in \mathbf{K}(\text{Mod } B^{\text{op}} \otimes_k A)$  is called a *two-sided tilting complex* provided that

1.  ${}_B T_A^\bullet$  is a biprfect complex.
2. There exists a biprfect complex  ${}_A T_B^{\vee\bullet}$  such that
  - (a)  ${}_B T^\bullet \overset{\cdot}{\otimes}_A L^* T_B^{\vee\bullet} \cong B$  in  $\mathbf{D}^b(\text{Mod } B^{\text{op}} \otimes_k B)$ ,
  - (b)  ${}_A T^{\vee\bullet} \overset{\cdot}{\otimes}_B L^* T_A^\bullet \cong A$  in  $\mathbf{D}^b(\text{Mod } A^{\text{op}} \otimes_k A)$ .

We call  ${}_A T_B^{\vee\bullet}$  the *inverse* of  ${}_B T_A^\bullet$ .

$\mathbf{R}^* \text{Hom}_A(T^\bullet, -) : \mathbf{D}^*(\text{Mod } A) \rightarrow \mathbf{D}^*(\text{Mod } B)$  is called a *standard equivalence*, where  $*$  = nothing,  $+$ ,  $-$ ,  $b$ .

**Theorem 17.9.** *The following are equivalent.*

1.  $\mathbf{D}(\text{Mod } A) \overset{t}{\cong} \mathbf{D}(\text{Mod } B)$ .
2.  $\mathbf{D}^+(\text{Mod } A) \overset{t}{\cong} \mathbf{D}^+(\text{Mod } B)$ .
3.  $A$  is derived equivalent to  $B$ .
4. There exists a two-sided tilting complex  ${}_B T_A^\bullet$ .

*Proof.* By Theorem 17.6 and the dual of Lemma 16.10.  $\square$

**Corollary 17.10.** *Let  ${}_B T_A^\bullet$  and  ${}_C S_B^\bullet$  be two-sided tilting complexes. Then  ${}_C S_B^\bullet \overset{\cdot}{\otimes} L^* T_A^\bullet$  is a two-sided tilting complex.*

**17.2. The Case of Projective  $k$ -algebras.** Throughout this subsection,  $k$  is a commutative ring,  $A, B, C$  are  $k$ -algebras which are  $k$ -projective modules. See Propositions 15.7, 15.8.

**Lemma 17.11.** *Let  $X, P$  be  $B$ - $A$ -bimodules such that  $\text{Res}_A P$  is a finitely generated projective  $A$ -module. Then the following hold.*

1. For a right  $A$ -module  $M$  and a left  $A$ -module  $N$ , we have  $B$ -module morphisms

$$M \otimes_A \text{Hom}_A(X, A) \rightarrow \text{Hom}_A(X, M) \quad (m \otimes f \mapsto (x \mapsto mf(x))),$$

$$X \otimes_A N \rightarrow \text{Hom}_A(\text{Hom}_A(X, A), N) \quad (x \otimes n \mapsto (f \mapsto f(x)n)).$$

2. We have a functorial isomorphism of functors  $\text{Mod } A \rightarrow \text{Mod } B$

$$-\otimes_A \text{Hom}_A(P, A) \cong \text{Hom}_A(P, -).$$

3. We have a functorial isomorphism of functors  $\text{Mod } A^{\text{op}} \rightarrow \text{Mod } B^{\text{op}}$

$$P \otimes_A - \cong \text{Hom}_A(\text{Hom}_A(P, A), -).$$

**Lemma 17.12.** *Let  $X^\bullet \in \text{D}^*(\text{Mod } B^{\text{op}} \otimes_k A)$  with  $\text{Res}_A X^\bullet \in \text{D}^*(\text{Mod } A)_{\text{perf}}$ , where  $*$  = nothing, +, -, b. Then the following hold.*

1. We have a  $\partial$ -functorial isomorphism of  $\partial$ -functors  $\text{D}^*(\text{Mod } A^{\text{op}} \otimes_k A) \rightarrow \text{D}^*(\text{Mod } A^{\text{op}} \otimes_k B)$

$$-\dot{\otimes}_A^L \mathbf{R}\text{Hom}_A^\bullet(X^\bullet, A) \cong \mathbf{R}^* \text{Hom}_A^\bullet(X^\bullet, -).$$

2. We have a  $\partial$ -functorial isomorphism of  $\partial$ -functors  $\text{D}^*(\text{Mod } A^{\text{op}} \otimes_k A) \rightarrow \text{D}^*(\text{Mod } B^{\text{op}} \otimes_k A)$

$$X^\bullet \dot{\otimes}_A^L - \cong \mathbf{R}^* \text{Hom}_A^\bullet(\mathbf{R}\text{Hom}_A^\bullet(X^\bullet, A), -).$$

*Proof.* 1. By Lemma 17.11, we have a  $\partial$ -functorial morphism of  $\partial$ -functors  $\text{D}^*(\text{Mod } A^{\text{op}} \otimes_k A) \rightarrow \text{D}^*(\text{Mod } A^{\text{op}} \otimes_k B)$

$$\phi : -\dot{\otimes}_A^L \mathbf{R}\text{Hom}_A^\bullet(X^\bullet, A) \rightarrow \mathbf{R}\text{Hom}_A^\bullet(X^\bullet, -).$$

Let  $Q^\bullet \in \text{K}^b(\text{proj } A)$  which has a quasi-isomorphism  $Q^\bullet \rightarrow \text{Res}_A X^\bullet$ . By Lemma 17.11, we have a  $\partial$ -functorial isomorphism of  $\partial$ -functors  $\text{D}^*(\text{Mod } A) \rightarrow \text{D}^*(\text{Mod } k)$

$$\psi : -\dot{\otimes}_A \text{Hom}_A^\bullet(Q^\bullet, A) \xrightarrow{\sim} \text{Hom}_A^\bullet(Q^\bullet, -).$$

Since

$$\text{Res}_k \phi \cong \psi,$$

and  $\text{H}^i(\psi)$  is an isomorphism,  $\phi$  is a functorial isomorphism.

2. Similarly. □

**Corollary 17.13.** *Let  $T^\bullet$  and  $T^{\vee\bullet}$  be a two-sided tilting complex and its inverse. Then we have isomorphisms in  $\text{D}^b(\text{Mod } B^{\text{op}} \otimes_k A)$*

$$\begin{aligned} T^{\vee\bullet} &\cong \mathbf{R}\text{Hom}_A^\bullet(T^\bullet, A) \\ &\cong \mathbf{R}\text{Hom}_B^\bullet(T^\bullet, B). \end{aligned}$$

**Theorem 17.14.** *For a bimodule complex  ${}_B T_A^\bullet$ , the following are equivalent.*

1.  ${}_B T_A^\bullet$  is a two-sided tilting complex.
2.  ${}_B T_A^\bullet$  satisfies that
  - (a)  ${}_B T_A^\bullet$  is a biperfect complex,
  - (b) The right multiplication morphism  $\rho_A : A \rightarrow \mathbf{R}\text{Hom}_B^\bullet(T^\bullet, T^\bullet)$  is an isomorphism in  $\text{D}(\text{Mod } A^{\text{op}} \otimes_k A)$ ,
  - (c) The left multiplication morphism  $\lambda_B : B \rightarrow \mathbf{R}\text{Hom}_A^\bullet(T^\bullet, T^\bullet)$  is an isomorphism in  $\text{D}(\text{Mod } B^{\text{op}} \otimes_k B)$ .
3.  ${}_B T_A^\bullet$  satisfies that
  - (a)  ${}_B T_A^\bullet$  is a biperfect complex,
  - (b)  $\text{Hom}_{\text{D}^b(\text{Mod } B^{\text{op}})}(T^\bullet, T^\bullet[i]) = 0$  for  $i \neq 0$ ,
  - (c)  $\text{Hom}_{\text{D}^b(\text{Mod } A)}(T^\bullet, T^\bullet[i]) = 0$  for  $i \neq 0$ ,
  - (d) The right multiplication morphism  $\rho_A$  induces a ring isomorphism  $A \rightarrow \text{End}_{\text{D}^b(\text{Mod } B^{\text{op}})}(T^\bullet)^{\text{op}}$ ,

(e) The left multiplication morphism  $\lambda_B$  induces a ring isomorphism  $B \rightarrow \text{End}_{\mathbf{D}^b(\text{Mod } A)}(T^\bullet)$ .

*Proof.*  $1 \Rightarrow 3$ . By Corollary 17.13, Lemma 17.12, we have isomorphisms in  $\mathbf{D}(\text{Mod } B^{\text{op}} \otimes_k B)$

$$\begin{aligned} \mathbf{R}\text{Hom}_A^\bullet(T^\bullet, T^\bullet) &\cong {}_B T^\bullet \dot{\otimes}_A^L \mathbf{R}\text{Hom}_A^\bullet(T^\bullet, A) \\ &\cong {}_B T^\bullet \dot{\otimes}_A^L T_B^{\vee\bullet} \\ &\cong B. \end{aligned}$$

And we have an isomorphism in  $\mathbf{D}(\text{Mod } A^{\text{op}} \otimes_k A)$

$$\begin{aligned} \mathbf{R}\text{Hom}_B^\bullet(T^\bullet, T^\bullet) &\cong \mathbf{R}\text{Hom}_B^\bullet(T^\bullet, B) \dot{\otimes}_B^L T_A^\bullet \\ &\cong {}_A T^{\vee\bullet} \dot{\otimes}_B^L T_A^\bullet \\ &\cong A. \end{aligned}$$

Since  $-\dot{\otimes}_B^L T_A^\bullet$  is an equivalence, we have a commutative diagram

$$\begin{array}{ccc} \text{Hom}_{\mathbf{D}(\text{Mod } B)}(B_B, B_B) & \xrightarrow{\sim} & \text{Hom}_{\mathbf{D}(\text{Mod } A)}(B \dot{\otimes}_B^L T_A^\bullet, B \dot{\otimes}_B^L T_A^\bullet) \\ \uparrow & & \downarrow \\ B & \xrightarrow{\lambda_B} & \text{Hom}_{\mathbf{D}(\text{Mod } A)}(T_A^\bullet, T_A^\bullet) \end{array}$$

where all vertical arrows are isomorphisms. Then  $\lambda_B$  is an isomorphism. Similarly,  $\rho_A$  is an isomorphism.

$3 \Rightarrow 2$ . It is easy to see that we have a morphism  $\lambda_A : A \rightarrow \mathbf{R}\text{Hom}_B^\bullet(T^\bullet, T^\bullet)$  in  $\mathbf{D}(\text{Mod } A^{\text{op}} \otimes_k A)$ . By taking cohomologies, we get the condition (b) of 2. Similarly, we get the condition (c) of 2.

$2 \Rightarrow 1$ . Let  $T^{\vee\bullet} = \mathbf{R}\text{Hom}_A^\bullet(T^\bullet, A)$ , then we have isomorphisms

$$\begin{aligned} {}_B T^\bullet \dot{\otimes}_A^L T_B^{\vee\bullet} &= {}_B T^\bullet \dot{\otimes}_A^L \mathbf{R}\text{Hom}_A^\bullet(T^\bullet, A) \\ &\cong \mathbf{R}\text{Hom}_A^\bullet(T^\bullet, T^\bullet) \\ &\cong B. \end{aligned}$$

By Proposition 15.5 2, we have an isomorphism

$$\begin{aligned} \mathbf{R}\text{Hom}_A^\bullet(T^\bullet, A) &\cong \mathbf{R}\text{Hom}_A^\bullet(T^\bullet, \mathbf{R}\text{Hom}_B^\bullet(T^\bullet, T^\bullet)) \\ &\cong \mathbf{R}\text{Hom}_B^\bullet(T^\bullet, \mathbf{R}\text{Hom}_A^\bullet(T^\bullet, T^\bullet)) \\ &\cong \mathbf{R}\text{Hom}_B^\bullet(T^\bullet, B). \end{aligned}$$

Then we have

$$\begin{aligned} {}_A T^{\vee\bullet} \dot{\otimes}_B^L T_A^\bullet &\cong \mathbf{R}\text{Hom}_B^\bullet(T^\bullet, B) \dot{\otimes}_B^L T_A^\bullet \\ &\cong \mathbf{R}\text{Hom}_B^\bullet(T^\bullet, T^\bullet) \\ &\cong A. \end{aligned}$$

□

**Theorem 17.15.** *Let  $(A_i, B_i)$  be derived equivalent  $k$ -algebras,  $T_i^\bullet$  two-sided tilting complexes in  $\mathbf{D}^b(\text{Mod } B_i^{\text{op}} \otimes_k A_i)$  and their inverses  $T_i^{\vee\bullet}$  ( $i = 0, 1, 2$ ). Then we have the following commutative diagrams.*

1.

$$\begin{array}{ccc} \mathrm{D}^b(\mathrm{Mod} A_0^{\mathrm{op}} \otimes_k A_1) \times \mathrm{D}^b(\mathrm{Mod} A_1^{\mathrm{op}} \otimes_k A_2) & \xrightarrow{-\dot{\otimes}_{A_1}^-} & \mathrm{D}^b(\mathrm{Mod} A_0^{\mathrm{op}} \otimes_k A_2) \\ F_0 \times F_1 \downarrow & & \downarrow F_2 \\ \mathrm{D}^b(\mathrm{Mod} B_0^{\mathrm{op}} \otimes_k B_1) \times \mathrm{D}^b(\mathrm{Mod} B_1^{\mathrm{op}} \otimes_k B_2) & \xrightarrow{-\dot{\otimes}_{B_1}^-} & \mathrm{D}^b(\mathrm{Mod} B_0^{\mathrm{op}} \otimes_k B_2). \end{array}$$

2.

$$\begin{array}{ccc} \mathrm{D}^b(\mathrm{Mod} A_1^{\mathrm{op}} \otimes_k A_2) \times \mathrm{D}^b(\mathrm{Mod} A_0^{\mathrm{op}} \otimes_k A_2) & \xrightarrow{\mathbf{R}\mathrm{Hom}_{A_2}^{\cdot}(-, -)} & \mathrm{D}^b(\mathrm{Mod} A_0^{\mathrm{op}} \otimes_k A_1) \\ F_1 \times F_2 \downarrow & & \downarrow F_0 \\ \mathrm{D}^b(\mathrm{Mod} B_1^{\mathrm{op}} \otimes_k B_2) \times \mathrm{D}^b(\mathrm{Mod} B_0^{\mathrm{op}} \otimes_k B_2) & \xrightarrow{\mathbf{R}\mathrm{Hom}_{B_2}^{\cdot}(-, -)} & \mathrm{D}^b(\mathrm{Mod} B_0^{\mathrm{op}} \otimes_k B_1). \end{array}$$

$$\text{Here } F_0 = T_0 \dot{\otimes}_{A_0}^{\cdot} L - \dot{\otimes}_{A_1}^{\cdot} L T_1^{\vee \cdot}, F_1 = T_1 \dot{\otimes}_{A_1}^{\cdot} L - \dot{\otimes}_{A_2}^{\cdot} L T_2^{\vee \cdot}, F_2 = T_0 \dot{\otimes}_{A_0}^{\cdot} L - \dot{\otimes}_{A_2}^{\cdot} L T_2^{\vee \cdot}.$$

*Proof.* 1. We have isomorphisms

$$\begin{aligned} & (T_0 \dot{\otimes}_{A_0}^{\cdot} L - \dot{\otimes}_{A_1}^{\cdot} L T_1^{\vee \cdot}) \dot{\otimes}_{B_1}^{\cdot} (T_1 \dot{\otimes}_{A_1}^{\cdot} L - \dot{\otimes}_{A_2}^{\cdot} L T_2^{\vee \cdot}) \\ & \cong (T_0 \dot{\otimes}_{A_0}^{\cdot} L -) \dot{\otimes}_{A_1}^{\cdot} (T_1^{\vee \cdot} \dot{\otimes}_{B_1}^{\cdot} L T_1) \dot{\otimes}_{A_1}^{\cdot} (- \dot{\otimes}_{A_2}^{\cdot} L T_2^{\vee \cdot}) \\ & \cong (T_0 \dot{\otimes}_{A_0}^{\cdot} L -) \dot{\otimes}_{A_1}^{\cdot} (A_1) \dot{\otimes}_{A_1}^{\cdot} (- \dot{\otimes}_{A_2}^{\cdot} L T_2^{\vee \cdot}) \\ & \cong (T_0 \dot{\otimes}_{A_0}^{\cdot} L -) \dot{\otimes}_{A_1}^{\cdot} (- \dot{\otimes}_{A_2}^{\cdot} L T_2^{\vee \cdot}). \end{aligned}$$

2. Let  $X \cdot \in \mathrm{Mod} A_0^{\mathrm{op}} \otimes_k A_2$ ,  $Y \cdot \in \mathrm{Mod} A_1^{\mathrm{op}} \otimes_k A_2$ ,  $Z \cdot \in \mathrm{Mod} A_0^{\mathrm{op}} \otimes_k A_2$ . Since we have an adjoint isomorphism

$$\mathrm{Hom}_{\mathrm{D}(\mathrm{Mod} A_0^{\mathrm{op}} \otimes_k A_2)}(X \cdot \dot{\otimes}_{A_1}^{\cdot} L Y \cdot, Z \cdot) \cong \mathrm{Hom}_{\mathrm{D}(\mathrm{Mod} A_0^{\mathrm{op}} \otimes_k A_1)}(X \cdot, \mathbf{R}\mathrm{Hom}_{A_2}^{\cdot}(Y \cdot, Z \cdot)),$$

we get the assertion by 1.  $\square$

**17.3. The Case of Finite Dimensional  $k$ -algebras.** Throughout this subsection, we assume  $k$  is a field, and all algebra are finite dimensional  $k$ -algebras. We denote  $D_k = \mathrm{Hom}_k(-, k)$ .

**Definition 17.16** (Nakayama Functor). A triangle auto-equivalence  $\nu_A = - \dot{\otimes}_A^{\cdot} D_k A : \mathrm{D}^b(\mathrm{mod} A) \rightarrow \mathrm{D}^b(\mathrm{mod} A)$  is called a *Nakayama functor*.

**Proposition 17.17.** *Let  $(A, B)$  be derived equivalent  $k$ -algebras,  $T \cdot$  a two-sided tilting complex in  $\mathrm{D}^b(\mathrm{Mod} B^{\mathrm{op}} \otimes_k A)$  and its inverse  $T^{\vee \cdot}$ . Then we have a commutative diagram*

$$\begin{array}{ccc} \mathrm{D}^-(\mathrm{Mod} A) & \xrightarrow{\nu_A} & \mathrm{D}^-(\mathrm{Mod} A) \\ F \downarrow & & \downarrow F \\ \mathrm{D}^-(\mathrm{Mod} B) & \xrightarrow{\nu_B} & \mathrm{D}^-(\mathrm{Mod} B), \end{array}$$

where  $F$  is a standard equivalence.

*Proof.* By Proposition 17.2, the standard equivalence  $G : \mathrm{D}^b(\mathrm{Mod} A^{\mathrm{op}} \otimes_k A) \rightarrow \mathrm{D}^b(\mathrm{Mod} B^{\mathrm{op}} \otimes_k B)$  sends  $A$  to  $B$ .

By the case of  $A_1 = A^{\mathrm{op}} \otimes_k A$ ,  $B_1 = B^{\mathrm{op}} \otimes_k B$  and  $A_0 = A_2 = B_0 = B_2 = k$  in Theorem 17.15 2, we have  $GD_k A \cong D_k B$ .

By the case of  $A_1 = A_2 = A$ ,  $B_1 = B_2 = B$  and  $A_0 = B_0 = k$  in Theorem 17.15 1, we have  $F\nu_A = \nu_B F$ .  $\square$

**Corollary 17.18.** *Let  $A$  be a finite dimensional  $k$ -algebra which is derived equivalent to  $B$ . If  $A$  is a symmetric algebra, then  $B$  is a symmetric algebra.*

**Lemma 17.19.** *Let  $A, B$  be finite dimensional self-injective  $k$ -algebras,  ${}_A P_B$  is  $A^{\text{op}} \otimes_k B$ -projective,  ${}_B V_A$  is  $A$ -projective and  $B$ -projective. The following hold.*

1.  $B^{\text{op}} \otimes_k A$  is a self-injective algebra.
2.  $\text{Hom}_A(P, A)$  is  $B^{\text{op}} \otimes_k A$ -projective.
3.  $\text{Hom}_A(V, A)$  is  $A$ -projective and  $B$ -projective.
4.  ${}_A P \otimes_B V_A$  is  $A^{\text{op}} \otimes_k A$ -projective.
5.  $X \otimes_A P_B$  is  $B$ -projective for any  $X \in \text{Mod } A$ .
6.  $Y \otimes_B V_A$  is  $A$ -projective for any  $Y \in \text{Proj } B$ .

*Proof.* By Propositions 15.1, 15.3.  $\square$

**Proposition 17.20.** *Let  $A$  and  $B$  be derived equivalent self-injective  $k$ -algebras. Then  $\mathbf{K}(\text{mod } A) \stackrel{t}{\cong} \mathbf{K}(\text{mod } B)$ , and there are bimodules  ${}_A M_B$  and  ${}_B N_A$  such that*

$$- \otimes_A M : \text{mod } A \rightarrow \text{mod } B \quad \text{and} \quad - \otimes_B N : \text{mod } B \rightarrow \text{mod } A$$

*induce an equivalence  $\underline{\text{mod}} A \cong \underline{\text{mod}} B$ .*

*Proof.* Let  $T^\bullet$  be a two-sided tilting complex in  $\mathbf{D}^b(\text{Mod } B^{\text{op}} \otimes_k A)$  and  $T^{\vee\bullet}$  its inverse. By taking a  $B^{\text{op}} \otimes_k A$ -projective resolution of  $T^\bullet$ , and its shifting and truncation, we may assume  $T^\bullet$  is isomorphic to

$$S^\bullet : S^{-n} \rightarrow S^{-n+1} \rightarrow \dots \rightarrow S^0$$

where  $S^i$  are  $B^{\text{op}} \otimes_k A$ -projective ( $-n < i \leq 0$ ), and  $S^{-n}$  is  $A$ -projective and  $B$ -projective. Then  $T^{\vee\bullet} \cong \text{Hom}_A(S^\bullet, A)$  in  $\mathbf{D}^b(\text{Mod } A^{\text{op}} \otimes_k B)$ , and we have

$$\begin{aligned} S^\bullet \overset{\cdot}{\otimes}_A \text{Hom}_A(S^\bullet, A) &\cong B \quad \text{in} \quad \mathbf{K}^b(\text{Mod } B^{\text{op}} \otimes_k B), \\ \text{Hom}_A(S^\bullet, A) \overset{\cdot}{\otimes}_B S^\bullet &\cong A \quad \text{in} \quad \mathbf{K}^b(\text{Mod } A^{\text{op}} \otimes_k A). \end{aligned}$$

These imply that  $\mathbf{K}(\text{mod } A) \stackrel{t}{\cong} \mathbf{K}(\text{mod } B)$ . Let  $M = \Omega^n(\text{Hom}_A(S^{-n}, A))$ , the  $n$ th syzygy as a  $B^{\text{op}} \otimes_k A$ -module and  $N = \Omega^{-n}(S^{-n})$ , the  $-n$ th syzygy as a  $A^{\text{op}} \otimes_k B$ -module. Since  $\text{Hom}_A(S^{-n}, A)$  is  $A$ -projective and  $B$ -projective,  $M$  is  $A$ -projective and  $B$ -projective. Similarly,  $N$  is  $A$ -projective and  $B$ -projective. Then

$$- \otimes_A M : \text{mod } A \rightarrow \text{mod } B \quad \text{and} \quad - \otimes_B N : \text{mod } B \rightarrow \text{mod } A$$

induce triangle functors between  $\underline{\text{mod}} A$  and  $\underline{\text{mod}} B$ . By Lemma 17.19, all terms but the term  $\text{Hom}_A(S^{-n}, A) \otimes_A S^{-n}$  of a double complex  $\text{Hom}_A(S^\bullet, A) \overset{\cdot}{\otimes}_B S^\bullet$  are  $A^{\text{op}} \otimes_k A$ -projective. Therefore  $A$  is a direct summand of  $\bigoplus_{p=q} \text{Hom}_A(S^p, A) \otimes_B S^q$  as a  $A^{\text{op}} \otimes_k A$ -module. For each  $X \in \text{mod } A$ , we have isomorphisms in  $\underline{\text{mod}} A$

$$\begin{aligned} X &\cong X \otimes_A A \\ &\cong X \otimes_A \text{Hom}_A(S^{-n}, A) \otimes_B S^{-n} \\ &\cong \omega^{-n}(X \otimes_A M \otimes_B S^{-n}) \\ &\cong \omega^n \omega^{-n}(X \otimes_A M \otimes_B N) \\ &\cong X \otimes_A M \otimes_B N, \end{aligned}$$

where  $\omega$  is the loop space functor on  $\underline{\mathbf{mod}}A$ . Similarly, for each  $Y \in \mathbf{mod}B$ , we have an isomorphism in  $\underline{\mathbf{mod}}B$

$$Y \cong Y \otimes_B N \otimes_A M.$$

□

**Proposition 17.21.** *Let  $A$  and  $B$  be indecomposable symmetric  $k$ -algebras,  $X^\bullet$  a biprfect complex in  $\mathbf{D}^b(\mathbf{Mod} B^{\text{op}} \otimes_k A)$ , and  $X^{\vee\bullet} = \text{Hom}_k(X^\bullet, k)$ . If  $X^\bullet \dot{\otimes}_A^L X^{\vee\bullet} \cong B$  in  $\mathbf{D}^b(\mathbf{Mod} B^{\text{op}} \otimes_k B)$ , then  $X^\bullet$  is a two-sided tilting complex.*

*Proof.* Since we have isomorphisms

$$\begin{aligned} X^{\vee\bullet} &\cong \mathbf{RHom}_A(X^\bullet, A) \\ &\cong \mathbf{RHom}_B(X^\bullet, B), \end{aligned}$$

by Proposition 15.5, lemma 17.12,  $F = - \dot{\otimes}_B^L X^\bullet : \mathbf{D}^b(\mathbf{mod} B) \rightarrow \mathbf{D}^b(\mathbf{mod} A)$  and  $F^\vee = - \dot{\otimes}_A^L X^{\vee\bullet} : \mathbf{D}^b(\mathbf{mod} A) \rightarrow \mathbf{D}^b(\mathbf{mod} B)$  are both left and right adjoint to one another. By adjunction arrows of adjoint pairs  $(F, F^\vee)$ ,  $(F^\vee, F)$ , we have morphisms  $\alpha : A \rightarrow X^{\vee\bullet} \dot{\otimes}_B^L X^\bullet$ ,  $\beta : X^{\vee\bullet} \dot{\otimes}_B^L X^\bullet \rightarrow A$ . By Proposition 1.17, we have a split monomorphism  $\alpha F : X^\bullet \rightarrow X^\bullet \dot{\otimes}_A^L X^{\vee\bullet} \dot{\otimes}_B^L X^\bullet$ , a split epimorphism  $\beta F : X^\bullet \dot{\otimes}_A^L X^{\vee\bullet} \dot{\otimes}_B^L X^\bullet \rightarrow X^\bullet$ . Since  $\mathbf{D}^b(\mathbf{mod} B^{\text{op}} \otimes_k A)$  is a Krull-Schmidt category by Corollary 11.19,  $X^\bullet \dot{\otimes}_A^L X^{\vee\bullet} \cong B$  implies that  $\alpha F, \beta F$  are isomorphisms in  $\mathbf{D}^b(\mathbf{mod} B^{\text{op}} \otimes_k A)$ . If  $\beta\alpha$  is not an isomorphism, then this contradicts  $(\beta\alpha)F$  is an isomorphism. Therefore  $A$  is a direct summand of  $X^{\vee\bullet} \dot{\otimes}_B^L X^\bullet$  in  $\mathbf{D}^b(\mathbf{mod} A^{\text{op}} \otimes_k A)$ . Since  $X^{\vee\bullet} \dot{\otimes}_B^L X^\bullet \dot{\otimes}_A^L X^{\vee\bullet} \dot{\otimes}_B^L X^\bullet \cong X^{\vee\bullet} \dot{\otimes}_B^L X^\bullet$ , we have  $A \cong X^{\vee\bullet} \dot{\otimes}_B^L X^\bullet$  in  $\mathbf{D}^b(\mathbf{mod} A^{\text{op}} \otimes_k A)$ . □

**Proposition 17.22.** *Let  $A$  be a symmetric  $k$ -algebra which has no simple projective  $A$ -module,  $e$  an idempotent of  $A$ , and  $P^\bullet : P^{-1} \xrightarrow{d^{-1}} P^0 = Ae \otimes_k eA \xrightarrow{\mu} A$ , where  $\mu$  is the multiplication morphism. Then  $P^\bullet$  is a tilting complex. Moreover,  ${}_A P_A^\bullet$  is a two-sided tilting complex if and only if  $\dim_k eAe = 2$ .*

*Proof.* Since  $D_k P^\bullet = (D_k A \rightarrow D_k(Ae \otimes_k eA)) \cong (A \rightarrow Ae \otimes_k eA)$ ,  $\text{Hom}_A^\bullet(P_A^\bullet, P_A^\bullet) \cong P^\bullet \ddot{\otimes}_A D_k P^\bullet$  has the form

$$\begin{array}{ccc} Ae \otimes_k eA & \longrightarrow & A \\ \downarrow & & \downarrow \\ Ae \otimes_k eAe \otimes_k eA & \longrightarrow & Ae \otimes_k eA, \end{array}$$

where the left vertical arrow is monic and the bottom horizontal arrow is epic. Then  $H^i(\text{Hom}_A^\bullet(P_A^\bullet, P_A^\bullet)) = 0$  for  $i \neq 0$ . Since

$$P^\bullet = (eAe \otimes_k eA \rightarrow eA) \oplus ((1-e)Ae \otimes_k eA \rightarrow (1-e)A)$$

and  $\dim_K eAe = n \geq 2$ ,

$$P^\bullet \cong (eA^{n-1} \rightarrow O) \oplus ((1-e)Ae \otimes_k eA \rightarrow (1-e)A),$$

and then  $P^\bullet$  generates  $\mathbf{K}^b(\text{proj } A_A)$ . By the above diagram, we have an isomorphism in  $\text{mod } A^{\text{op}} \otimes_k A$

$$Ae \otimes_k eA \oplus H^0(P^\bullet \overset{\cdot}{\otimes}_A D_k P^\bullet) \oplus Ae \otimes_k eA \cong Ae \otimes_k eAe \otimes_k eA \oplus A.$$

By the Krull-Schmidt Theorem, we have

$$H^0(P^\bullet \overset{\cdot}{\otimes}_A D_k P^\bullet) \cong A \oplus (Ae \otimes_k eA)^{n-2}.$$

□

## 18. COTILTING BIMODULE COMPLEXES

Throughout this section, unless otherwise stated,  $k$  is a commutative ring,  $A, B, C$  are  $k$ -algebras which are  $k$ -projective modules. See Propositions 15.7, 15.8.

**Definition 18.1** (Cotilting Bimodule Complexes). Let  $A$  be a right coherent  $k$ -algebra and  $B$  a left coherent  $k$ -algebra. A complex  ${}_B U_A^\bullet \in \mathbf{D}^b(\text{Mod } B^{\text{op}} \otimes_k A)$  is called a *cotilting  $B$ - $A$ -bimodule complex* provided that it satisfies

1.  $\text{Res}_A U^\bullet \in \mathbf{D}_c^b(\text{Mod } A)_{\text{fid}}$  and  $\text{Res}_{B^{\text{op}}} U^\bullet \in \mathbf{D}_c^b(\text{Mod } B^{\text{op}})_{\text{fid}}$ .
2.  $\text{Hom}_{\mathbf{D}(\text{Mod } A)}(U^\bullet, U^\bullet[i]) = 0$  for all  $i \neq 0$ .
3.  $\text{Hom}_{\mathbf{D}(\text{Mod } B^{\text{op}})}(U^\bullet, U^\bullet[i]) = 0$  for all  $i \neq 0$ .
4. the left multiplication morphism  $B \rightarrow \text{End}_{\mathbf{D}(\text{Mod } A)}(U^\bullet)$  is a ring isomorphism.
5. the right multiplication morphism  $A \rightarrow \text{End}_{\mathbf{D}(\text{Mod } B^{\text{op}})}(U^\bullet)^{\text{op}}$  is a ring isomorphism.

In case of  $B = A$ , we will call a cotilting  $A$ - $A$ -bimodule complex a *dualizing  $A$ -bimodule complex*.

**Proposition 18.2.** *Let  $A$  be a right coherent  $k$ -algebra and  $B$  a left coherent  $k$ -algebra, and  ${}_B U_A^\bullet \in \mathbf{D}^b(\text{Mod } B^{\text{op}} \otimes_k A)$  a cotilting  $B$ - $A$ -bimodule complex. Then*

$$\mathbf{R}^* \text{Hom}_A^\bullet(-, U^\bullet) : \mathbf{D}_c^*(\text{Mod } A) \rightarrow \mathbf{D}_c^\dagger(\text{Mod } B^{\text{op}})$$

and

$$\mathbf{R}^\dagger \text{Hom}_B^\bullet(-, U^\bullet) : \mathbf{D}_c^\dagger(\text{Mod } B^{\text{op}}) \rightarrow \mathbf{D}_c^*(\text{Mod } A)$$

induce the duality, where  $(*, \dagger) = (\text{nothing}, \text{nothing}), (+, -), (-, +), (b, b)$ .

*Proof.* Since  $\text{Res}_A U^\bullet \in \mathbf{D}_c^b(\text{Mod } A)_{\text{fid}}$ , by Proposition 10.21  $\mathbf{R}^* \text{Hom}_A^\bullet(-, U^\bullet)$  is way-out in both directions. Since  $\mathbf{R}^* \text{Hom}_A^\bullet(A, U^\bullet) \cong \text{Res}_{B^{\text{op}}} U^\bullet \in \mathbf{D}_c^\dagger(\text{Mod } B^{\text{op}})$ , we have  $\mathbf{R}^* \text{Hom}_A^\bullet(-, U^\bullet) : \mathbf{D}_c^*(\text{Mod } A) \rightarrow \mathbf{D}_c^\dagger(\text{Mod } B^{\text{op}})$  by Proposition 12.12. Similarly we have  $\mathbf{R}^\dagger \text{Hom}_B^\bullet(-, U^\bullet) : \mathbf{D}_c^\dagger(\text{Mod } B^{\text{op}}) \rightarrow \mathbf{D}_c^*(\text{Mod } A)$ . Since

$$(\mathbf{R}^* \text{Hom}_A^\bullet(-, U^\bullet), \mathbf{R}^\dagger \text{Hom}_B^\bullet(-, U^\bullet))$$

is a right adjoint pair, we have adjunction arrows

$$\begin{aligned} \eta : \mathbf{1}_{\mathbf{D}_c^*(\text{Mod } A)} &\rightarrow \mathbf{R}^\dagger \text{Hom}_B^\bullet(-, U^\bullet) \circ \mathbf{R}^* \text{Hom}_A^\bullet(-, U^\bullet) \\ \theta : \mathbf{1}_{\mathbf{D}_c^\dagger(\text{Mod } B^{\text{op}})} &\rightarrow \mathbf{R}^* \text{Hom}_A^\bullet(-, U^\bullet) \circ \mathbf{R}^\dagger \text{Hom}_B^\bullet(-, U^\bullet). \end{aligned}$$

It is not hard that we have a commutative diagram in  $\mathbf{D}_c^*(\text{Mod } A^{\text{op}} \otimes_k A)$

$$\begin{array}{ccc} A & \xrightarrow{\eta(A)} & \mathbf{R}^\dagger \text{Hom}_B^\bullet(\mathbf{R}^* \text{Hom}_A^\bullet(A, U^\bullet), U^\bullet) \\ \parallel & & \downarrow \wr \\ A & \xrightarrow{\rho_A} & \mathbf{R}^\dagger \text{Hom}_B^\bullet(U^\bullet, U^\bullet), \end{array}$$

Then  $\eta(A)$  is an isomorphism. By Proposition 12.11,  $\eta$  is an isomorphism. Similarly,  $\theta$  is an isomorphism.  $\square$

**Lemma 18.3** (Piled Resolutions Lemma). *Let  $\mathcal{A}$  be an abelian category satisfying the condition  $Ab_4^*$  with enough injectives, and let  $C^{\bullet\bullet}$  be a double complex with  $C^{p,q} = 0$  ( $p < 0$  or  $q < 0$ ),  $C^{i,j} \rightarrow I^j$  quasi-isomorphisms with  $I^j : 0 \rightarrow I^{-s,j} \rightarrow I^{-s+1,j} \rightarrow \dots \in \mathbf{K}^+(\text{Inj } \mathcal{A})$  for all  $i$ . Then, there is a quasi-isomorphism from  $\text{Tot } C^{\bullet\bullet} = \widehat{\text{Tot}} C^{\bullet\bullet}$  to a complex  $J^\bullet$  of the following form in  $\mathbf{K}^+(\text{Inj } \mathcal{A})$*

$$J^n = \begin{cases} 0 & \text{if } n < -s \\ \bigoplus_{i+j=n} I^{i,j} & \text{if } n \geq -s \end{cases}$$

*Proof.* For a double complex  $C^{\bullet\bullet}$ , we have a sequence of morphisms  $\{\text{Tot } \tau_{\leq n}^{\text{II}} C^{\bullet\bullet} \rightarrow \text{Tot } \tau_{\leq n-1}^{\text{II}} C^{\bullet\bullet}\}$ . By induction on  $n \geq 0$ , we construct complexes  $V_n^\bullet$  and morphisms of triangles in  $\mathbf{K}^+(\mathcal{A})$

$$\begin{array}{ccccccc} \text{Tot } \tau_{\leq n}^{\text{II}} C^{\bullet\bullet} & \longrightarrow & \text{Tot } \tau_{\leq n-1}^{\text{II}} C^{\bullet\bullet} & \longrightarrow & C^{\bullet n}[1-n] & \longrightarrow & \text{Tot } \tau_{\leq n}^{\text{II}} C^{\bullet\bullet}[1] \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ V_n^\bullet & \longrightarrow & V_{n-1}^\bullet & \longrightarrow & I^j[1-n] & \longrightarrow & V_n^\bullet[1] \end{array}$$

where all vertical arrows are quasi-isomorphisms. If  $n = 0$ , then we take  $V_0^\bullet = I^{\bullet 0}$ . Let  $n > 0$ . Since  $V_{n-1}^\bullet \rightarrow \text{Tot } \tau_{\leq n-1}^{\text{II}} C^{\bullet\bullet}$  and  $C^{\bullet n}[1-n] \rightarrow I^{\bullet n}[1-n]$  are quasi-isomorphisms, we choose a morphism  $V_{n-1}^\bullet \xrightarrow{g_{n-1}} I^j[1-n]$  in  $\mathbf{C}(\mathcal{A})$  such that

$$\begin{array}{ccc} \text{Tot } \tau_{\leq n-1}^{\text{II}} C^{\bullet\bullet} & \longrightarrow & C^{\bullet n}[1-n] \\ \downarrow & & \downarrow \\ V_{n-1}^\bullet & \xrightarrow{g_{n-1}} & I^j[1-n] \end{array}$$

is commutative in  $\mathbf{K}^+(\mathcal{A})$ . We take  $V_n^\bullet = \text{M}^*(g_{n-1})[-1]$  in  $\mathbf{C}(\mathcal{A})$ . Then  $V_n^\bullet \rightarrow V_{n-1}^\bullet$  is a term-split epimorphism and have the above morphism of triangles. By Proposition 11.7, we have isomorphisms in  $\mathbf{D}^+(\mathcal{A})$

$$\begin{aligned} \text{Tot } C^{\bullet\bullet} &= \widehat{\text{Tot}} C^{\bullet\bullet} \\ &\cong \varprojlim \text{Tot } \tau_{\leq n}^{\text{II}} C^{\bullet\bullet} \\ &\cong \text{hlim}_{\leftarrow} \text{Tot } \tau_{\leq n}^{\text{II}} C^{\bullet\bullet} \\ &\cong \text{hlim}_{\leftarrow} V_n^\bullet \\ &\cong \varprojlim V_n^\bullet. \end{aligned}$$

By the construction of  $V_n^\bullet$ , we have the required complex.  $\square$

**Theorem 18.4.** *Let  $A$  be a right noetherian  $k$ -algebra and  $B$  a left coherent  $k$ -algebra, and  ${}_B U_A^\bullet \in \mathbf{D}^b(\text{Mod } B^{\text{op}} \otimes_k A)$  a cotilting  $B$ - $A$ -bimodule complex. If  $I^\bullet \in \mathbf{K}^+(\text{Inj } A)$  is quasi-isomorphic to  $\text{Res}_A U^\bullet$  in  $\mathbf{K}^+(\text{Mod } A)$ , then  $I^\bullet$  contains all indecomposable injective  $A$ -modules.*

*Proof.* According to Proposition 18.2 and Example 10.14, for any  $X^\bullet \in \mathbf{D}_c^b(\text{Mod } A)$ , there exists  $P^\bullet \in \mathbf{K}^-(\text{proj } B^{\text{op}})$  such that

$$\begin{aligned} \text{Hom}_B^\bullet(P^\bullet, U^\bullet) &\cong \mathbf{R}\text{Hom}_B^\bullet(P^\bullet, U^\bullet) \\ &\cong X^\bullet. \end{aligned}$$



For any  $P^i$ , it is easy to see  $\text{Hom}_B(P^i, U^\bullet) \in \text{add } U_A^\bullet$ . Then  $\text{Hom}_B(P^i, U^\bullet) \in \text{add } I^\bullet$ . By piled resolutions lemma, we have a quasi-isomorphism  $X^\bullet \rightarrow J^\bullet$  in  $\mathbf{K}^+(\text{Mod } A)$  with all  $J^i \in \text{Add}(\coprod_{i \in \mathbb{Z}} I^i)$ . Since  $A$  is noetherian,  $J^i$  is  $A$ -injective. We take  $X^\bullet = A/\mathfrak{p}$  where  $\mathfrak{p}$  is any right ideal of  $A$ . Since  $J^\bullet$  in  $\mathbf{K}^+(\text{Mod } A)$ , it is easy to see that we have a monomorphism  $A/\mathfrak{p} \rightarrow J^0$ . Hence the injective envelope  $\mathbb{E}(A/\mathfrak{p})$  is a direct summand of  $J^0$ .  $\square$

**Corollary 18.5** (Like-Corollary). *Let  $A$  be a right noetherian and left coherent ring with  $\text{inj dim } {}_A A, \text{inj dim } A_A < \infty$ . Then any injective resolution of a right  $A$ -module  $A_A$  contains all indecomposable injective  $A$ -modules.*

*Proof.* By the same technique in Proposition 18.2,  $\mathbf{R}^b \text{Hom}_A(-, A) : \mathbf{D}^b(\text{mod } A) \rightarrow \mathbf{D}^b(\text{mod } A^{\text{op}})$  and  $\mathbf{R}^b \text{Hom}_A(-, A) : \mathbf{D}^b(\text{mod } A^{\text{op}}) \rightarrow \mathbf{D}^b(\text{mod } A)$  induce a duality. By the above proof, we get the statement.  $\square$

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